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# Parallel-slab polarizing beam splitter and photopolarimeter

R. M. A. Azzam

A dielectric-slab polarizing beam splitter (PBS) is described that generates two parallel beams of orthogonal  $p$  and  $s$  linear polarizations in reflection and functions as a diattenuator in transmission. The plane-parallel slab, which is set at Brewster's angle, is uncoated on one side and has an  $s$ -polarization antireflection coating ( $s$ -ARC) on the other side. Analytical results are presented for a PBS that uses a high-index slab coated with a low-index single-layer  $s$ -ARC, which is particularly suited for the IR. A novel multistage photopolarimeter that uses two such PBSs in series is described as being capable of sequential and simultaneous measurement of all four Stokes parameters of light. © 2007 Optical Society of America

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## 1. Introduction

Conventional polarizing beam splitters (PBSs) use crystal optics<sup>1</sup> or multilayer interference coatings<sup>2</sup> to divide an incident light beam into two ( $p$  and  $s$ ) orthogonally linearly polarized beams that travel in different directions. In contrast with these one-to-two PBSs, a one-to-three, dielectric-slab BS is presented that produces two parallel beams of orthogonal  $p$  and  $s$  linear polarizations in reflection and a third beam in transmission. A multistage complete photopolarimeter that uses two such PBSs in a series is described for the sequential and simultaneous measurements of the first, second, and third normalized Stokes parameters of light using three pairs of detection channels. Previous designs of division-of-amplitude photopolarimeters are briefly reviewed elsewhere.<sup>3</sup>

## 2. Parallel-Slab Polarizing Beam Splitter

Figure 1 shows the PBS as a plane-parallel dielectric slab of thickness  $d_2$  and refractive index  $n_2$  whose front surface is uncoated and reflects incident light in air at the Brewster angle,  $\phi_B = \arctan(n_2)$ . Therefore the first reflected beam (beam 1) from the front surface of the slab is purely  $s$  polarized. The back surface has an antireflection coating for the  $s$  polarization ( $s$ -ARC) at the Brewster angle. Consequently, the light beam reflected from the backside of the slab is

purely  $p$  polarized and is totally refracted as it exits the slab to air (beam 2) in a direction parallel to the first-reflected beam. There are no higher-order reflected beams. The only transmitted beam (beam 3) has both  $p$ - and  $s$ -polarized components that have experienced different attenuations in propagating through the slab.

From basic geometrical optics and the Brewster condition, the lateral separation  $D$  between the two parallel, orthogonally polarized, reflected beams is given by

$$D = 2d_2/n_2(n_2^2 + 1)^{1/2}. \quad (1)$$

The simplest  $s$ -ARC at the Brewster angle is a transparent single layer of refractive index  $n_1$  and metric thickness  $d_1$  given by

$$n_1 = \sqrt{2}n_2/(n_2^2 + 1)^{1/2}, \quad (2)$$

$$d_1 = 0.3536(\lambda/n_1), \quad (3)$$

where  $\lambda$  is the vacuum wavelength of light. The thin-film  $s$ -ARC specified by Eqs. (2) and (3) was first proposed in Ref. 4, and applied to selected areas on the *same* (front) side of a dielectric substrate to produce any desired two-dimensional spatial binary polarization patterns in reflected light. A reflected beam with periodic *temporal* binary polarization modulation (between the  $p$  and  $s$  states) is also obtained when the same coating (with thickness that alternates between 0 and  $d_1$ ) is applied to the front surface of a synchronously rotating disk.<sup>5</sup> It is apparent that a multilayer  $s$ -ARC can be applied on the backside of the slab, but this is not considered here.

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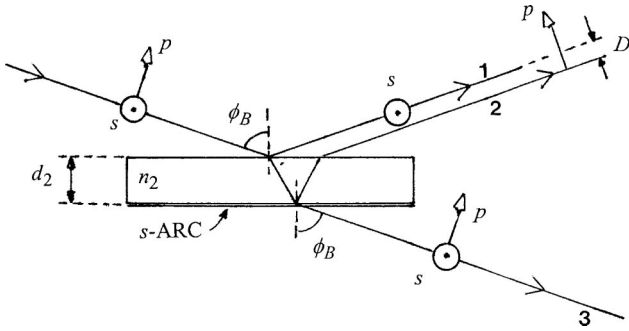


Fig. 1. Dielectric-slab PBS. A light beam incident on the slab at the Brewster angle  $\phi_B$  is split into two reflected beams 1 and 2 that are purely  $s$  and  $p$  polarized, respectively, and a transmitted beam 3 that has both  $p$ - and  $s$ -polarized components. The front surface of the slab is uncoated and the back surface has an  $s$ -ARC.  $d_2$  is the thickness of the slab, and  $D$  is the separation of the parallel reflected beams with orthogonal polarizations.

At the Brewster angle, the intensity reflectances of the front and back surfaces of the slab for  $s$ - and  $p$ -polarized light, respectively, are given by<sup>4</sup>

$$R_{fs} = \cos^2(2\phi_B) = [(n_2^2 - 1)/(n_2^2 + 1)]^2, \quad (4)$$

$$R_{bp} = [R_{fs}/(2 - R_{fs})]^2. \quad (5)$$

The corresponding intensity transmittances of the transparent slab for the  $p$  and  $s$  polarizations are given by

$$T_p = 1 - R_{bp}, \quad T_s = 1 - R_{fs}. \quad (6)$$

The average reflectance of the slab for incident light with equal  $p$  and  $s$  components (i.e., light whose first Stokes parameter  $s_1 = 0$ ) is given by

$$R_{av} = (R_{fs} + R_{bp})/2. \quad (7)$$

From Eqs. (5) and (7), we obtain

$$R_{av} = (R_{fs}/2)(R_{fs}^2 - R_{fs} + 4)/(R_{fs}^2 - 4R_{fs} + 4). \quad (8)$$

For incident linearly polarized light of azimuth angle  $\alpha$  from the plane of incidence (i.e., from the  $p$  direction), the two reflected beams have equal power when

$$\tan \alpha = (R_{bp}/R_{fs})^{1/2} = (n_2^4 - 1)/(n_2^4 + 6n_2^2 + 1). \quad (9)$$

The overall reflectance of the slab under the condition given by Eq. (9) is

$$R = 2R_{fs}R_{bp}/(R_{fs} + R_{bp}),$$

$$R^{-1} = (1/2)(R_{fs}^{-1} + R_{bp}^{-1}). \quad (10)$$

As a specific example, for a Ge slab with refractive index  $n_2 = 4$  in the IR, we obtain

$$\begin{aligned} \phi_B &= 75.964^\circ, & D &= 0.1213d_2, \\ n_1 &= 1.372, & d_1 &= 0.2577\lambda, \\ R_{fs} &= 77.855\%, & R_{bp} &= 40.627\%, \\ R_{av} &= 59.241\%, & R &= 53.392\%, \\ T_s &= 22.145\%, & T_p &= 59.373\%, \\ \alpha &= 35.844^\circ. \end{aligned} \quad (11)$$

The film refractive index  $n_1 = 1.372$  is close to that of  $\text{ThF}_4$  (or  $\text{BaF}_2$ ) at the IR wavelength  $\lambda = 10.6 \mu\text{m}$ .<sup>6</sup>

### 3. On Achieving a Given Average Reflectance Level

Suppose that we wish to have a PBS with a 50% average reflectance. Substitution of  $R_{av} = \frac{1}{2}$  in Eq. (8) gives the following cubic equation:

$$R_{fs}^3 - 4R_{fs}^2 + 8R_{fs} - 4 = 0. \quad (12)$$

Equation (12) has one acceptable solution<sup>7</sup>:

$$R_{fs} = 0.704402. \quad (13)$$

From Eq. (4), the required substrate refractive index is obtained:

$$n_2 = 3.383. \quad (14)$$

This index is very close to that of Si over a broad ( $\lambda = 6\text{--}12 \mu\text{m}$ ) IR spectral range.<sup>8</sup>  $n_2 = 3.383$  is also the refractive index of GaP at  $\lambda = 0.580 \mu\text{m}$  in the visible.<sup>9</sup>

For an average reflectance  $R_{av} = \frac{1}{3}$ , a different cubic equation,

$$3R_{fs}^3 - 11R_{fs}^2 + 20R_{fs} - 8 = 0, \quad (15)$$

is obtained that yields  $R_{fs} = 0.533990$  and  $n_2 = 2.53534$ . The latter refractive index is that of ZnS at the short wavelength end of the visible spectrum.<sup>10</sup>

### 4. Maximum Difference between $R_{fs}$ and $R_{bp}$

It is interesting to consider the difference between  $R_{fs}$  and  $R_{bp}$ . For simplicity,  $R_{fs}$  is denoted by  $x$ . It follows from Eq. (5) that

$$\Delta R = R_{fs} - R_{bp} = (x^3 - 5x^2 + 4x)/(2 - x)^2. \quad (16)$$

Equation (16) shows that  $\Delta R = 0$  in the limiting cases of  $x = 0$  and  $x = 1$ , hence  $\Delta R$  must reach a maximum at some value of  $x$  between 0 and 1. By setting the derivative of Eq. (16) equal to 0, we obtain yet another cubic equation,

$$x^3 - 6x^2 + 16x - 8 = 0. \quad (17)$$

Equation (17) has one acceptable solution,

$$x = R_{fs} = 0.635344. \quad (18)$$

The corresponding slab refractive index, calculated from Eq. (4), is

$$n_2 = 3.9844. \quad (19)$$

This index is essentially the same as that of Ge in the IR. The maximum reflectance difference is given by

$$\Delta R_{\max} = 0.373354. \quad (20)$$

## 5. Photopolarimeter Using Two Parallel-Slab Polarizing Beam Splitters

Whereas a conventional PBS splits an incoming light beam into two beams of orthogonal linear polarizations, the parallel-slab PBS shown in Fig. 1 does the same in reflection, *and* provides a third beam in transmission. This makes this PBS particularly suited for Stokes-parameter photopolarimetry.

Figure 2 shows a photopolarimeter that employs two parallel-slab PBSs (PBS1 and PBS2) with a 45° optical rotator (OR) in the middle. (Because of the diattenuation introduced by the slab in transmission, a rotation other than 45° may be optimum.) PBS1 generates reflected beams 1 and 2, and PBS2 produces reflected beams 3 and 4. The 45° optical rotator OR can be a quartz plate, whose optic axis is perpendicular to its faces and parallel to the beam, a twisted-nematic liquid-crystal cell, or a magneto-optic Faraday rotator. Alternatively, one can do without this OR by rotating the plane of incidence for light reflection at PBS2 by 45° with respect to the plane of incidence for light reflection at PBS1. By use of the Mueller calculus,<sup>11</sup> it can readily be shown that detection of light beams 1 and 2 can be dedicated (and calibrated) to determining the first normalized Stokes parameter  $s_1$ . Likewise, detection of light beams 3 and 4 can be dedicated to determining the second normalized Stokes parameter  $s_2$ .

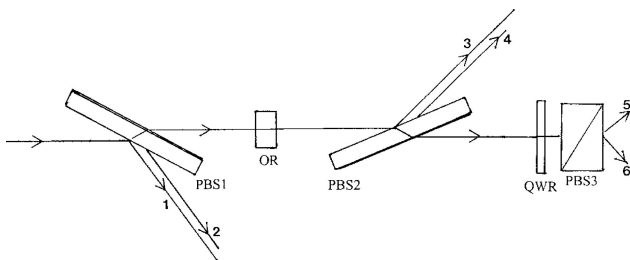


Fig. 2. Photopolarimeter that employs a cascade of two parallel-slab PBSs (PBS1 and PBS2) with a 45° optical rotator OR in the middle. PBS1 generates reflected beams 1 and 2, and PBS2 produces reflected beams 3 and 4. Detection of light in dual channels 1 and 2 and in dual channels 3 and 4 determines the first and second normalized Stokes parameters, respectively. The last stage, that consists of a quarter-wave retarder (QWR) followed by a conventional PBS (PBS3), produces beams 5 and 6, whose detection enables the determination of the third normalized Stokes parameter.

If the incoming light is totally polarized (which is often the case in ellipsometry<sup>11</sup>), the remaining third normalized Stokes parameter  $s_3$  is obtained by

$$s_3 = \pm(1 - s_1^2 - s_2^2)^{1/2}. \quad (21)$$

Therefore operation of this photopolarimeter is similar to that of the widely used rotating-analyzer ellipsometer but with no moving parts.

To measure the third normalized Stokes parameter  $s_3$  independently (which is essential if the input light is generally partially polarized), a third stage is added to the photopolarimeter as shown in Fig. 2. It consists of a quarter-wave retarder (QWR) followed by a conventional PBS PBS3. Detection of light beams 5 and 6 enables the measurement of  $s_3$ , given that  $s_1$  and  $s_2$  are already determined by the first two stages of the polarimeter. To the best of my knowledge, this is the only division-of-amplitude photopolarimeter in which the first, second, and third Stokes parameters are determined separately and simultaneously.

## 6. Summary

A novel parallel-slab polarizing beam splitter is described that splits an incoming light beam into two reflected beams of orthogonal  $p$  and  $s$  linear polarizations and a third transmitted beam that retains both the  $p$  and  $s$  components. A detailed analysis of the essential features of this design is presented. A novel photopolarimeter that consists of two such beam splitters in succession, plus a circular-polarization detector, is realized in which the first, second, and third normalized Stokes parameters of input light are measured separately and simultaneously by three dual channels of orthogonal polarizations.

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