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A Machine Learning Method for the Prediction of Melt Pool Geometries Created by Laser Powder Bed Fusion

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A Machine Learning Method for the Prediction of Melt-Pool Geometries Created by Laser
Powder-Bed Fusion

A Thesis

Submitted to the Graduate Faculty of the
University of New Orleans
in partial fulfillment of the
requirements for the degree of

Masters of Science
in
Engineering-Mechanical

by

Jonathan Ciaccio

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Abstract

A machine learning model is created to predict melt-pool geometries of Ti-6Al-4V alloy created by the laser powder bed fusion process. Data is collected through an extensive literature survey, using results from both experiments and CFD modeling. The model focuses on five key input parameters that influence melt-pool geometries: laser power, scanning speed, spot size, powder density, and powder layer thickness. The two outputs of the model are melt-pool width and melt-pool depth. The model is trained and tested by using the k fold cross-validation technique. Multiple regression models are then applied to find the model that produces the least amount of error. Verification of the ML model was achieved by comparing the model results with experimental results and CFD results given the same parameter values throughout the models. The ML model results are consistent with the experimental and CFD results.

Keywords: laser powder-bed fusion, machine learning, Ti-6Al-4V, regression models

Chapter 1

Introduction

Over the last decade, powder-bed fusion (PBF) additive manufacturing (AM) has become a wildly popular technique for creating metallic or alloy parts, especially for aerospace, automotive, biomedical, dentistry, and electronics applications [1]. In general, AM techniques save time, reduce costs, and require minimal proficiency of an operator [1]. However, the process can be defective and costly if the processing parameters are not set and optimized properly. Researchers usually perform trial and error methods using experiments and physics-based numerical modeling to determine the correct combination of the processing parameters, which takes substantial effort, time, and money [1]. This is where machine learning (ML) comes into play, by giving the scope for modeling and predicting desired outputs in a short time utilizing a very large data set of certain input parameters. When that data set is obtained and provided as an input to the model, the computer can learn and produce its results. The digital nature of the PBF process allows ML to identify and resolve the issues in manufacturing conveniently. One of the most important PBF processes is the laser PBF (L-PBF) process, where the melt-pool geometry is a significant output, showing the width and depth of penetration of the laser beam and the heat-affected zone within the workpiece. This output depends on several factors, including the material behavior, environment, and laser parameters. Among these factors, finding the optimized combination of the laser and material parameters, namely the laser power, scanning speed, spot size, layer thickness, and porosity, is extremely crucial when performing the L-PBF process effectively [2].

1.1 Additive Manufacturing

Additive Manufacturing, also known as 3-D printing, is a process where material parts are built in layers [3]. The design of the part is first created in a computer aided design (CAD) model and then input into a 3-D printing machine [3]. Additive manufacturing reduces material waste, saves time, and cost less compared to subtractive manufacturing, the conventional manufacturing process. With subtractive manufacturing, the process starts with a bulk piece of material, and the designed part is essentially sculpted out of that piece. Not only is significant waste produced from subtractive manufacturing but also the manufacturer must pay for the entirety of the bulk piece and cut around it. In additive manufacturing, the cost of the piece is only the material used to create it. Subtractive manufacturing requires an expert operator to the mill the desired part while additive manufacturing only requires a given CAD model and the means to input the data into the 3D printing machine.

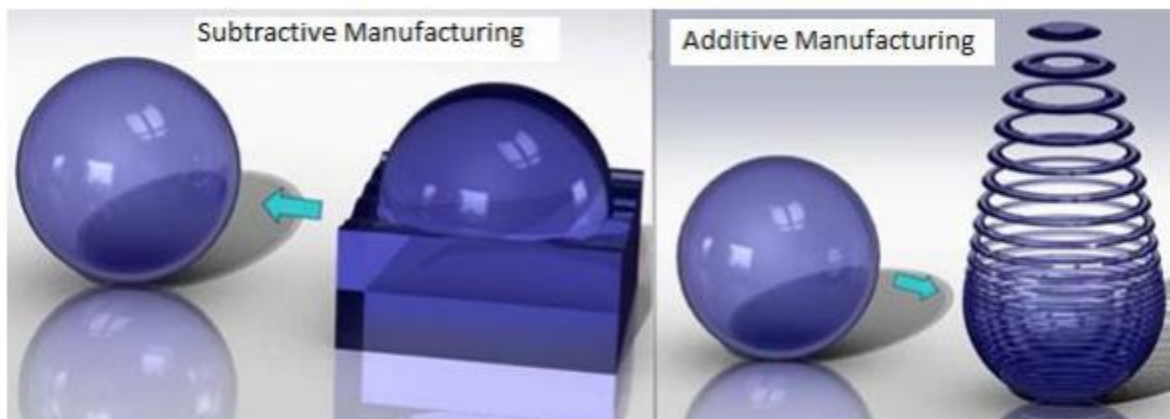


Figure 1.1: Subtractive vs Additive Manufacturing [4]

2.1 Laser Powder Bed Fusion

The laser powder bed-fusion process is a type of additive manufacturing that is quickly gaining popularity. During this process, a rake spreads powder from the powder reserve across a build plate [3]. The powder is melted by a laser that selectively scans across the plate based upon the given CAD model [3]. As the first layer is complete, the build platform will retreat downwards, allowing another layer of powder to be deposited by the rake [3]. This process is repeated until the desired part is created.

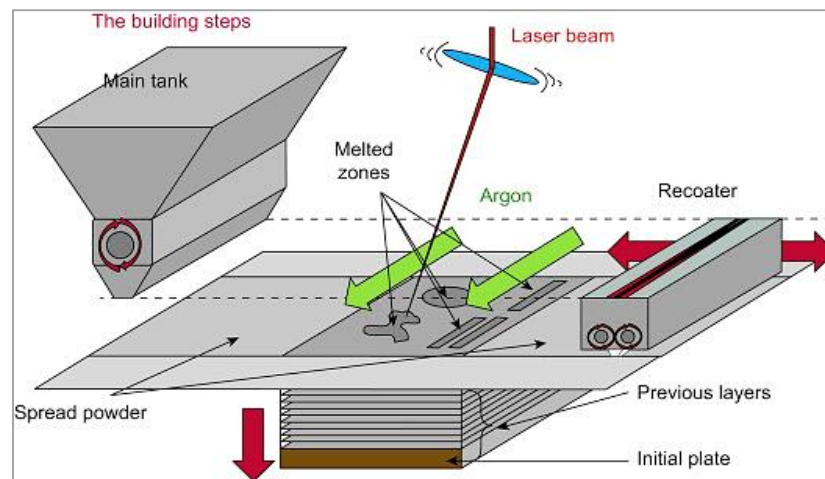


Figure 1.2: Schematic diagram of the L-PBF Process [1]

One of the most important outputs in the laser powder-bed fusion process is the geometry of the melt-pool [2]. The melt-pool geometry is indicative of the depth and width of the laser output; geometry can also show defects in the fusion process such as porosity or geometrical deviation [5]. Both of those defects along with insufficient laser penetration and spread will have a negative effect on the structural integrity of the final product. Therefore, it is vital to produce a sufficient melt-pool during the laser powder-bed fusion process in order to manufacture an

acceptable and desired material part. The melt-pool geometry is dependent on several laser and material properties, including: laser power, scanning speed, spot size, layer thickness, and powder density [2]. Optimization of these parameters will reduce cost, minimize part deficiencies, decrease surface roughness, reduce build time, and create a dense material with the correct material characteristics [6].

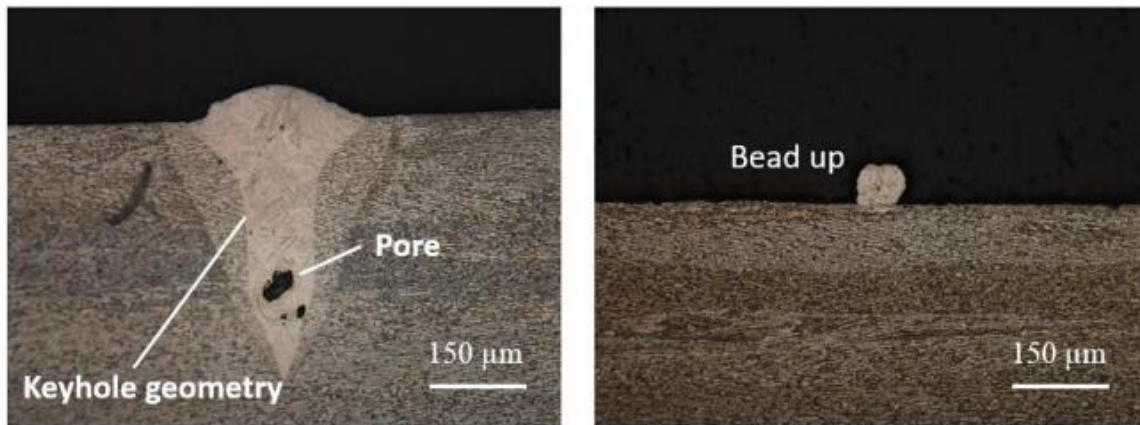


Figure 1.3: Defective Melt-Pool Geometries due to Non-optimized Process Parameters [7]

Each of the five key parameters plays a different role in creating an effective melt-pool geometry [2]. Therefore, the focus of this study is subjected to these five parameters. Optimizing the scanning speed is crucial; if the manufacturing process can be completed as fast as possible, the manufacturer will save time. However, if the scanning speed is too fast, the laser will not be able to penetrate deep enough into the powder-bed. Therefore, the manufacturer cannot operate the laser at maximum speed, but instead must find the optimum speed at which an efficient melt-pool is created. The second parameter that must be considered is power. The stronger the laser is, the more costly that laser becomes. The power of the laser must be sufficient to melt the powder layer but using a laser that has more than enough power would only be more costly. The third parameter is spot size of the laser, also known as the diameter. A smaller diameter means a more concentrated laser (more energy will be transferred deeper into the substrate); with a larger

diameter the laser will not penetrate as deep because the laser is less focused, and more energy spreads out over the surface. Layer thickness is the fourth feature to optimize. If the layer is too thin, the laser will penetrate the substrate too deeply, which wastes power and hurts the final product's structural integrity. If the layer is too thick, the laser will not penetrate the layer fully which will result in incomplete melting of the powder layer. The final parameter is the porosity of the material which is also referred to as the packing density. The porosity considered in this study is the porosity of the powder before it is melted. A more porous medium means the material is more powder than solid. Having a higher powder ratio is often preferred because the laser will be able to melt the powder more easily than if it were only solid. The data set compiled consists of these five parameters that will be the input for the ML program. The depth and width of the melt-pool geometry, collected from the same data set, will serve as the output [2].

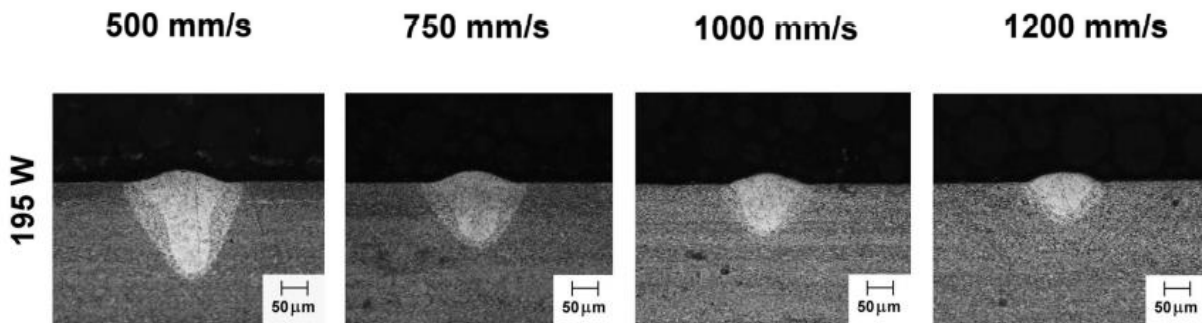


Figure 1.4: Different Melt-Pool Geometries with Laser Power of 195 W and Varying Scanning Speeds [8]

1.2 Machine Learning

Machine learning (ML) is a series of algorithms that are able to predict or classify data based upon previous training of similar data. Machine learning can be classified into three categories: supervised learning, unsupervised learning, and reinforcement [9]. Supervised

learning occurs when the data is labelled with specific inputs and outputs. Within the supervised learning category, two subcategories exist: regression and classification. Unsupervised learning contains unlabeled data, and the algorithm must find the patterns within the data [9]. The two most popular types of unsupervised learning are clustering and association [9]. “Reinforcement learning (RL) algorithms provide a mathematical framework for sequential decision making by autonomous agents [10].”

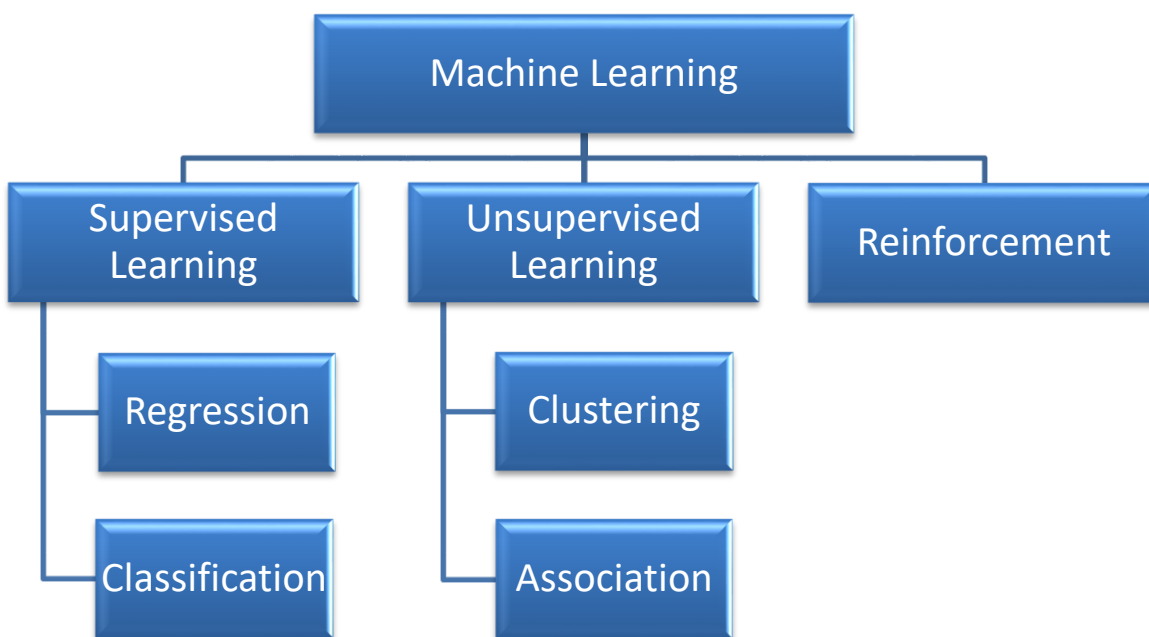


Figure 1.5: Machine Learning Family Tree

1.2.1 Supervised Learning

Regression analysis is a predictive modelling technique for investigating the relationship between a dependent variable (i.e., target) and independent variable(s) (i.e., predictor) [11].

Classification analysis is when the output is binary, such as a 1 or 0. The classification algorithm will be able to map the inputs into two categories. Regression can indicate the strength of impact

of multiple independent variables on a dependent variable. As a simple type of regression, linear regression relates an independent variable with a dependent variable [12]. The variables must have a linear relationship. Independent variables and dependent variables are plotted on a scatter plot [12] and a best fit line is applied to the data [12]. The best fit line produces an equation and allows one to predict the dependent variable given the independent variable [12]. The residual distance is the distance between the actual value and the predicted value. A simple linear regression model follows the equation:

$$y = \beta_0 + \beta_1 x \quad (1)$$

In Eq. (1), y is the dependent variable, x is the independent variable, B_0 is the y-intercept, and B_1 is the slope of the regression line.

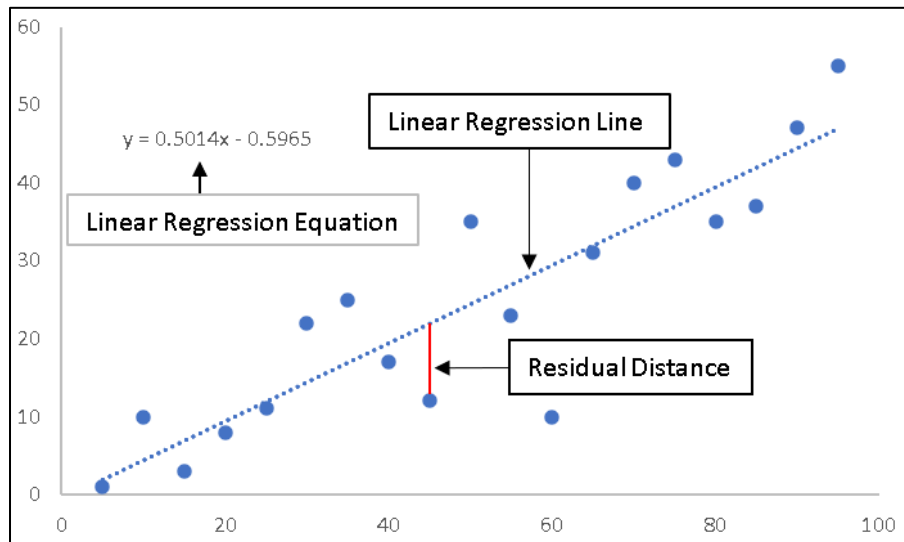


Figure 1.6: Linear Regression Line

A multivariate linear regression model has more than one independent variable and one dependent variable. The multivariate linear regression model follows the following equation [12]:

$$y = \beta_0 + \beta_1 x_1 + \dots \beta_n x_n \quad (2)$$

Another type of regression is polynomial regression, which is used when the data set follows more of a parabolic shape. Polynomial regression is a variation of linear regression where the relationship between the independent and dependent variables can be a polynomial to the n th degree [13]. The equation for polynomial regression is as follows [13]:

$$y = B_0 + B_1 x_1 + B_2 x_2^2 + B_3 x_3^3 + B_n x_n^n \quad (3)$$

where n is the degree of polynomial.

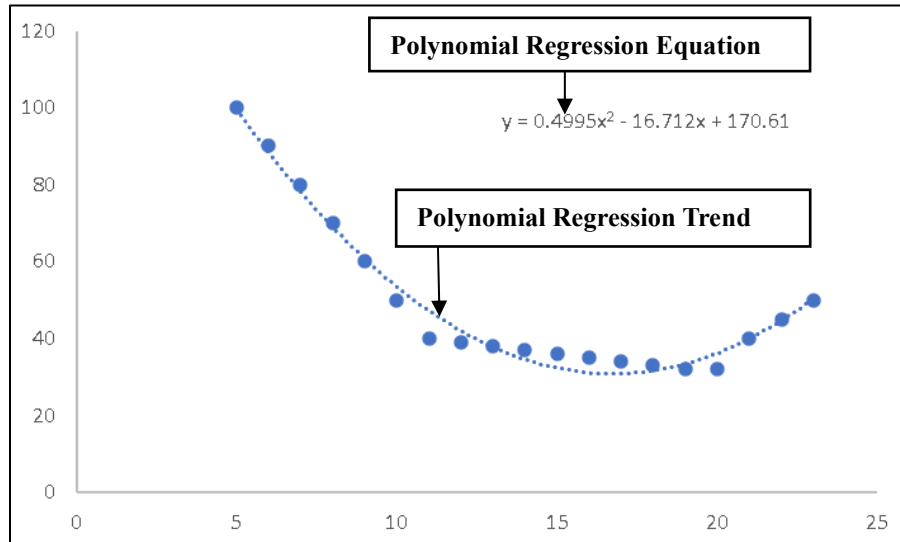


Figure 1.7: Polynomial Regression Trend Curve

A type of classification model is logistic regression. Although it is called logistic regression, it is technically a classification model. Logistic regression uses a logistic function to relate independent variables to dependent variables using a Bernoulli conditional distribution

[11] and can be binomial, ordinal, or multinomial. The outcome of an input data being 1 has a probability, P , while the outcome of an input data being 0 has a probability of $1-P$. The equations for logistic regression are as follows [11]:

$$Odds = \ln \left(\frac{P}{1-P} \right) \quad (4)$$

$$\text{logit}(P) = \ln \left(\frac{P}{1-P} \right) = B_0 + B_1X_1 + B_2X_2 + \dots + B_nX_n \quad (5)$$

1.2.2 Unsupervised Learning

Unsupervised learning includes many different types of methods, but the most common are association and clustering. Clustering is an algorithm that groups or “clusters” patterns in data based upon their likeness to one another [14]. In supervised learning the data is in labelled patterns; the algorithm learns these patterns from training and labels new patterns in testing [14]. Clustering labels the data itself and must group them based upon similarities [14]. Clustering is used in many aspects of data analysis including pattern classification, image segmentation, document retrieval and data mining [14]. Association is a series of rules that contain “if-then statements” in order to identify patterns in data [15]. Three attributes determine the strength of an association rule: support, confidence, and lift [16]. Support is defined as the frequency of an item [16]. Confidence is defined as the probability that Y also happens given that X happens [16]. Lift is defined as the support divided by the probability of Y times the probability of X given no association between them [16]. Association algorithms are most commonly used in market basket analysis of customer transactions [15].

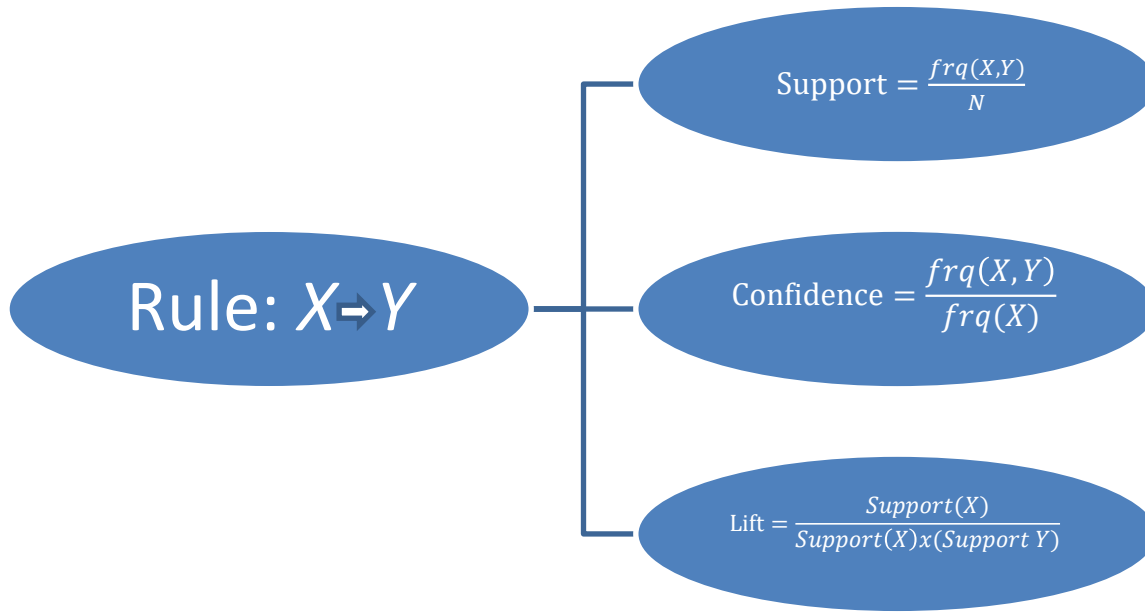


Figure 1.8: Association Attributes [16]

1.2.3 Reinforcement Learning

Reinforcement learning is a type of machine learning that involves an agent, an environment, and a reward. The agent produces an action in a certain environment that results in a reward, and cumulative rewards should be maximized [17]. The trial-and-error process is used to achieve learning when the agent interacts with the environment [17]. Reinforcement learning learns by consequences, the program is rewarded for choosing the right action and punished for choosing the wrong action [18]. When compared to supervised and unsupervised learning, reinforcement learning is more analogous to the human intellect [10]. In supervised learning, the data is classified as what is rewarded behavior and what is punished behavior; in reinforcement learning, the environment's response only indicates when the action is rewarded or punished [10]. Reinforcement learning is utilized in a multitude of fields including cloud computing, robotics, recommender systems, inventory control, and vehicular traffic management [10].

1.3 Motivation of the Work

In order to produce efficient and geometrically consistent melt pools in laser powder bed fusion, manufacturers must conduct experiments using many different laser and material parameters. These experiments become extremely costly when done repeatedly while also needing plenty of time to run the actual experiments. Another option is to create a CFD model of the laser powder bed fusion process. This requires an operator who is technically proficient in creating CFD models. CFD models also require a great deal of time to generate and can be computationally expensive. In order to eliminate the costs of conducting experiments and generating a CFD model while simultaneously reducing the time it requires to run both of these processes, a machine learning model is created to predict melt-pool geometries in laser powder bed fusion. The model created does not need a proficient operator who is skilled in additive manufacturing or generating CFD models; the operator only needs to be able to input data into the existing code. This machine learning model data results are specific to the material Ti-6Al-4V; however, the model is extremely versatile and can be used for any material that is used in additive manufacturing. The operator will have to collect melt-pool geometries from those specific materials used in laser powder bed fusion and apply that data to the code. The machine learning model is easy to operate and produces accurate results.

Chapter 2

Literature Review

The purpose of this literature review is to familiarize the reader with the many different methods of machine learning and how they are implemented in studying melt-pool geometries. Some types of machine learning methods are also described in detail.

Mondal *et al.* [19] created a low-cost surrogate model using the gaussian process and Bayesian optimization in order to estimate process parameter evolution throughout the time of the process [19]. A gaussian process is a stochastic process for a finite set of random variables under a multivariate jointly gaussian distribution [19]. Unlike polynomial regression, gaussian processes do not assume default functional associations between inputs and outputs [19]. Gaussian processes excel in modeling nonlinear functions with the predictions made by a posterior probability distribution [19]. The structure of the model contained three significant steps: “evaluation of the thermal field using an experimentally validated 3D analytically melt-pool evolution model which serves as a source of a data for formulating a gaussian process surrogate,” creation of gaussian processes with adaptable kernel structures, under a tight budget formulation of a Bayesian optimization model including a gaussian process surrogate intended on solving global optimization problems [18]. Optimization refers to solving for the maximization of the target function [19]. The equation is as follows:

$$x^* = \operatorname{argmax} f(x) \quad (6)$$

however, if f is unknown, a gradient free optimization method must be used. Bayesian optimization is a gradient free method which inputs predictions into a surrogate model for active learning by finding the global optima of the target function [19].

Yang et al. [20] created a convolutional neural network that can provide accurate classification of melt-pool geometries of real time laser powder bed fusion in a closed loop control. Convolutional neural network is an artificial neural network that uses multi-layer feedforward propagation for data processing of 2D images [20]. A conventional convolutional neural network consists of nested convolutional and pooling layers in the beginning followed by connected layers at the end [20].

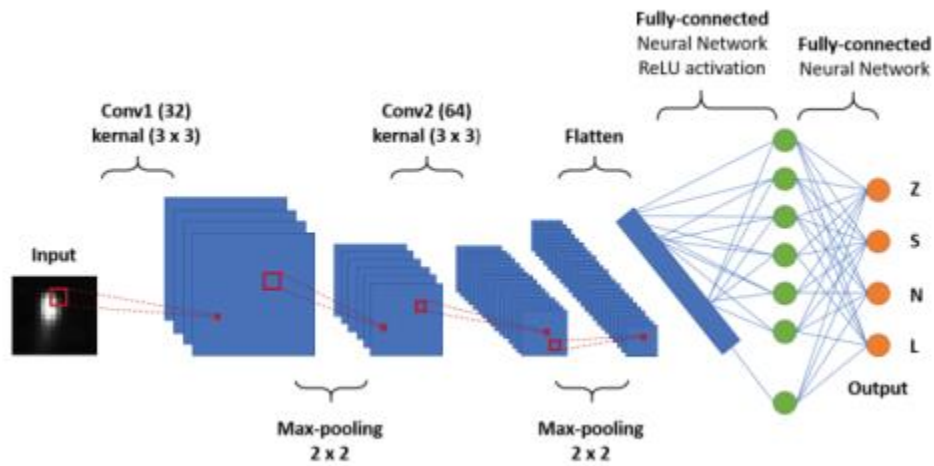


Figure 2.1: Yang et al. Convolutional Neural Network Structure [19]

The network of Yang et al. was a four-class classification model. The four classes included: no melt-pool (Z), small melt-pool (S), normal melt-pool (N), and larger melt-pool (L). Four examples of each melt-pool image are shown below.

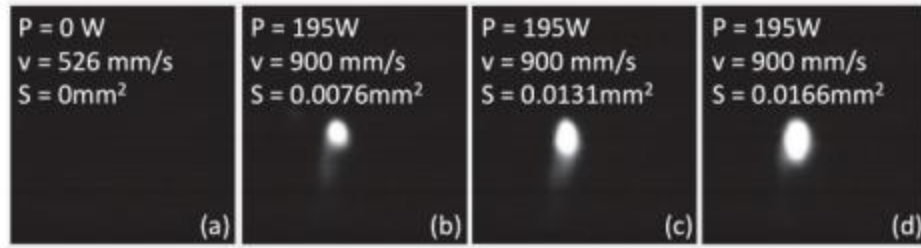


Figure 2.2: Melt-pool image classification from left to right: no melt pool (a), small melt pool (b), normal melt-pool (c), larger melt-pool (d) [20]

The training data consisted of 2763 melt-pool images while the testing data consisted of 2926 melt-pool images. Overall accuracy of the test data was 90.84% with 268 misclassifications [20].

Lee et al. [21] employed a data analytics method to calculate multi-physics-based phenomena in additive manufacturing. They utilized machine learning to predict melt-pool geometries in the laser powder bed fusion process [21]. The database consisted of LPBF-processed single track nickel Alloy 625 and nickel Alloy 718 powders [21]. The machine learning models' accuracy increased via the data correlation analysis. Two types of correlation analysis were used, maximal information coefficient (MIC) and Pearson's correlation coefficient (POC). POC can detect and model non-linear relationships while MIC is used to characterize linear relationships. Six different machine learning models predicted the melt-pool geometries: Bayesian ridge regression, kernel ridge regression, linear regression, nearest neighbors regression, random forest regression, and support vector machine. With the implementation of 5-fold cross-validation, the accuracy of the machine learning models was assessed by the coefficient of determination (R^2), which was calculated among the actual and predicted values as a function of the features associated with both analytic correlation approaches [21]. "The results demonstrated the data analytics approach prioritizes key materials/ process parameters of

the melt-pool formation and facilitates the accurate prediction of melt-pool geometries for the additive manufacturing process optimization [21].”

Code surrogates were created by Kamath and Fan [22] for the prediction of melt-pool geometries. The purpose of the study was to show that an accurate code surrogate can be created using a small data set [22]. Data was generated by two models, the Eagar-Tsai model and the Verhaeghe model [22]. The Eagar-Tsai model consists of a Gaussian laser beam scanning across a 3D flat plate [22]. The temperature distribution of the laser beam is used to calculate the melt-pool characteristics as a function of four input parameters: laser power, scanning speed, spot size, absorptivity of the powder [22]. The model is computationally cheap and runs in about one minute [22]. The Verhaeghe model has a greater number of parameters while being computationally more expensive [22]. The model takes into consideration multiple physical phenomena involved in laser powder bed fusion [22]. It takes around one to three hours to run [22]. Three data sets were input into five machine learning models consisting of 461, 100, and 41 points of data [22]. The five types of machine learning models were trained and tested: nearest-neighbor method, regression trees, multivariate adaptive regression splines, support vector regression, and gaussian process [22]. The four input variables were laser power, laser speed, beam size, and absorptivity of the material as a fraction while the 3 target variables were melt-pool width, melt-pool depth, and length [22]. While the support vector regression and regression tree performed the worst, the remaining three methods performed accurately with the gaussian process performing the best [22].

Scime and Beuth [23] categorized melt-pool geometries during testing using the machine learning method support vector machine which is a binary classifier [23]. The purpose of the study was to identify defective melt-pool geometries that contained balling or keyhole effects.

The data set was created by collecting images of the melt-pool geometry during laser powder bed fusion of Inconel 718 alloy by a megapixel Photron FASTCAM Mini AX200 high speed camera [23]. During training, bag of words, an unsupervised machine learning technique, separated the melt-pool images captured by the high-speed camera [23]. The initial captured images are in a Eulerian frame of reference and make it difficult to differentiate the melt-pool geometries; therefore, Bag of words is applied to convert the images into a coaxial reference frame [23].

Akbari et al. [24] designed a two feed forward back propagation neural networks with eleven and fourteen neurons to predict melt-pool geometries of Ti-6Al-4V alloy. A neural network has three main features: structure that exhibits connections between layers and neurons, a learning algorithm that updates the weights on the connections, and a transfer function [24].

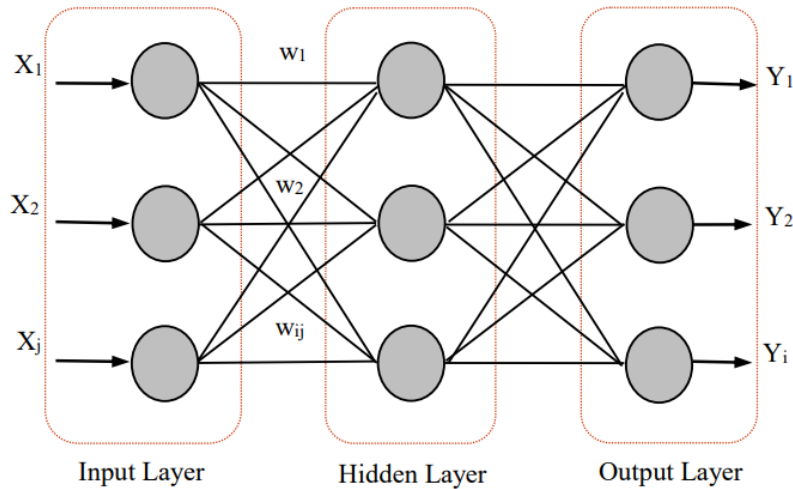


Figure 2.3: Structure of a Neural Network [24]

The input layer of a neural network is multiplied by the weights which are randomly selected [24]. The layer output is calculated by the equation below [24]:

$$Y = f(\sum X_j W_{ij} + b) \quad (7)$$

“Where W_{ij} is the weight of the connection bewtween each neuron (j) in the input layer and each neuron (i) in the hidden layer and between hidden layer and output layer. X_j is the value of the input (j) at the input layer, f is the transfer function and b is the bias [24].” The tan-sigmoid transfer function was used the hidden and output layers. Tan-sigmoid function equation is:

$$f(x) = \frac{1}{1+e^{-x}} \quad (7)$$

Akbari et *al.* implemented the mean square error in order to reduce the error. The results showed a mean square error of .079 and .063 for each neural network and agreed with experimental and numerical data.

Chapter 3

Material and Methodology

3.1 Material

The material used in the laser powder bed fusion process is Ti-6Al-4V alloy. Ti-6Al-4V is chosen because it is commonly used in many industries, especially the aerospace, dental, and biomedical industries [25]. It is a high entropy material, can withstand very high temperatures, and has a large strength to weight ratio [25]. However, Ti-6Al-4V is an expensive alloy compared to other leading industry metals such as stainless steel and carbon steel; therefore, it is imperative to ensure that the L-PBF process is conducted correctly the first time [2]. A correct combination of the laser power, scanning speed, spot size, layer thickness, and powder porosity is required to reduce or eliminate material wastage, part defects, and time delays in the L-PBF process [2].

Table 3.1: Comparison of Material Properties of Ti-6Al-4V and 316L Stainless Steel [26-28]

Material Property	Ti-6Al-4V	316L Stainless Steel
Hardness (Brinell)	379	217
Tensile Strength Ultimate (MPa)	1170	485
Tensile Strength Yield (MPa)	1100	170
Melting Point (°C)	1604-1660	1390-1440
Shear Modulus (GPa)	44	82
Price (\$) of Metal Sheet (.05"x24"x36")	1733.63	221.31

As seen from Table 3.1, the hardness, ultimate tensile strength, yield tensile strength, and range of melting points of Ti-6Al-4V are much greater than the 316L stainless steel. However, stainless steel has a greater shear modulus value. The price of a sheet of Ti-6Al-4V is almost eight times as much as a sheet of 316L stainless steel.

3.2 Machine Learning Methods

Multiple regression models were trained and tested with the data set, and each model implements a different method to predict the outputs. Every model used will not be described in detail (there is a total of nineteen different models); however, one of the worst performing models and one of the best performing models will be discussed in this section.

3.2.1 Linear Regression

Linear regression relates an independent variable to a dependent variable. When all the data is plotted on a scatter plot, the trend line will follow the equation as referenced before:

$$y_p = \beta_o + \beta_1 x \quad (8)$$

This line is representative of the predicted values. In order to find the most accurate trend line, the variables B_o and B_1 must be optimized, meaning finding the best values of those variables that will give the lowest error. An error function is a measurement of the distance between the predicted value and the actual value, which is referred to as the residual distance. There are many types of error functions used to minimize the residual distance; one common method is the mean square error. Starting with the mean square error equation [29]:

$$MSE = \frac{\sum_{i=1}^n (y_i - y_p)^2}{n} \quad (9)$$

where y_i is the actual value and y_p is the predicted value. Substituting (8) into (9) produces [29]:

$$MSE = \frac{\sum_{i=1}^n (y_i - \beta_0 + \beta_1 x)^2}{n} \quad (10)$$

Next, gradient descent will be calculated which will find the minimum of the error function.

First, values of B_0 and B_1 will start at zero [29]. The partial derivative of the mean square error function will be calculated with respect to B_0 and B_1 [29]:

$$d_{B_0} = -\frac{2}{n} \sum_{i=1}^n (y_i - y_p) \quad (11)$$

$$d_{B_1} = -\frac{2}{n} \sum_{i=1}^n B_1 (y_i - y_p) \quad (12)$$

Next, current value of dB_0 and dB_1 will be updated with the following equations where L is the learning rate; the learning rate is the rate at which the B_1 changes, also known as the step size [29].

$$new\ B_0 = B_0 - L(d_{B_0}) \quad (13)$$

$$new\ B_1 = B_1 - L(d_{B_1}) \quad (14)$$

This is done until the error loss function is very small.

3.2.2 Random Forest

The random forest model consists of an ensemble of decision trees which are structured like a flowchart of rules that lead to a prediction [30]. Data is input into the root node of the tree, and the algorithm splits the data into smaller groups until the data cannot be split any further or it reaches a rule that stops the splitting [30]. Decision trees can be regressive or categorical.

Random forest is a collection of many decision trees, where each tree is created on random

subsets of variables and observations [30]. The randomness of the variables and observations creates a more accurate prediction [30]. “The random predictors are used at each split in the tree which de-correlate the trees forming the forest [30].”

3.3 Error Calculations

Error calculations measure the residual distance between the actual values and predicted values in regression, thus exhibiting the accuracy of the model [2]. Four error functions were tested to find the least amount of error: the mean absolute error, the root mean square error, relative error percentage, and root relative squared error. The mean absolute error (MAE) formula calculates the error between actual values and predicted values. The formula is

$$MAE = \frac{\sum_{i=1}^n |y_i - x_i|}{n} \quad (16)$$

with y as the predicted value, x as the actual value, and n as the total number of data points. The root-mean-square-error (RMSE) or the root-mean-square-deviation (RSMD) measures the standard deviation of the distances between the actual values and the predicted values (residuals). The formula is given below:

$$RSMD = \sqrt{\frac{\sum_{i=1}^N (y_i - x_i)^2}{n}} \quad (17)$$

with y as the predicted value, x as the actual value, and n as the total number of data points.

Relative absolute error (RAE) percentage calculates the absolute error divided by the actual

value. The absolute error is the absolute value of the difference between the predicted value and actual value. The formula is

$$Absolute\ Error = |y_i - x_i| \quad (18)$$

And

$$RAE = \frac{Absolute\ error}{x_i} \times 100 \quad (19)$$

with y as the predicted value and x as the known value. The root relative squared error formula is

$$RRSE = \sqrt{\frac{\sum_{i=1}^n (y_i - x_i)^2}{\sum_{i=1}^n (x_i - \bar{x})^2}} \quad (20)$$

where

$$\bar{x} = \frac{1}{n} \sum_{i=1}^n x_i \quad (21)$$

with y as the predicted value, x as the actual value, and n as the total number of data points [2].

3.4 Preparation of Data Set

The data set is compiled from multiple sources, from both experimental data and simulated data for single track laser scans. Dilip et al. [8] ran experiments on Ti-6Al-4V researching the effects laser power and scan speed had on melt-pool width, microstructures, and porosity. Gong et al. [7] performed experiments on Ti-6Al-4V using a range of laser power and speed values to

produce melt pools. These melt pools were then examined to find the optimum hatch spacing in the fabrication of metallic test sheets [7]. The topology of the test sheets was analyzed to find the ideal parameters [7]. Solyemez [31] created a numerical model that would simulate melt-pool widths and depths created by a wide range of laser powers and scan speeds from experiments [31]. Kusuma [32] performed experiments with varying laser powers and scans speed to examine the effect these parameters have on melt-pool width and melt-pool depth.

After interpreting their data and collecting feedback from the authors, the data set is prepared in the form of an Excel file having 667 rows and 7 columns of variables, five of the columns are features (x_1 to x_5) and two are target variables (y_1 and y_2) [2]. However, two separate models are created, one targeting the five input parameters' influence on the melt-pool width and the other focusing on the five input parameters' influence on the melt-pool depth [2]. The input parameters include laser power, P (in W), scanning speed, v_s (in mm/s), spot size, Φ (in μm), layer thickness, l_t (in μm), and powder porosity, φ (%). The output parameters are melt-pool width, w and melt-pool depth, d (both in μm). This model is trained twice, once for the melt pool-width and once for the melt-pool depth. The maximum and minimum values of the variables in the data set are shown in Table 3.2 [2].

Table 3.2: Maximum and Minimum Values in the Data Set

Param.*	$P(\text{W})$	$v_s (\text{mm/s})$	$\Phi (\mu\text{m})$	$l_t (\mu\text{m})$	$\varphi(\%)$	$w (\mu\text{m})$	$d(\mu\text{m})$
Max	400	3200	100	70	50	412	980
Min	50	100	58	30	0	45	5

***Param. = Parameters**

The data is separated into two groups, a training group and a testing group. Approximately 80% of the data are categorized into the training group while 20% are organized into the test group for 5-fold cross-validation while 90% of the data is split into the training group and 10% is split into the testing group during 10-fold cross-validation. The entire data set is shuffled before being presented to the regression models in order to prevent any biasness. For the training data set, the model is given the inputs as well as the outputs. It creates a scatter plot and a regression line using the log-structured merge-tree [2]. Once the percent error is calculated and the model is considered accurate, the model is given the test data. The test data only includes the inputs; the model predicts the outputs based upon the regression line it previously calculated. The predicted values are measured against the actual values to see how accurate the model is [2].

3.5 K-Fold Cross-validation

In order to test the accuracy of the model, the k -fold cross-validation method is used. With this method, the original sample data are shuffled and randomly partitioned into k equal parts [2]. Afterwards, the model will choose one set of data as the validation set and the other sets (the remaining $k-1$) as the training sets. This is done k times, with each specific set of data serving as the validation set exactly once [3]. When the model has completed its cross-validations, the results are averaged to give an accuracy of the model [3]. This study used a 5-fold cross-validation model as well as a 10-fold cross-validation model and compared which one was more accurate [2].



Figure 3.1: Representation of 5-Fold Cross-Validation [33]

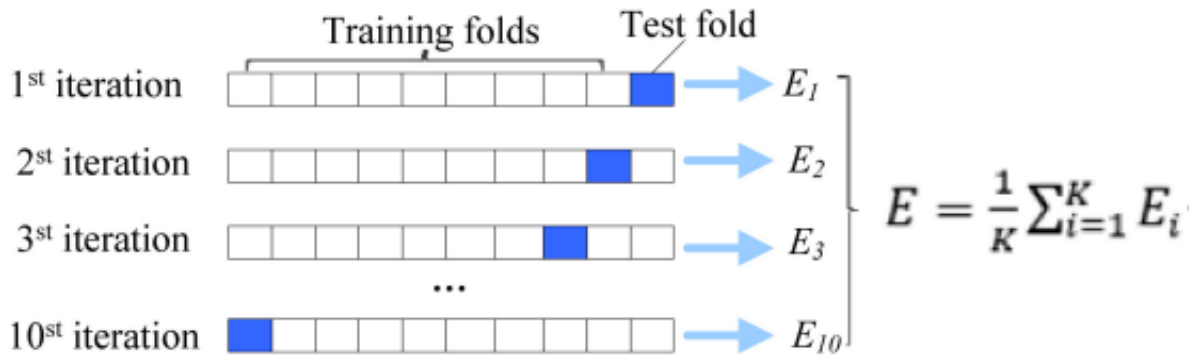


Figure 3.2: Representation of 10-Fold Cross-Validation

3.5 Smaller and Larger Data Sets

As the research progressed, the data set continued to grow. As a result, this entire process was repeated twice with another data set created in Excel which consisted of 2922 rows and 7 columns of the same variables. This data is significantly larger than the first, and the two data sets are compared to confirm that increasing the data set will decrease the error of the model.

Chapter 4

Results and Discussion

4.1 Data Correlation

Figures 4.1 and 4.2 show the correlation between the input parameters and output parameters of the entire data set. They also show the range of values of each parameter. The most varied parameters are laser power and scanning speed while spot size and porosity were the same value for most of the data entries. Power has a positive linear relationship to melt-pool width and melt-pool depth most exemplified in the bottom left corner of each scatter plot with power as the x-axis. This would make sense as the more power the laser has, the more energy exerted upon the substrate, increasing the melt-pool width and depth [2]. However, some higher power data points lie in the bottom right corners of the scatter plots with power as the x-axis. These power data points are associated with higher scanning speeds; consequently, these data points have lower depths of penetration and surface area spread. Even though the power parameter is set at a high threshold, the scanning speed can dictate the depth of penetration if set too high. The scanning speed has a negative exponential relationship to both melt-pool width and melt-pool depth. The widths and depths are greatest when the scanning speed is the slowest. This is expected; as the scanning speed increases, there is less time for the energy of the laser to penetrate the substrate. This is clearly illustrated in the scanning speed vs melt-pool width and the scanning speed vs melt-pool depth plots. The highest value of each output parameter is in the top left corner; as scanning speed increases, both output parameters slowly decrease. Figure 4.3 shows the heatmap correlation matrix where the correlation among the features and target variables are qualitatively portrayed on a scale of -0.5 to 1 [2].



Figure 4.1: Scatter Plots Between Melt-Pool Widths and Correlated Variables

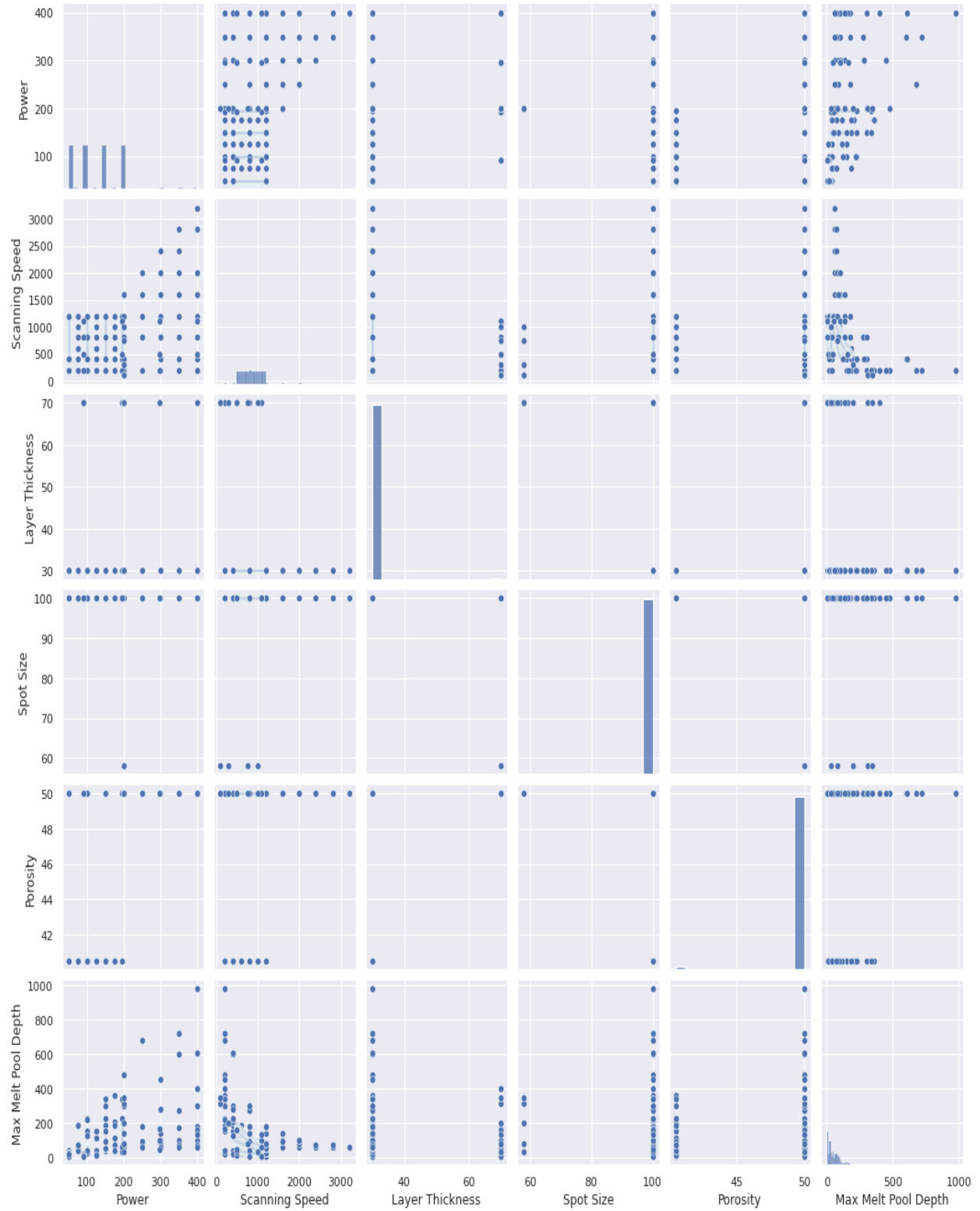


Figure 4.2: Scatter Plots Between Melt-Pool Depths and Correlated Variables

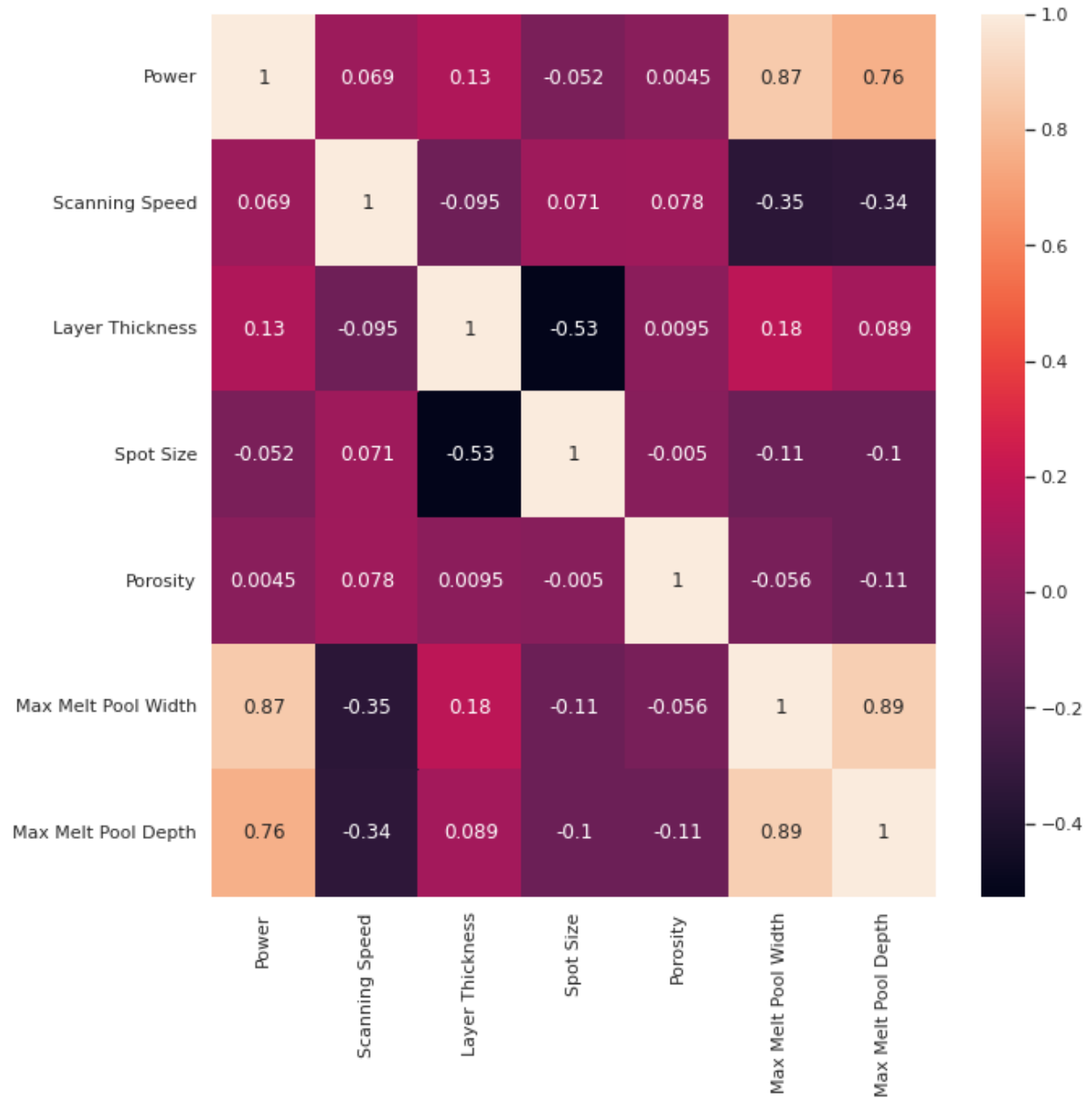


Figure 4.3: The Heat Map Correlation Matrix

4.2 Performance Analysis

First, the data set is tested using Python code and implementing the five-fold and ten-fold cross-validation techniques for each of the data sets [2]. The negative mean absolute error is

obtained from various regressor models and compared for evaluating the performance. These results are obtained by using open-source library codes from scikit-learn and modifying the codes based on the current analysis. For the smaller data set, the highest error in both the five-fold cross-validation and ten-fold cross-validation was calculated by the linear regression model. This error was significantly higher than the lowest calculated error, the extra trees regressor. As a whole, the ten-fold cross-validation error in all models with the exception of the melt-pool depth in linear regression, was slightly smaller than the error of the five-fold cross-validation error. The 10-fold cross-validation extra trees regressor produced the least amount of error and performed the best of all the models.

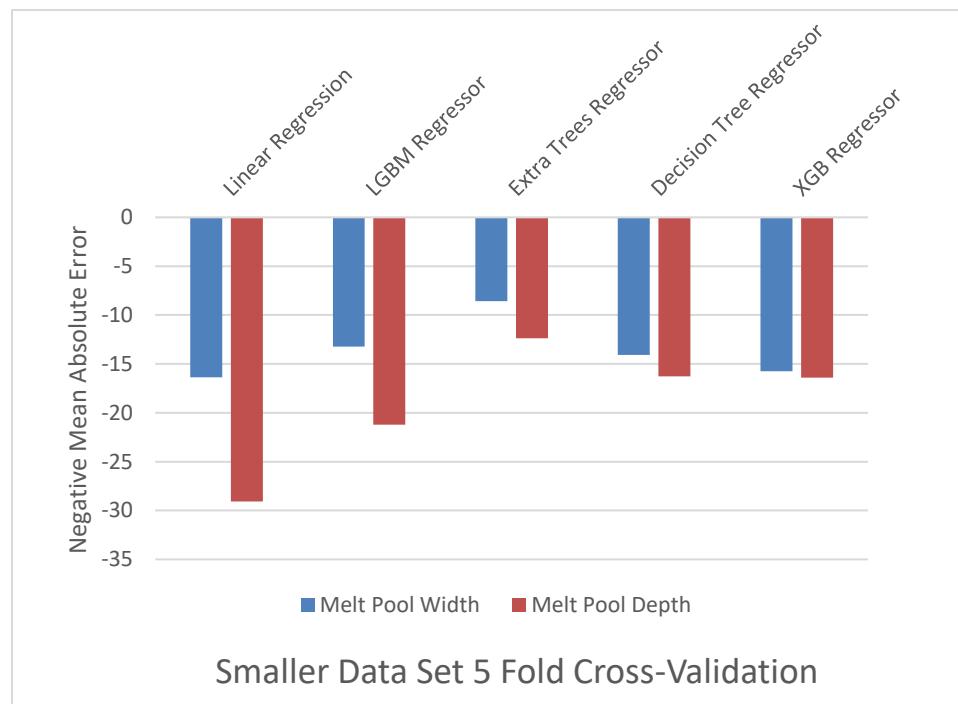


Figure 4.4: Smaller Data Set 5-Fold Cross-Validation

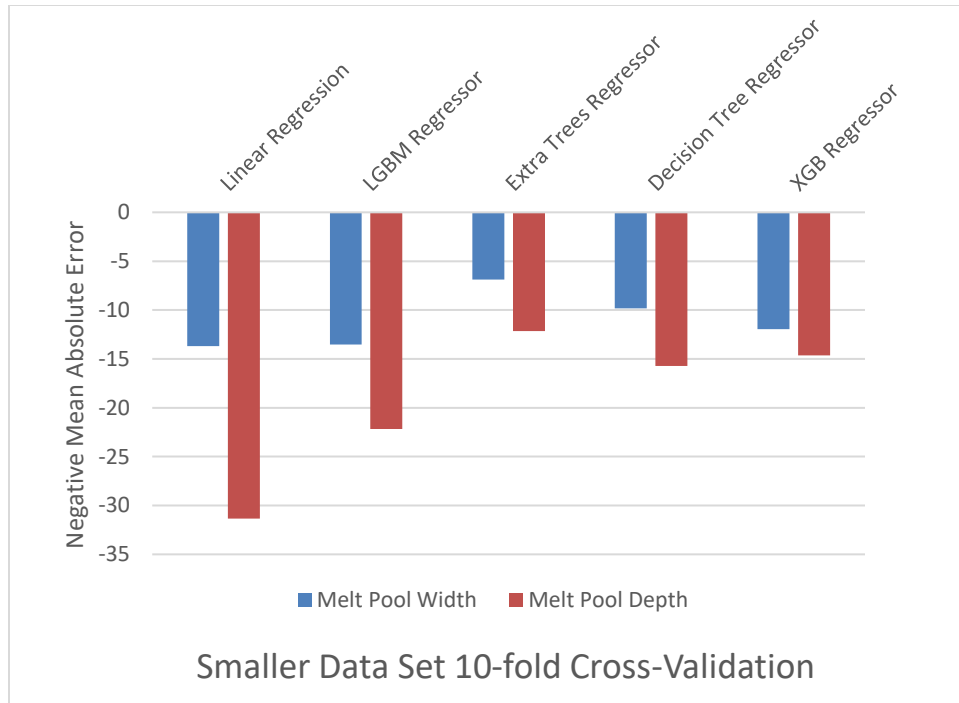


Figure 4.5: Smaller Data Set 10-Fold Cross-Validation

For the larger data set, the five-fold cross-validation error was again highest in the linear regression model. The extra trees regressor had the lowest error and was considerably lower than all the other models. The linear regression model produced the highest error in the ten-fold cross-validation model while the extra trees regressor performed the best, producing the least amount of error. All models performed better in the ten-fold cross-validation than in the five-fold cross-validation for the larger data set.

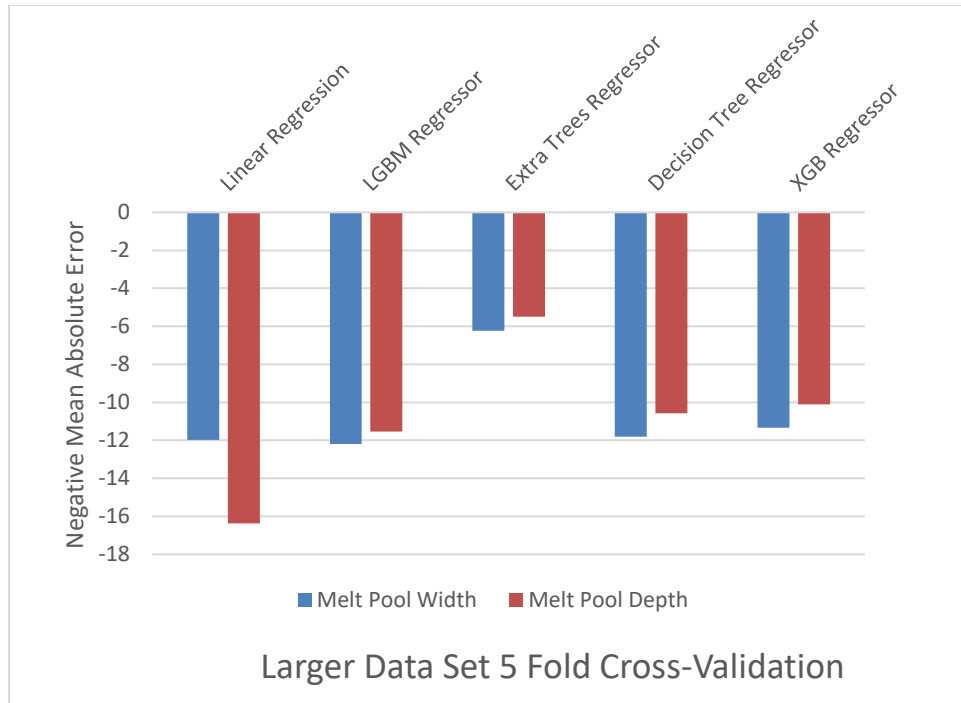


Figure 4.6: Larger Data Set 5-Fold Cross-Validation

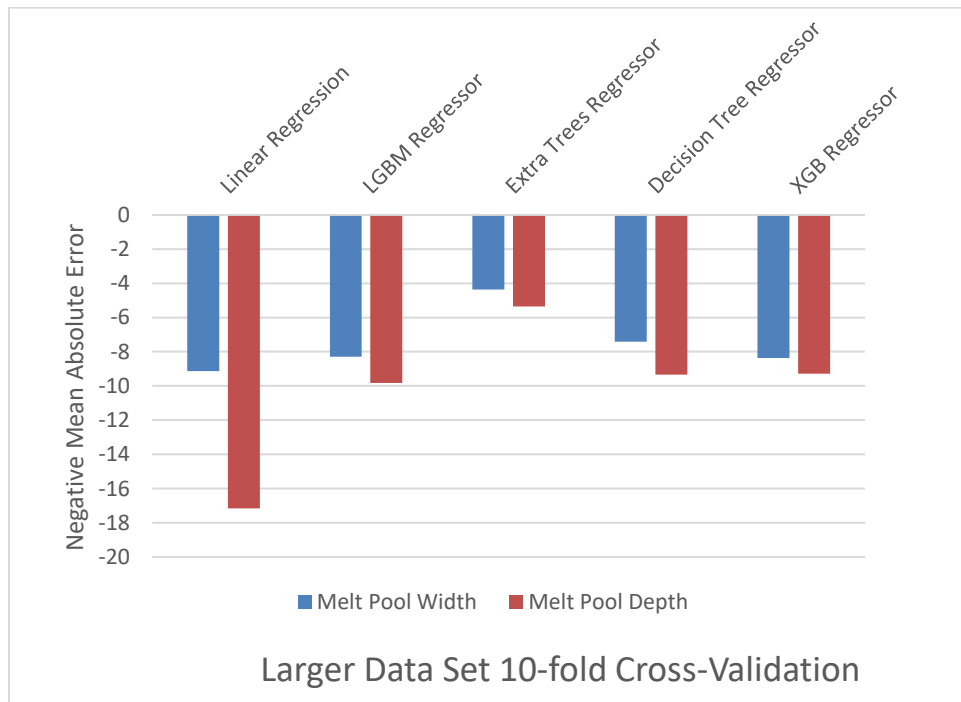


Figure 4.7: Larger Data Set 10-Fold Cross-

The larger data set outperforms the smaller data set in all regression models. This confirms the hypothesis that increasing the data set will increase the accuracy of the model. The best performing model, extra trees regressor, calculated the smallest error in the ten-fold cross-validation of the larger data set in comparison to the other k fold cross-validation models.

More results on the performance of the regression analysis are shown in Tables 4.1 to 4.8. The melt-pool width and depth are predicted separately by two regression models. These results are obtained by training and testing the data set using Weka 3.6.14 tool.

Tables 4.1 to 4.4 exhibit the error values of the width and depth melt-pool predictions in five-fold cross-validation and ten-fold cross-validation. The highest error values in all models are produced in the simple linear regression model, and the lowest error values in all models are calculated in the additive regression random forest models. The lowest error function within the additive regression random forest is the mean absolute error. In the depth prediction, the mean absolute error is slightly smaller in the ten-fold cross-validation with a value of 6.2466 than the five-fold cross-validation showing a value of 7.199. Regarding the mean absolute error width prediction, the values are nearly identical for the five-fold and 10-fold cross-validations producing values of 3.4759 and 3.4928 respectively.

Table 4.1: Melt-Pool Width Prediction for 5-Fold Cross-Validation for Smaller Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.9124	11.8832	22.4233	30.4354	40.9033
Simple Linear Regression	0.7418	21.9754	36.725	56.2837	66.9915
SVM Regression (normalized) kernel poly	0.9074	11.0029	23.0219	28.1807	41.9952
SVM Regression (standardized) kernel poly	0.9088	10.9688	22.8557	28.0934	41.6919
kNN (k=3)	0.9169	5.9672	21.953	15.2833	40.0453
kNN (k=5)	0.911	6.2561	22.7518	16.0232	41.5024
kNN (k=7)	0.9071	6.4085	23.3343	16.4135	42.5649
kNN (k=9)	0.8983	6.6048	24.3083	16.9162	44.3417
Additive Regression (Decision Stump)	0.9232	13.0485	21.1553	33.42	38.5902
Additive Regression (Random Forest)	0.9821	3.4759	10.3384	8.9026	18.8587
Additive Regression (Random Tree)	0.9541	5.1415	16.95	13.1685	30.9192
RepTree	0.9616	6.735	15.0409	17.2499	27.4367
Random Forest	0.9775	4.0185	11.5742	10.2923	21.1129
Random Tree	0.9547	5.9081	16.6614	15.1318	30.3927
Multi-Layer Perceptron	0.9693	7.7993	13.667	19.9756	24.9304

Table 4.2: Melt-Pool Depth Prediction for 5-Fold Cross-Validation for Smaller Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.8091	27.5143	49.4172	56.9285	58.7073
Simple Linear Regression	0.6324	30.1196	65.1447	62.3192	77.3914
SVM Regression (normalized) kernel poly	0.8079	19.6716	54.8246	40.7017	65.1312
SVM Regression (standardized) kernel poly	0.8077	19.6611	54.8745	40.6798	65.1905
kNN (k=3)	0.8762	9.0828	41.305	18.7928	49.07
kNN (k=5)	0.8694	9.5005	43.1183	19.657	51.2241
kNN (k=7)	0.8426	10.3226	46.1571	21.3581	54.8343
kNN (k=9)	0.8277	11.004	48.0119	22.7678	57.0377
Additive Regression (Decision Stump)	0.82	24.8862	48.3859	51.4909	57.482
Additive Regression (Random Forest)	0.93	7.199	30.9371	14.8951	36.753
Additive Regression (Random Tree)	0.8681	10.6584	42.5885	22.0528	50.5948
RepTree	0.8405	14.4961	45.5895	29.9932	54.16
Random Forest	0.9058	9.1202	35.6688	18.8702	42.3743
Random Tree	0.8438	11.5544	47.2061	23.9066	56.0805
Multi-Layer Perceptron	0.9334	13.0646	30.5374	27.0315	36.2782

Table 4.3: Melt-Pool Width Prediction for 10-Fold Cross-Validation for Smaller Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.9028	12.0265	23.5775	30.7875	43.0162
Simple Linear Regression	0.7446	21.9747	36.5538	56.2543	66.6911
SVM Regression (normalized) kernel poly	0.879	11.5338	26.2092	29.5262	47.8176
SVM Regression (standardized) kernel poly	0.8796	11.515	26.1574	29.478	47.7232
kNN (k=3)	0.9063	6.0586	23.1808	15.5097	42.2925
kNN (k=5)	0.901	6.3954	23.8423	16.372	43.4994
kNN (k=7)	0.9039	6.4076	23.5888	16.4033	43.0369
kNN (k=9)	0.892	6.7965	24.9185	17.3988	45.4628
Additive Regression (Decision Stump)	0.9124	13.5996	22.8324	34.8144	41.6567
Additive Regression (Random Forest)	0.9805	3.4928	10.7886	8.9414	19.6834
Additive Regression (Random Tree)	0.9625	4.7474	15.0955	12.1532	27.5412
RepTree	0.9547	7.4082	16.4928	18.9647	30.0904
Random Forest	0.9767	3.9497	11.7548	10.111	21.4462
Random Tree	0.953	6.0636	16.8871	15.5226	30.8098
Multi-Layer Perceptron	0.9256	16.7674	22.9872	42.9238	41.9393

Table 4.4: Melt-Pool Depth Prediction for 10-Fold Cross-Validation for Smaller Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.8069	27.5294	49.6764	56.9235	58.9976
Simple Linear Regression	0.6348	30.1076	64.9649	62.2545	77.1549
SVM Regression (normalized) kernel poly	0.7927	19.8468	55.3832	41.0379	65.7753
SVM Regression (standardized) kernel poly	0.7916	19.9009	55.4788	41.1498	65.8888
kNN (k=3)	0.8773	9.0116	40.9779	18.6336	48.6669
kNN (k=5)	0.8721	9.4356	42.6792	19.5103	50.6875
kNN (k=7)	0.849	9.9648	45.2984	20.6045	53.7981
kNN (k=9)	0.8313	10.6759	47.4597	22.0749	56.365
Additive Regression (Decision Stump)	0.8326	23.5127	46.7722	48.6181	55.5485
Additive Regression (Random Forest)	0.9429	6.2466	28.0736	12.9162	33.3412
Additive Regression (Random Tree)	0.8158	12.1472	52.5371	25.1171	62.3951
RepTree	0.789	14.3253	51.6844	29.6208	61.3824
Random Forest	0.9194	8.1925	33.3494	16.94	39.607
Random Tree	0.8556	11.5718	46.2141	23.9274	54.8856
Multi-Layer Perceptron	0.9113	18.7831	34.7865	38.8385	41.3138

The results of the larger data set seen in Tables 4.5 to 4.8 are similar compared to the smaller data set. In all cross-validation models, simple linear regression once again produces the most error while additive regression random forest produces the least. The mean absolute error calculated the least amount of error in all models as well. However, the five-fold cross-validation models for both the width and depth predictions were more accurate than the ten-fold cross-validation models. The width prediction for the five-fold cross-validation model is 0.8579 while the ten-fold cross-validation model is 0.8833; the depth prediction for the five and ten cross fold models shows a value of 1.3664 and 1.4864, respectively.

When evaluating the larger and smaller data sets, the larger data set is significantly more accurate. The lowest error value for the width prediction of the larger and smaller data sets were 0.8579 and 3.4759, respectively. And the depth prediction's lowest error values for the larger and smaller data sets were 1.3664 and 6.2466, respectively. This data confirms once again that increasing the data set will produce a more accurate model.

Table 4.5: Melt-Pool Width Prediction for 5-Fold Cross-Validation for Larger Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.955	7.0737	13.1638	19.8162	29.6433
Simple Linear Regression	0.8651	14.8741	22.2585	41.6682	50.1237
SVM Regression (normalized) kernel poly	0.9549	6.9062	13.3004	19.347	29.951
SVM Regression (standardized) kernel poly	0.9547	6.9093	13.3452	19.3556	30.0518
kNN (k=3)	0.9765	1.259	9.5792	3.5269	21.5712
kNN (k=5)	0.973	1.3538	10.2875	3.7925	23.1664
kNN (k=7)	0.9662	1.4538	11.4696	4.0727	25.8282
kNN (k=9)	0.9641	1.5096	11.8186	4.2289	26.6141
Additive Regression (Decision Stump)	0.9511	9.0502	13.8195	25.3531	31.12
Additive Regression (Random Forest)	0.9913	0.8579	5.8506	2.4032	13.1749
Additive Regression (Random Tree)	0.9738	1.3918	10.1122	3.8989	22.7714
RepTree	0.9738	2.6613	10.0963	7.4553	22.7357
Random Forest	0.9905	1.2214	6.121	3.415	13.7837
Random Tree	0.9842	1.9343	7.8958	5.4188	17.7804
Multi-Layer Perceptron	0.9789	5.039	9.4655	14.1161	21.3153

Table 4.6: Melt-Pool Depth Prediction for 5-Fold Cross-Validation for Larger Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.8613	14.0505	27.5084	38.023	50.7921
Simple Linear Regression	0.7607	17.7688	35.1421	48.0853	64.8871
SVM Regression (normalized) kernel poly	0.857	11.4893	29.487	31.092	54.4454
SVM Regression (standardized) kernel poly	0.8572	11.4812	29.5241	31.0701	54.5139
kNN (k=3)	0.9534	1.9049	16.4715	5.1549	30.4134
kNN (k=5)	0.9398	2.05484	18.7751	5.5704	34.6667
kNN (k=7)	0.9277	2.2442	20.522	6.0732	37.8922
kNN (k=9)	0.9213	2.4152	21.3363	6.536	39.3957
Additive Regression (Decision Stump)	0.8783	14.2288	26.1502	38.5055	48.2843
Additive Regression (Random Forest)	0.9758	1.3664	11.8903	3.6978	21.9545
Additive Regression (Random Tree)	0.9531	2.0225	16.3949	5.4732	30.2718
RepTree	0.9153	5.0917	22.0243	13.7791	40.666
Random Forest	0.9687	1.9305	13.482	5.2244	24.8935
Random Tree	0.9466	2.9903	17.7637	8.0922	32.7992
Multi-Layer Perceptron	0.972	7.0322	13.7756	19.0303	25.4355

Table 4.7: Melt-Pool Width Prediction for 10-Fold Cross-Validation for Larger Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.9523	7.1164	13.5423	19.9364	30.4991
Simple Linear Regression	0.865	14.882	22.2719	41.6916	50.1594
SVM Regression (normalized) kernel poly	0.9533	6.8939	13.5311	19.313	30.474
SVM Regression (standardized) kernel poly	0.9531	6.897	13.5746	19.3219	30.5718
kNN (k=3)	0.9655	1.3693	11.5633	3.8359	26.0421
kNN (k=5)	0.9713	1.3336	10.5926	3.7361	23.856
kNN (k=7)	0.9667	1.4421	11.3915	4.0399	25.6533
kNN (k=9)	0.9634	1.4803	11.9357	4.1469	26.8809
Additive Regression (Decision Stump)	0.9521	9.1662	13.6946	25.6788	30.842
Additive Regression (Random Forest)	0.9905	0.8833	6.101	2.4746	13.7404
Additive Regression (Random Tree)	0.9702	1.5059	10.8199	4.2187	24.3679
RepTree	0.9844	2.3686	7.8149	6.6355	17.6002
Random Forest	0.9905	1.1896	6.1105	3.3326	13.7617
Random Tree	0.9841	1.9006	7.8906	5.3244	17.7708
Multi-Layer Perceptron	0.9764	5.1238	9.6067	14.3541	21.6356

Table 4.8: Melt-Pool Depth Prediction for 10-Fold Cross-Validation for Larger Data Set

Method	Correlation coefficient	Mean absolute error	Root mean squared error	Relative absolute error (%)	Root relative squared error (%)
Linear Regression	0.8596	14.0663	27.6622	38.0711	51.0798
Simple Linear Regression	0.7601	17.7506	35.1793	48.0428	64.9605
SVM Regression (normalized) kernel poly	0.8558	11.5286	29.5535	31.2026	54.5723
SVM Regression (standardized) kernel poly	0.8557	11.5256	29.6124	31.1944	54.681
kNN (k=3)	0.9521	1.9341	16.6673	5.2347	30.7771
kNN (k=5)	0.9428	2.0005	18.3892	5.4143	33.9567
kNN (k=7)	0.9292	2.2402	20.3321	6.0631	37.5444
kNN (k=9)	0.918	2.4152	21.7399	6.5368	40.144
Additive Regression (Decision Stump)	0.8807	14.0449	25.8221	38.0132	47.6819
Additive Regression (Random Forest)	0.9707	1.4864	13.0059	4.023	24.0161
Additive Regression (Random Tree)	0.9265	2.3986	20.4576	6.4918	37.7762
RepTree	0.9024	4.7016	12.725	43.0811	34.7261
Random Forest	0.963	2.0568	14.6108	5.5667	26.9797
Random Tree	0.9401	3.0304	18.9886	8.2018	35.0636
Multi-Layer Perceptron	0.958	8.0572	15.5944	21.807	28.7959

4.3 Model Validation

The predicted results from the ML model are compared with the CFD modeling and experimental results for the melt-pool width and depth [2]. The CFD simulation of the L-PBF process with Ti-6Al-4V powder-bed material is conducted in ANSYS 2019 R3 [25]. The laser melting experiments are conducted in a custom-designed ytterbium fiber laser processing system (IPG model: YLR-200-AC-Y11) [35]. The cross-sections of the processed specimens are examined using scanning electron microscope (SEM) to obtain melt-pool width and depth results. The process parameters, i.e., the five features are kept same for both the experiment and the CFD model in order to facilitate the comparative study. The values for the simulation and experimental parameters and the five features considered for the ML model are shown in Table 4.9, where UDF represent user defined functions in terms of temperature [2, 25, 35].

Table 4.9: List of Simulation and Experimental Parameters [35]

Parameters	Values
Solidus temperature, T_s (K)	1878
Liquidus temperature, T_L (K)	1938
Latent heat of fusion, L_f (kJ/kg)	440
Spot size of laser beam, Φ (μm)	100
Scanning speed, v_s (mm/s)	300
Laser power, P (W)	200
Initial temperature, T_{in} (K)	298
Laser absorption efficiency, η_l	0.865
Powder porosity (%)	50
Powder layer thickness, l_t (mm)	0.07
Beam penetration depth, S (μm)	62
Convection coefficient, h (W/m ² -K)	10
Effective viscosity, μ (kg/m-s)	UDF
Specific heat, c_p (J/kg-K)	UDF
Thermal conductivity, k (W/m-K)	UDF
Emissivity, ε	UDF
Density, ρ (kg/m ³)	UDF

The comparison of the results and percentage of deviation are depicted in Table 4.10 and Table 4.11. As a whole, the larger data set yielded a maximum error of 11.30% while the smaller data set yielded a maximum error of 11.57% confirming that a larger data set model is more accurate. However, because the experimental and CFD model values vary greatly, the smaller data set produces better results in some individual comparisons of the CFD and experimental

models. For example, the smaller data model predicted the exact depth of the CFD model but produced 11.57% error when compared to the experimental model. Overall, the machine learning model results for melt-pool width and depth show a good agreement with the CFD modeling and experimental results. The ML model can save a significant amount of time while predicting the melt-pool geometry as compared to the other two techniques.

Table 4.10: Results Comparison and Validation for Smaller Data Set

Variable	ML	CFD Model	Exp.*	% of Deviation from CFD Model	% of Deviation From Exp.*
Melt-Pool Width	201	186	205	8.06	1.95
Melt-Pool Depth	168	168	190	0	11.57

Table 4.11: Results Comparison and Validation for Larger Data Set

Variable	ML	CFD Model	Exp.*	% of Deviation from CFD Model	% of Deviation From Exp.*
Melt-Pool Width	192	186	205	3.22	6.34
Melt-Pool Depth	187	168	190	11.30	1.58

Chapter 5

Conclusion and Future Work

5.1 Concluding Comments

A supervised machine learning (ML) model is developed to predict the melt-pool width and depth of Ti-6Al-4V alloy in the laser powder-bed fusion (L-PBF) process. Five-fold and ten-fold cross-validation methods were compared in order to show which model produced more accurate results. A smaller data set consisting of 667 data points was tested against a larger data set consisting of 2922 data points to confirm that a larger data set will yield more accurate results. The Additive Regression Random Forest 10-fold cross-validation model generated with the larger data set produced the most accurate results with a maximum error of 11.30%. The overall study shows that the ML model can be a very good tool to generate faster yet reliable results for melt-pool geometry and similar parameters in the L-PBF process.

5.2 Future Work

Future work for this research includes improving the accuracy of the model. This can be achieved in numerous methods including: finding data that has more varied porosity and layer thickness values in order to create a more robust model, increasing the data set even further, focusing on more than five parameters, creating a neural network with images of melt-pool geometries that could be even more accurate than the regression models.

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