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Colonial Legacies and Development Performance in Africa

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COLONIAL LEGACIES AND DEVELOPMENT PERFORMANCE IN AFRICA

A Thesis

Submitted to the Graduate Faculty of the
University Of New Orleans
in partial fulfillment of the
requirements for the degree of

Master of Arts
in
The Department of Political Science

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Stephanie Burchard

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Abstract

It is often assumed that significant differences existed between Great Britain and France with regards to their respective styles of colonial administration. Some researchers have asserted that this difference in colonial administration has affected post-colonial economic and political development in Africa. This paper offers some theoretical, anecdotal, and econometric evidence questioning the validity of these assumptions. This paper also examines some commonly encountered problems associated with econometric analyses of African development: namely, the availability and reliability of data, and the difficulty in operationalizing and measuring political development in Africa.

Introduction

In the literature on colonialism, there is no clear consensus regarding the existence, relevance, or impact of colonial style on post-colonial development in Africa. Some authors see a definite distinction between British and French colonial styles; others see no discernable or significant distinction between the two. Nonetheless, some comparativist authors, who have been exploring broader questions regarding political and economic development, have implicitly assumed that this research question had been answered. Migdal (1988), in analyzing the relationship between state strength and societal strength, assumes that there was a different style of colonial administration between Great Britain and France, which had an impact on both state and societal development in post-colonial Africa. Mainwaring and Shugart (1997), in examining presidentialism in Latin America, also make a similar assumption. According to them, “in Africa countries with British colonial heritage (e.g., Botswana) have tended to have better democratic records than those without” (23). The fact that they have cited an extreme outlier case as an example of former British colonial success may undermine this assertion.

Even amongst those that believe that there exists a definite distinction between British and French colonial styles, there is no consensus regarding the exact nature of the effects of this distinction. To some, former British colonies should be expected to experience more development due to the “indirectness” of the British style of colonial administration. To others, former French colonies are expected to experience more development due to the “directness” of the French style of colonial administration. This paper examines various arguments in the literature regarding colonial style and compares them to the historical record. Anecdotal evidence, alternative theoretical

arguments, and statistical evidence are all presented in an attempt to assess the validity of the differentiated colonial legacy hypothesis. Additionally, this paper examines some of the common obstacles facing theorists who wish to utilize quantitative methods in order to study and explain African phenomena, and offers some suggestions for future quantitative research on Africa. Specific attention should be paid to increasing the quality and quantity of African data and exploring new ways of conceptualizing variables such as political development, in order to increase their relevance to the African experience.

Colonial and Post-Colonial Development

From the mid 1800s until the mid 1900s, colonialism almost uniformly subjugated the African continent. Only Liberia and Ethiopia were spared direct colonial conquest. Advances in medical science (specifically the discovery of quinine in the 1850s as a cure for malaria) and weaponry (the machine gun was invented in the 1880s) facilitated European colonization of Africa in the 1880s. By 1900, the European powers had partitioned most of Africa. Although Italy, Germany, Belgium and Spain had colonies, Britain and France held the majority of African colonies: approximately 20 each of what are now independent states.

In the late 1950s, a wave of decolonization swept over most of the continent. Indigenous independence movements were gaining momentum and appealing to more and more native peoples. Additionally, after World War II, Europe—which had with some exceptions ignored and in some cases suppressed prior pushes for independence—struggled under the burden of financing both the cost of colonial administration and the cost of reconstruction after the war. In 1958, France held referendums on independence

in its colonial countries. Each country was asked to choose between immediate independence and a gradual process of decolonization. Only Guinea chose immediate independence; the others gained independence beginning in 1960. The process in former British colonies was less organized; each country individually negotiated its own independence from colonial rule. By the early 1970s, only the Portuguese colonies and a handful of French colonies remained.

There was an air of optimism about Africa's post-colonial prospects¹. Many new countries were establishing themselves as democracies and opening their domestic markets to capitalist forces. Economic growth in the 1950s and 1960s was significant in many African countries. According to Collier and Gunning (1999), "[i]n the 1960s, Africa's future looked bright" (3). For the first half of the 20th century, and continuing through approximately 1963, economic growth in Africa had significantly outpaced economic growth in Asia (3). Sierra Leone, a country that by all accounts has been an economic and political disaster for much of the last 40 year period, enjoyed 3.8 percent average growth during the 1960s (World Development Indicators 2001). In the late 1960s and early 1970s, however, researchers were forced to change their predictions and understandings of the nature of African development as the continent as a whole began to struggle with soaring inflation rates, excessive debt burdens, and negative economic growth rates. Africa fared no better in the 1980s as economic stagnation persisted and economies entered severe recessions. Regional growth for sub-Saharan Africa during

¹ Surendra J. Patel, a member of the secretariat of the United Nations Economic Commission for Africa, Addis Abba, was one such optimist. In 1964, he wrote "the distance that now separates agricultural scarcity in Africa from surplus in industrial countries is neither very large nor very difficult to cover. The gap in the industrial field is very wide—output being 32 times as high in the industrial countries. This appears formidable, but could be overcome in only 40 or 50 years if industrial output *per capita* were raised annually at a rate of seven to nine percent (that is, a growth rate of about 9 to 11 per cent for total industrial output.) This is a high rate, but many countries have attained it in recent years" (337-338).

the 1980s was only 1.7 percent; the only region with lower annual growth was North Africa and the Middle East which experienced 0.4 percent growth during the same time period (van de Walle, 2001, 5) Then, in the 1990s, following on the heels of the democratization of much of Africa, researchers again were forced to adjust their understandings of development in the African setting to account for the increased diversity of outcomes across the continent as some countries were experiencing significant economic growth and development while others continued to experience economic stagnation and civil and political strife.

Africa's experience with political development has closely paralleled its experience with economic development. Although many African countries emerged as independent democracies, they quickly succumbed to party struggles, coups, civil wars, and turned to dictatorial or single-party forms of rule. For the next two decades, autocracy was the norm. According to Sandbrook (2000 3), "[o]f the original forty-seven Sub-Saharan countries, only three—Mauritius, Botswana, and Gambia –retained multiparty democracy for twenty years or more." However, by the early 1990s, many countries began to experiment with multiparty elections. In 1990, Benin, setting an example that many other countries would soon follow, held the first National Conference to revisit the constitution and allow for multiparty elections. Over the next few years, other countries followed suit, and by 1995, "29 countries had held a total of 54 elections, with observers hailing more than half as 'free'" (Gibson 2002 202).

The above characterization of African economic and political development is purposefully broad and sweeping, illustrating the general temporal trends experienced across the continent. While it is true that the African continent has, generally speaking, suffered from much economic underdevelopment and political development has been

underwhelming (at least through the 1980s), this is not to say that there has been no development, progress or growth. There are bright spots such as Botswana which enjoyed remarkable economic growth throughout the post-colonial era. From independency until 1999, Kenya and Tunisia both averaged approximately 5% annual GDP growth (World Development Indicators 2001). Botswana and The Gambia enjoyed significant political development throughout the 1960s, 1970s and 1980s, both maintaining democratic polities and holding regular elections. Thus, there is some variation in development. We must look at the exact records of all African countries in order to get a better sense and more exact understanding of African development trajectories.

Some researchers have explained these differences in economic and political outcomes as path dependent products of a colonial legacy; however, the exact nature and mechanisms identified vary widely. The long-lasting impacts of colonialism, and the nature and duration of this legacy has long been debated in the literature on African economic development. As a subset of the colonial legacy debate, the differences between British and French colonial legacies have also been contested. Thus far, there is no consensus on this question of colonial power legacy.

Has there been a marked difference in performance between former British and former French colonies? This paper examines economic and political development in post-colonial Africa, specifically, seeking to weigh in on the debate over differentiated colonial legacy. I argue that there is no substantive difference in development levels between former British and former French colonies. The stylistic differences in colonial styles have been exaggerated. Moreover, the advent of Welfare colonialism in the post-World War I era affected both British and French colonial policies. Welfare colonialism

required direct control through spending and policy and both British and French colonial administrators pursued these types of policies.

The underlying mercantilist and extractive imperatives driving European colonization of Africa have had more serious negative consequences for development, which overrides any purported differences in rule style. Colonialism has had a very negative, constraining effect on development in general. However, neither French nor British colonies have enjoyed a marked advantage in development or growth. This paper represents a first attempt by the author to explore colonial legacy. The exploration of British versus French colonial rule is only meant to narrow the focus on colonial legacy and attempt to help suggest future research on colonial legacy.

In order to explore post-colonial development in Africa, I begin by examining the general factors believed to influence economic and political development, and the relationship between the two. This broad review of the theories of development is meant to both provide a foundation for understanding, specifically, how colonialism has affected development in Africa. Then I turn to general theories of colonialism in Africa, examining what some argue the effects of colonial domination have been. I then focus on the specific arguments regarding British and French colonial administrative styles, demonstrating how there has been no consensus on the theory of differentiated colonial legacy and presenting some compelling theoretical arguments and some anecdotal evidence undermining the assertion of differentiated legacy. The data analysis section examines the respective economic and political development of former British and former French colonies using difference of means tests and outlines how to test the theory of differentiated colonial legacy using regression analysis while presenting the

alternative hypotheses that must be evaluated alongside differentiated colonial legacy. The findings of the empirical research are then presented and discussed.

Theories of Development

This section on development discusses several different general arguments and theories regarding economic and political development and the obviously interdependent relationship between the two which also affects many of the variables discussed. In order to highlight the relationship between colonialism and development, two specific schools of thought regarding development— *dependency theory* and *statist theory*²—are examined throughout this section. Dependency theory, which emerged in the 1950s as an alternative to modernization theory, provides several possible mechanisms through which colonialism could affect long-term, post-colonial development. Statist theories offer alternative understandings of how states may develop regardless of colonial legacy and lay the foundation for the control variables used in the data analysis section.

Although there is much variation in what is termed dependency theory, there are a few running themes in the literature. First, a country's specific history matters and affects future prospects for development. For many dependency theorists, the origins of underdevelopment can be traced back to each country's colonial period where an uneven and unequal trade relationship was established (Gunder Frank 1969; Dos Santos 1970). Second, transnational factors have important implications for domestic development (Baran 1958; Prebisch 1959; Cardoso and Falleto 1979). Specifically, the

² While there are different variations in what can be termed statist theories, this paper specifically examines those that argue that the state should be analyzed as an actor.

structural relationship between what have been termed “center” or “core” countries and “periphery” countries positively affects development in the core and negatively affects development in the periphery (Galtung 1971; Wallerstein 1974b).³ This is due to several different reasons, including relationships established during imperial and colonial periods, which will be discussed further throughout this paper. Third, development is not inevitable and does not occur in phases or stages (Baran 1958; Baran and Hobsbawm 1973 [1961]). This argument is in direct opposition to Modernization theories, such as those put forth by Lerner (1961) and Lipset (1959), which generally contend that economic development occurs in evolutionary or progressive stages.

Statist theories, simply put, emphasize the role of the state as an actor affecting development. Authors such as Alice Amsden, Peter Evans, Stephan Haggard, David Laitin, Dietrich Rueschemeyer, and Theda Skocpol—all contributors to the “Bringing the State Back In” movement—exemplify statist authors. To them, previous understandings of the role of the state in development tended to either ignore or underplay the role of the state. Skocpol (1985) specifically argues that as state has incorrectly been viewed as an instrument or arena rather than an actor. She believes that the state as an actor should be given a “central place” in research (28).

Although some statist theorists have drawn upon the dependency framework in their understandings of development (Laitin 1985), there is a definite tension between statist theories and dependency theories of development. Dependency theorists tend to focus primarily on resource endowment, economic structure, international capital and

³ Core countries are generally considered to be the Western advanced industrialized countries (i.e., Western Europe, United States, Canada, etc.). The periphery countries are generally considered to be the underdeveloped countries located in Africa, Asia, and Latin America. Wallerstein (1974a) coined the term “semi-periphery” to refer to those countries that exist somewhere between the core and periphery, i.e., those that are declining core countries or improving periphery countries.

historical institutional arrangements in their theories of development and underdevelopment. Some statisticians have criticized dependency theorists for neglecting to examine the role of domestic policies and institutions—which they perceive as integral factors in assessing causes of development and underdevelopment (Amsden 2003; Evans 1985; Haggard 1990). This is not to say that statist theories ignore the role of resource endowment, economic structure, etc. in their analyses of development, but rather that they focus their respective analyses on how policies and institutions shape and mediate the effects of these factors.

These four categories of factors—resource endowment, economic structure, international capital, and institutions and policies—will be examined below. Dependency theory and statist theory will be incorporated into the discussion on development where applicable.

Development is a rather abstract concept. Growth, progress, and expansion are all synonymous with development, but what exactly do they mean? While most would agree that they know development when they see it, pinning down an exact or precise definition proves very difficult. What exactly are we referring to? In this paper, development is used in a comparative sense to facilitate the examination of different levels of economic and political growth and progress among different regions and countries. This section on development discusses the relevant arguments and theories regarding economic and political development and the obviously interdependent relationship between the two which affects many of the variables discussed.

Economic Development

Economic development often implies the expansion or enlargement of economic activity and production. Gross domestic product and per-capita income are sometimes

used as proxy measures for economic development. To compare economic development, Wiarda (2004) uses scales of per-capita income developed by the World Bank to differentiate levels of development. Low income countries are classified as those with an average per-capita income of \$755 or less; lower-middle-income countries as those with an average per-capita income of between \$755 and \$2,995; upper-middle-income countries are those between \$2,996 and \$9,265; high-income countries are those with a per-capita income of \$9,266 or higher (2). As Wiarda points out, a high-income country would look decidedly different from a low-income country in terms of size and scope of the economy, average income levels, and general infrastructure development.

However, GDP growth and GDP per capita growth may be better indicators of economic development; specifically GDP per capita growth, which captures the growth of the economy, while simultaneously controlling for population growth. North and Thomas (1973) convincingly argue that growth in GDP per capita is a more appropriate measure of economic growth than GDP growth as “[t]rue economic growth thus implies that the total income of society must increase more rapidly than population” (1). Additionally, treating economic growth as an interval measure allows for more specific and accurate econometric analysis.

What are the salient factors which are believed to account for economic growth? Resource endowment, economic structure, international capital, and institutional structures are all often cited in the economic development literature as accounting for differences in economic development levels. The arguments pertaining to the role of each factor are presented in the following sections. As dependency theorists identify resource endowment, economic structure, international capital and institutional

arrangements as particularly important for understanding economic development, the specific arguments pertaining to each factor are also presented. Both dependency and statist theories on institutions and policies are also examined and compared; dependency theory specifically as it addresses larger international and transnational institutional structures and statist theory as it examines domestic institutions and policies.

Resource Endowment

The prospects for development are widely expected to be influenced by such “natural” resources as population, urbanization, land quality, climate, and highly valuable natural resources. Population growth is often considered an important variable when exploring economic development. According to Malthus (1798), population growth, if left unchecked, will lead to poverty and famine. He believed that as population growth increases geometrically, it will eventually outpace man’s ability to produce foodstuffs or sustenance, which increases arithmetically. But according to Boserup (1965), and in direct tension with Malthus, population growth is the engine that leads to changes and advancements (i.e., technical improvements, increased efficiency) in agricultural production. She argues, that based on this relationship, population growth encourages economic growth. Although theories concerning population and development have been inconsistent over time, sometimes supporting the notion that population increases lead to economic decline and other times supporting the notion that population increases lead to economic growth, the empirical record indicates that economic growth and population growth have often coincided (McNicholl 2003).

Urbanization, although sometimes taken as a proxy measure for industrialization, has other implications. Increased numbers or percentages of urban

populations may be easier to educate as their dense location may make it easier to dispense government services such education (and health care) to larger numbers of people. Larger markets may allow for the emergence of economies of scale and scope, which are believed to facilitate economic growth. Bradshaw (1987) finds tenuous support for the theory that increased urbanization is associated with economic growth; however, he finds that rapid urbanization undermines economic expansion and forces labor into service and informal sectors. Additionally, some have argued that large-scale urbanization undermines economic development as governments are forced to divert resources to education, health care, poverty, crime and other general city services (Timberlake and Kentor 1983; Zuberi et al. 2003)

Land quality is frequently mentioned when evaluating economic growth (Bloom and Sachs 1998; Gallup et al. 1999; Sachs 2001; Sachs and Warner 1995, 1997, 1999, 2001). Coquery-Vidrovitch (1969) argues that differences in climate and land quality are responsible for different levels of economic development in Africa, regardless of colonial power. Examining all French colonial holding in Africa, she believes that French West Africa has an overall better climate for economic development and thus should grow faster than French Equatorial Africa. Due to its large swathes of land which are difficult to control, French Equatorial Africa has experienced more frequent conflict and had more difficulties establishing government control, which she believes could pose serious problems for future development. Sachs and Warner (1997) argue that tropical countries grow slower than non-tropical countries due to their dependence on the vagaries of the environment which make agricultural productivity difficult and unpredictable, and the higher incidence of tropical diseases such as malaria which result in higher morbidity rates.

Another issue of central concern to African countries is the presence of highly valuable natural resources. While it might seem counter-intuitive at first, many researchers believe that the presence of diamonds, gold, oil and other highly valuable natural resources may create a significant impediment to meaningful or sustained economic development (Van Wijnbergen 1984; Gelb 1988; Deaton 1999). According to Hill (1990), when an economy experiences a boom or windfall of revenue from one specific sector (oil, diamonds, etc.), “[t]he increased export revenues will tend to appreciate the real exchange rate, leading to a loss of competitiveness and hence a fall in output in other tradable goods sectors” (3). Additionally, if the government treats this windfall as a permanent phenomenon when it is a temporary occurrence, this can lead to “excessive real exchange rate appreciation and increasing wages, then balance of payments crises, instability of income growth, reduced diversification, and wasted resources” (3). The aforementioned economic effects are what are commonly referred to as the “Dutch Disease.”

According to dependency theory, resource endowment is identified as one of the primary means (and motivation) of the exploitation of the periphery by the core. Resources in the periphery, including labor, agricultural products, minerals, and oil deposits, are used to enrich the core—at the expense of development in the periphery. In *The Political Economy of Growth*, Baran (1957) argues that developed countries exploit the underdeveloped countries for their primary goods and resources. He claims that developed countries consciously want to exploit underdeveloped countries and actively prevented their economic development as that was contrary to the interests of the advanced world: “the ruling class in the United States (and elsewhere) is bitterly opposed to the industrialization of the so-called ‘source countries’ and the emergence of

integrated processing economies in the colonial and semi-colonial areas” (12). Baran’s argument that this dependent relationship centered on resources discourages industrialization leads directly to the next factor believed to affect economic development: economic structure.

Economic Structure

For many different reasons, level of industrialization has long been cited as a main contributing factor to economic development (Smith 1776; Young 1928; Prebisch 1959). According to Engel’s law (1857), as incomes increase, demand for agricultural goods increases significantly less than does demand for manufactured goods. Thus, economies based predominantly on agricultural products will grow more slowly than industrialized economies. Dependence on agricultural products also ties countries to vagaries of the environment such as rainfall, soil conditions, etc. which makes growth unpredictable and unstable. Additionally, it has been reported that, since the 1950s, prices for agricultural products have experienced a significant relative decline in price compared to prices for industrial goods (FAO 2004).

As some dependency theorists argue (and from a world system perspective), a lack of indigenous technological development, which should propel industrialization, forces peripheral countries to remain dependent on the core countries’ technological imports and relegates them to a position of relative economic backwardness.

Dependency theorists such as Andre Gunder Frank (1969) and Paul Baran (1957) theorized that there could be no development in the underdeveloped countries based on this unequal relationship. They believed that the structure of the international capitalist system required the exploitation of periphery countries by core countries and, due to this capitalist relationship, those countries that entered the system as underdeveloped

countries would remain underdeveloped countries. Other dependency theorists were more optimistic regarding the possibilities for development in the periphery. Prebisch (1959), for example, offered specific policy prescriptions regarding trade which he believed would offset the debilitating effects of the unequal relationship between the core and periphery. His suggestion, import substituting industrialization, is discussed further below.

Trade is also frequently mentioned as one of the factors directly affecting economic growth. There have been some lively debates over the years regarding the impact of trade on development, and some especially interesting debates focusing exclusively on trade in underdeveloped countries. During the 1960s and 1970s, some theorists, including Prebisch, touted ISI as the solution to underdevelopment in Latin America. It requires that countries heavily discourage international trade while encouraging domestic industrialization. ISI, by increasing the goods produced and marketed from domestic sources, is hypothesized to correct for the effects of foreign trade elasticity and the unequal trade relationships between more developed countries and less developed countries. The historical record, however, has revealed ISI to be largely and overwhelmingly a failure and many believe ISI has been effectively discredited (Krueger 1998).⁴

On the other hand, several theorists have argued that free and open trade promotes economic development for various theoretical reasons including economies of scale, comparative advantage, and dynamic gains, for example (Smith 1776; Ricardo 1817; Baldwin 1992). Krueger (1998) has suggested that open-trade is necessary for economic growth in less developed countries. According to her, trade allows smaller,

⁴ See Bruton (1999) or Waterbury (1999) for more on the reasons for the failure of ISI.

less developed markets to focus on whatever commodity they have a comparative advantage in and allows them to enjoy economies of scale in production by immediately expanding their market size. Additionally, she believes that the failures of ISI indicate that open, more liberalized trade policies are the most appropriate trade policy.

Collier and Gunning (1999) agree increased trade and openness to trade are important for economic growth and development in Africa, but they are less optimistic than theorists such as Krueger, who believe that trade is the primary engine for economic growth. They believe that other factors such as geography, poor domestic policies, and poor delivery of public goods are equally culpable. Additionally, based on the successes of the East Asian Tigers (Taiwan, Singapore, South Korea, and Hong Kong), who each pursued a protectionist, export-oriented growth strategy, it is difficult to conclusively argue that free and open trade is the only route to economic growth and development. The types of policies pursued by the East Asian Tigers will be discussed further in the section below on Institutions and Policies.

International Capital

Foreign aid and investment comprise another category of variables which is often believed to affect economic development. The arguments regarding aid and investment are similar, as they both represent foreign capital, but the mechanisms which drive them are slightly different. This is due to the fact that aid is essentially unearned rent and, as such, goes directly to the state, circumventing market mechanisms, whereas investment is directed through the market to productive activities.

There is a debate in the literature over whether foreign aid, by providing additional funding for infrastructure improvements and capital to stimulate industrial growth, positively affects growth rates, or rather by reducing government reliance on

civil society and retarding indigenous capitalist growth, does more harm than good. Researchers such as Boone (1996) and Van de Walle (2000) are of the latter school. According to Boone, who analyzed data from almost 100 developing countries from 1971 to 1990, a significant portion of foreign aid has been used directly for government or bureaucratic expenses to enlarge the government and thus reduces the effectiveness of aid to promote economic development. In fact, he finds no significant relationship between aid and growth in his research. Van de Walle argues that foreign aid often allows weak governments to remain in power, unscrupulous governments to pilfer aid funds for their own purposes (political and other), and undermines state reliance on domestic taxes.

However, there are many researchers who argue that international aid can have positive effects (Levy 1988; Hadjimichael et al. 1995; Burnside and Dollar 2000). Certainly this belief is the basis for the continued mandate of the International Bank for Reconstruction and Development (or World Bank). Spearheaded by prominent economist John Maynard Keynes and US Treasury official Harry Dexter White in the 1940s, the World Bank was originally established in order to help finance European Reconstruction. Once the Marshall Plan was developed and implemented in the 1950s, however, the purpose of the bank changed. Beginning in the late 1950s, the World Bank began promoting development across the globe through the granting of low-interest loans and development assistance to poorer countries (Caufield 1996)

During the early postcolonial period of heightened optimism (the 1950s and 1960s), many theorists believed that the influx of foreign capital and technology, through foreign direct investment, would cause underdeveloped countries to rapidly grow, much the same as foreign aid. Rostow (1960), for example, predicted a positive

relationship between foreign investment and economic growth. More recent theorists such as Roemer (1993) have echoed this sentiment. Kentor (1999), however, has argued that there exists a difference between short term and long term effects of FDI, whereas short term effects appear to be positive, but in the long-run (20 years plus), FDI has a negative effect on growth. He argues that this is due to the fact that a small, elite number of businessmen and politicians primarily benefit from FDI and, more broadly, over time a market dependence on foreign capital is created and perpetuated by FDI.

To some researchers, foreign direct investment and aid are indicative of the continuing dependent relationship between the core and the periphery. FDI and aid represent the linkages by which core countries exploit and take advantage of the periphery (Alschuler 1976; Tyler and Wogart 1973). According to Dos Santos (1970), there have historically been three different forms of dependence: colonial dependence, financial-industrial dependence, and technological-industrial dependence. On this third form of dependence, technological-industrial dependence, Dos Santos writes: "In the postwar period a new type of dependence has been consolidated, based on multinational corporations which began to invest in industries geared to the internal market of underdeveloped countries" (232). It would be safe to assume that Dos Santos would believe that underdeveloped countries are currently experiencing technological-industrial dependence.

Studies by Bornschier et al. (1978) and Dolan and Tomlin (1980), while explicitly stating that they are not attempting, for various reasons, to empirically test dependency theory, conclude that the empirical record does not refute dependency theories' claims that foreign aid and foreign investment adversely affect economic growth. Both studies

find that foreign aid and investment have long-term negative effects on economic growth and are related to increases in income inequality.

Institutions and Policies

Institutions, as North (1990) so succinctly puts it are “the rules of the game of a society” (23). Prominent theorists such as Douglass North, Mancur Olson, and Hernando de Soto argue that domestic institutions are responsible for economic underdevelopment. North (1990) believes that institutions, by structuring incentives, have the ability to reduce or increase transaction costs, which in turn, affect economic development. Olson (2000) believes economic development is fundamentally rooted in the incentive structures generated by different forms of government.

Policies, generally speaking, are a product of institutions, thus it is convenient to analyze institutions and policies together as a single subfield of theoretical research. Researchers have focused on both macro and micro level policies when analyzing growth and development. Macro level policies generally refer to larger national and transnational economic policies pursued by the state. Some theorists, especially those who promote neo-classical economic policies (Anne O. Krueger and Jagdish N. Bhagwati, for example) believe that state interventions in the market place retard economic growth minimal government interventions and reliance on free trade promotes growth. Others, such as Amsden (1985; 1989) and Rueschemeyer and Evans (1985), believe that well-informed state interventions and guidance in markets produce positive economic effects. Micro level policies refer to those policies pursued by the state which directly affect individuals. According to both de Soto and Olson, property rights are instrumental for understanding aggregate economic development and underdevelopment.

North (1990) believes that economic development is broadly based on institutional arrangements and the impact of these institutions on transaction costs. Different institutional arrangements, he argues, create different incentives and affect transaction costs in different ways. Democratic, or more specifically representative institutions, create efficient property rights, which in turn, prevent monopolistic organizations, and encourages and facilitates trade. Efficient property rights, as North and Thomas (1973) pointed out in an earlier work decrease transaction costs by matching the cost/benefit payoff for individual good with the cost/benefit payoff for the social good. Thus democratic institutions are more conducive to economic development than are non-democratic or non-representative forms of government. The argument that democratic institutions are more likely to promote economic development than autocratic institutions has been made by many researchers including Olson (1991) and Sklar (1987) and is echoed by Olson (2000).

North also makes an argument regarding the persistence of inefficient institutions. He argues, and many dependency theorists would agree, that we can only understand current institutional arrangements by analyzing the history of institutions and how they have incrementally evolved over time. The relationship he identifies is path dependent, which implies a “stickiness” of development, and requires critical junctures (or large, significant breaks with the past) to change institutions. Path dependency, as many dependency theorists note, is one of the primary explanations for how colonial institutions have persisted over long periods of time. This argument underpins colonial legacy arguments, and while dependency theorists would agree with North that path dependency has allowed inefficient institutions to persist, they would disagree with his solution of capitalist, free-market mechanisms to generate growth.

The essence of Olson's (2000) argument is related to the basic interest of the government in the welfare of society—whether the government has a narrow interest or an encompassing interest. Olson then takes this argument regarding narrow versus encompassing interests and uses it to compare autocratic and democratic systems. Democratic systems inherently represent a larger segment of the population and thus have more encompassing interests in social welfare. They will be more inclined than autocratic governments to encourage economic development and growth through institutional incentives, i.e. property rights. Autocratic governments, while having more encompassing interests than anarchic systems, for example, still have less of an interest in the welfare of society than democratic governments.

The theories of North and Olson, which ultimately promote capitalist and democratic institutions, are in direct tension with the theories of dependency. Whereas authors such as North and Olson argue that capitalism, by promoting individual rights, will stimulate economic growth and productivity, many dependency theorists would disagree with the promotion of economic development through capitalist or free market systems. In fact, many of them believe the capitalist system is largely to blame for the underdevelopment, and that only through a severing of ties to the core will development be possible. For example, Baran (1958) suggests that socialist institutions would be more appropriate to remedy the situation of dependence and Prebisch (1959) promotes a closed-market system through ISI as a means to development. ISI creates a very large role for the state.

For various reasons, many authors argue against just such a role for the state in development (Krueger 1998; Wolf 1979). Bates (1981) believes that typical government interventions in agricultural markets—and markets more generally—result in economic

inefficiency. Specifically looking at Africa's struggles with development, Bates argues that poor domestic policy choices are responsible for economic underdevelopment. In an effort to promote industrialization (at the expense of agriculture), African policymakers made schizophrenic, contradictory policy choices, which proved ultimately detrimental to economic development.

In addition to bad and inefficient policy, theories regarding rent seeking represent another possible hazard of government intervention in markets. Rents are income earned from non-productive activities, both legal (i.e., quotas) and illegal (i.e., bribes). While not always detrimental to economies, competition for rents can induce individuals and firms, alike, to operate outside of the market and divert income from more productive sectors. According to Krueger (1974), state-induced distortions in market mechanisms, through policies such as quotas, import licensing, and price ceilings and floors can create rents. Krueger, who popularized the phrase "rent seeking," believes that competition for rents can lead to economic inefficiency and can undermine economic growth. Looking specifically at Senegal, but applicable to other African countries as well, Boone (1990) suggests that development in Africa has been stalled, in part, by what she refers to as the "rentier class" which has no incentive to invest to accumulate capital or invest in productive activities. According to her, the state in Senegal has actively pursued clientistic or patronage based politics which encourages and, in some cases, relies on rents to maintain political support.

Many statist, however, have argued that the state, and more specifically state intervention and guidance, can stimulate economic development. According to Rueschemeyer and Evans (1985), state policies may play a rather pivotal and positive role in economic development. To them, "effective state intervention" is crucial for

economic development (44). However, the state must have a capable bureaucracy and autonomy from social interests in order to adopt economic policies that will promote growth and development.

The specific experiences, and successes, of East Asian industrialization have often been cited as examples of positive and effective state intervention. Wade (1990) argues that, contrary to neoclassical arguments that Taiwan's significant growth from the 1960s to 1980s was due to free market mechanisms and restrained government action, significant state guidance did occur and was instrumental in Taiwan's economic successes. Amsden (1985) argues that Taiwan's impressive development and growth can be attributed to effective state intervention which promoted export-led growth. In her 1989 book *Asia's Next Giant: South Korea and Late Industrialization*, Amsden makes similar claims regarding positive state intervention and guidance and the successful development of South Korea.

In his book *The Mystery of Capital: Why Capitalism Triumphs in the West and Fails Everywhere Else*, De Soto (2000) indirectly addresses some of the tensions between the theories of authors such as North and Olson, and dependency theories. He acknowledges that capitalism has largely been a failure in the periphery, however, he believes that "[c]apitalism stands alone as the only feasible way to rationally organize a modern economy" (1). He blames the lack of clearly defined property rights, which prevents the easy transference of possessions into capital, for economic underdevelopment. Only when effective property rights are legitimized and enforced, will capitalism be able to positively affect growth in periphery countries. He understands why, for underdeveloped countries, capitalism seems inimical to development. According to him, policymakers have been too preoccupied with macro

level policy prescriptions (stabilization and adjustment) and have, consequently, ignored the necessary micro level policies (property rights) that would stimulate economic growth.

This review of the factors affecting economic development is not exhaustive. The effects of institutions and policies suggest that political development also impacts economic development. The following section addresses theories of political development and the factors believed to impact political development/democratization.

Political Development

The literature on political development is extensive. It is a concept that theorists have been defining and redefining for the better part of the history of the discipline. Some theorists use regime type synonymously with political development. Prominent researchers such as Samuel Huntington and those commonly referred to as Modernization theorists (Lipset, Lerner, Almond, etc.) in fact equate democracy with the concept of political development.⁵ In the 1960s, Huntington (1965) argued that the concept had been defined in “many and multiple” ways over the years, but that generally, theorists agree that political development involved the “rationalization, integration, and democratization” of a political system (387-388). In a parsed down “Weberian” definition, political development can refer to the ability of the government to effectively and legitimately penetrate and control its territory. However, the manner of control is also considered a fundamental part of the definition of political development. Political freedom, civil liberties, and equality are valued by many as being integral to the definition of political development. To this end, political development is often times equated with democracy. Polity and Vanhanen, two measures of political

⁵ See Huntington (1965), Lipset (1959), and Lerner (1958).

development, use a spectrum of development with autocracy being the lowest form of political development and democracy being the highest measure of political development.

Democracy, defined very broadly, incorporates the concepts of freedom, liberty, equality, and representation into a system of government. Democratic systems generally hold competitive elections, experience stable electoral alternations, and through elections, provide political representation to the citizenry. Generally, autocracies are viewed as the absence of these qualities. They do not hold competitive elections, restrict political freedom and civil liberties, and offer little in the way of representation. Democracy, by typically embodying these aforementioned valued characteristics, is looked upon as a higher, or more developed regime type.

Other researchers have focused on state-building as an indication of political development. Concepts associated with state-building include institutionalization of the political system and, closely related to institutionalization, legitimate acceptance of political system as the only system of government. State autonomy—the state’s ability to formulate and pursue its own goals independently of society—and state capacity—the state’s ability to penetrate the periphery and provide such things as security or other public goods—have also been used as a measure of political development.

Skocpol (1985), in her influential article “Bringing the State Back In,” uses the concepts of autonomy and capacity as measures of state development. Autonomy refers to a government’s ability to impose its own policy prerogatives upon the will of society. Capacity refers to bureaucratic abilities to deliver goods and services. Migdal (1989), examining state-society relations in less developed countries, uses the concept of state strength as a measure of political development. However, factors such as

institutionalization, legitimacy, autonomy, capacity, and state strength are generally very difficult to empirically measure, which may help explain why few, if any, empirical studies on political development include these concepts and why virtually no datasets include these variables. On the other hand, several reliable indicators of democracy have been constructed, making democracy a much more attractive indicator of political development for many researchers.

What are the factors that influence political development? A country's indigenous resources are believed to shape that country's prospects for political development, in light of broader international forces and trends.⁶ Of these resources, social demographic composition, social capital, and natural resource endowment are three factors believed to affect a country's chances of democratization and have specific importance in the African context. After examining the impact of social factors and resource endowments on political development in the following sections, the interdependent relationship between political development and economic development is taken up.

Social Factors

The ethnic, religious, and linguistic composition of society is believed to affect political development. More heterogeneous societies, or those with more frequent and salient cleavages, may prove difficult to consolidate under one unified democratic system. In *Polyarchy*, Dahl (1971) argued that severe ethnic and religious fragmentation could be dangerous for democracy as these divisions pit groups against each other for state resources and power. Ake (1993) believes that in Africa, ethnic and

⁶ International factors such as diffusion effects (i.e. Samuel Huntington's *Third Wave of Democracy*) and opportunity structure (i.e. the Cold War) certainly have an impact on political development; however, international effects tend to induce broader cross-national trends. This paper focuses on the country-specific factors that affect political development.

national identities capture the primary loyalties of individuals, to the detriment of the development of the state. “They [ethnic and national identities] have largely displaced the state, which is often seen as contested terrain on which contending primary groups fight for the appropriation of what is supposed to be the common wealth” (244).

Additionally, multiple ethnic and religious groups may have difficulty communicating with or identifying with each other, and ultimately difficulty trusting each other, which could prove problematic for democracy.

Human capital refers to capabilities and abilities of a country’s peoples. Of all the issues one could discuss with regards to social capital, education might be the most important. Education is commonly believed by many theorists to affect political development. Modernization theorists such as Lipset (1959) and Lerner (1958) believed it was the link between economic development and political development. Specifically, they believed that as countries grew economically and were able to provide more and better educational opportunities to their peoples, more educated and literate populations would agitate for democratic change in non-democratic states. Although modernization theory has been called into question by many theorists (Huntington, Baran, etc.), many still believe there is some link between education and democracy. Barro (1999), Przeworski et al. (2000), and Glaeser et al. (2004) have all recently offered quantitative evidence of a strong correlation between increasing levels of educational attainment and democracy.

Resource Endowment

Some natural resources, specifically oil and minerals, are believed to undermine a country’s prospects for democracy. Ross (2001) analyzes development data from 113 countries from 1971 to 1997 and finds that oil and mineral wealth are negatively

correlated to democratization. He argues that rents earned from natural resources such as oil, diamonds, etc. allow governments to pursue patrimonial politics, or effectively purchase political support through low tax rates and high domestic spending in order to “dampen pressures for democracy” (356). Additionally, income earned from these natural resources allows governments to increase military spending which can be used to repress social pressure for democracy. Reno (1999), citing the cases of Sierra Leone and the Democratic Republic of Congo, finds that the presence of alluvial diamonds (which are difficult to centralize control over) has contributed to political instability, conflict, and ultimately, warlord politics, in both countries. He argues that this is due to the ease of access to these highly valuable resources by non-governmental parties who then use these economic resources to control the state or, effectively destroy the state apparatus.

Interdependent relationship

As evidenced by the preceding sections which examined economic and political development independent of each other, the relationship between economic and political development is very difficult to disentangle. Economic factors affect political development and political factors affect economic development. It is impossible to study either phenomenon in isolation. As such, it is widely believed that there is some sort of relationship between the two; however, the exact nature of this relationship and the specific causal mechanisms and direction are a serious point of contention in the literature. Modernization theorists such as Lipset (1959) and Lerner (1958) argued that economic development led to social development which, in turn, led to political development. Furthermore, they believed development to be a unidirectional, progressive phenomenon. Several empirical studies since conducted, have offered

evidence to refute this understanding of development. Przeworski et al. (2000) represents one such undertaking. Analyzing data from all countries from 1960 to 1999, they find that economic development does not bring about or cause democracy, but rather democracies survive in environments with relatively high levels of economic development.

Theories on development—including dependency theory, modernization theory, and statist theory—suggest political variables such as regime type, conflict and adverse regime change all contribute to levels of economic development. Beginning with the least controversial of these concepts, conflict and regime change both are believed to have adverse effects on economic development as it is difficult for economies to grow and prosper under conditions of ethnic conflict, civil war, and intra-state war. Barro (1991), examining data from 1960 to 1985, found a negative correlation between political instability (measured as revolutions, coups, and political assassinations) and rates of economic growth. Regime type is often believed to affect development. For many years, it was a commonly held belief that democracies were more conducive to economic growth than dictatorships. Olson (1991) has argued that autocrats are less likely to promote economic development due to the fact that they can not “credibly commit” to the continuity of economic policy and that autocratic regimes are less secure than democratic regimes. This belief, however, is called into question by the findings of Przeworski et al. (2000) who, based on extensive cross-national data analysis, find that democracies and dictatorships alike enjoy similar rates of economic growth in already poor, less developed countries.

These theories on development all lead directly to the crux of this paper: colonial legacy. Colonialism was a political, economic, and social institution that affected all

aspects of African development. Colonialism imposed foreign rule on often disparate groupings of people, extracted resources for development of the colonial power (often at the expense of the colonial state), and affected social groupings and social organizations.

Many researchers believe that the institutions and imperatives of colonialism have had a deep and profound impact on development in Africa, effectively stymieing Africa's chance for post-colonial development. These factors we have discussed above are generally and universally believed to affect development. However, some believe that colonialism has either overridden the effects we would expect of the development factors mentioned above and/or adversely affected these factors. The following section examines common theories of colonial legacy and its effects on post-colonial development.

Some Theories of Colonial Legacy

For more than 80 years, the African continent was ruled by European powers pursuing European prerogatives. Colonialism laid the basis for the modern African state. Prior to Europe's colonial conquest of Africa, there were no modern states, but rather loosely organized kingdoms and city-states (Englebert 2001). It is hard to believe that these years of colonial domination and foreign rule did not have a profound effect on Africa's post-colonial performance and development. However, the exact nature of these effects and the causal mechanisms which link Africa's colonial past to its post-colonial development are still debated in the literature.

Earlier theorists such as Peter Duignan and Lewis Gann (1967; 1975) believed that colonialism had an ultimately positive impact on development, helping to modernize and develop Africa. However, at the time of their writings in the 1960s,

Africa had not experienced the political instability and economic failures of the 1970s and 1980s. Other more recent theorists such as Pierre Englebert and Crawford Young, who wrote after decades of civil war, revolution and a burgeoning debt crisis, have argued that colonial domination has had a negative effect on postcolonial development.

Africa's colonialism, as it differs markedly from other regions' experiences with colonialism, has been largely studied in isolation. Young (1994) believes that the specific characteristics of African colonialism differ significantly from colonialism in other periods and regions based on, among other things, the dynamic partition/rapid conquest of colonial scramble in 1870s 1880s; the fact that survival depended on extraction, forced labor for revenue and accumulation; and the practice of "welfare colonialism" followed by decolonization created a large expected role for state. These characteristics have created a unique African colonial legacy.

There have been several approaches researchers have taken in examining the lasting effects of colonialism in Africa. Most explore the effects colonialism has had on political development, economic development, and/or cultural development. Often times researchers examine the interdependent effects of colonialism on some combination of the aforementioned aspects of development.

Some early theorists argued in favor of colonialism, defending its imperatives and suggesting that its positive effects would far outweigh its negative effects. It was argued that colonialism introduced democracy, capitalism, and Christianity to the African continent. Gann and Duignan (1967) argued that the "imperial system stands out as one of the most powerful engines for cultural diffusion in the history of Africa; its credit balance far outweighs its debit account" (382). However, in the light of the difficulties of the African continent during the second-half of the 20th century (war, conflict,

recession, staggering debt, etc.), the positive effects of the colonial machine have been discredited. Instead, this section highlights some of the more general negative effects associated with colonialism in Africa.

One approach to colonial legacy has explored the impact that colonial rule had on economic and political institutions. Jarret (1996), analyzing economic institutions, argued that colonialism destroyed the traditional economic system characterized by “mutual aid” or community-level development and established capitalist economies based on individual exchanges and market relationships. According to Jarrett, colonialism forced nascent African capitalist economies to become overdependent on primary products, generally one or two specific products which benefited colonial powers’ home economies. Primary products refer to agricultural products and other raw materials used in the production of other goods. Agriculturally-based economies, it should be remembered, are tied to the vagaries of the environment, which make economic growth unpredictable and unstable.

In Ghana, that primary product was cocoa; in Senegal and The Gambia, groundnuts; in Cote d’Ivoire, cocoa and coffee (29-30). “[M]onoculture, the specialization in cash crops beneficial to the Colonial masters became the norm for farmers and the agricultural industries of Africa” (29). Jarret cites the fact that prior to colonization there were many rice farmers in The Gambia, but the colonial priority placed on the production of groundnuts forced rice farms to be cleared; after 1899 rice had to be imported (30). Colonial imperatives, then, changed the production patterns of colonies to suit their own needs. His specific example of The Gambia, however, could be attributed to the country discovering that it has a comparative advantage in producing groundnuts which made them more profitable than rice. Nonetheless these findings are

consistent with what many dependency theorists argue: that the economies of underdeveloped have remained underdeveloped as a function of the developed countries' economic needs. This relationship is considered to be path dependent and thus difficult to change, regardless of how long this economic arrangement has lasted.

Englebert (2000) asserts that Africa's underdevelopment is due to poor economic policy, which is rooted in a lack of state legitimacy. This lack of legitimacy was inherited upon independence from Africa's colonial rulers. According to Englebert, Africa's experience differs dramatically from other regions experiences with colonialism and postcolonial state-making. Unlike former colonies in the Middle East which were sovereign states prior to colonization Africa does not have a history of statehood, at least based upon the modern nation-state model, prior to colonization by European powers. Additionally, he argues that Africa's postcolonial independence differs from postcolonial independence in the Americas, where "preexisting indigenous institutions and societies usually played no part in the new state system" (1823).

These African states, he argues, lack legitimacy and social control. Furthermore, the inherited states are only one of many competing claims for state power/control causing incredible instability and sustained rebellions against the state. Based on the unruly and chaotic nature of the postcolonial African state, policymakers have a limited number of options available to them. In order to maintain support (and office), many African governments have resorted to the purchasing of or coercion of support through patronage, clientelism and the like.⁷ These types of state predation rely on market distortions that create rents. Englebert's research indicates that African governments

⁷ See Bratton and van de Walle (1997; 1994), Joseph (1987), Medard (1982), and Wantchekon (2003) for more on patronage and clientelism.

that lack legitimacy are less likely to invest in education and infrastructure, more likely to erect trade barriers to control markets and create distortions through rents. Rent-seeking is related to economic underdevelopment and a lack of economic growth, as Krueger (1974) and Boone (1990) both point out.

Another approach examines the cultural effects of colonialism on economic development. Ekeh (1975) presented a society-based argument, tying colonialism to the emergence of bi-cephalic societies in Africa which encouraged corruption and patrimonial politics. Specifically, he believed that colonial rule created two distinct publics in Africa: the primordial public realm based on primordial groupings which have moral ties to the private realm through kinship and community, and the civic public realm which is based on civil structures imposed by colonialism such as the military and the police, and has no moral ties to the private realm. This relationship, alleges Ekeh, contributes to Africa's development problems. "Our problems may be partially understood and hopefully solved by the realization that the civic public and the primordial public are rivals, that in fact the civic public is starved of badly needed morality" (111). Ekeh claims that these two distinct publics, one moral and one amoral, exist side by side in post-colonial Africa, perpetually in conflict. This conflict and specifically the existence of an amoral civic public are responsible for tribalism and corruption, which have plagued African states since independence. According to Ekeh's theory, development in Africa can be understood in terms of a cultural colonial legacy.

Young (1994) identifies patrimonial autocracy and the integral state as characteristics of the colonial legacy in Africa. "The colonial state in Africa lasted in most instances less than a century—a mere moment in historical time. Yet it totally reordered political space, societal hierarchies and cleavages, and modes of economic

production” (9). In a later article, Young (2004) refines his original understandings of the colonial impact on Africa. Young argues that institutions, specifically the state, working in concert with culture, are responsible for Africa’s development thus far. According to Young, the post-colonial independent state inherited a command role for the state due to the strong and interventionist nature of the colonial state (as evidenced both the doctrine of effective occupation of the late 19th century and the expansion of the colonial state in the 1920s to address infrastructure needs) and racially-charged ideologies used by colonial rulers to legitimize foreign rule. This command role generally led to autocratic political institutions as opposed to democratic political institutions. Autocratic institutions, as both North (1990) and Olson (2000) caution, often times undermines incentives for economic growth.

Young (1994) also points out that African independence coincided with the rise in prominence of socialist theories. “If the parastatal enterprise was not legitimated by socialist orientation, it found justification in the argument that, in the absence of indigenous capitalists, the state had to serve as surrogate collective capitalists” (31). Capitalist economic institutions, it has been argued, are more conducive to economic development than socialist institutions (North 1990; Olson 2000).

However, Young (2004) also argues that the independent African state no longer resembles the post-colonial African state and thus colonial legacy arguments are no longer relevant in describing Africa’s current development conditions. “[I]n many countries little remains of the hegemonic apparatus which African rulers inherited and initially sought to reinforce and expand as an instrument of rapid development” (24). For him, the colonial legacy argument lost its relevance around approximately 1990 after the numerous regime changes and military dissolutions of the 1970s and 1980s,

demands for democratization and the privatization of state-owned enterprises, and the increasing influence of globalization which “taken together they did erase the earlier post-colonial state’s claim to unencumbered hegemony” (43). One of the only theorists to do so, Young argues for a temporally bounded colonial legacy.

As the literature on colonialism has illustrated, colonialism significantly affected economic structures (by orienting colonial economies to the needs of the colonizing country and causing colonial economies to be overdependent on primary products), political institutions (by undermining state legitimacy and bequeathing a large and powerful state apparatus to the independent states), and social groupings (both in relation to the state and to others ethnic and social groups). Most contemporary scholars attribute Africa’s poor economic performance and political development, at least in part, to the legacy of colonialism. This explanation accounts perhaps for the differences in development and performance between Africa and other regions, but not for variations across Africa.

Differences between Britain and France?

Wieschhoff (1944), who offers a detailed analysis of colonial policies in British and French colonies, perceived significant differences in the general administrative policies, specifically the British “indirect” form of rule and the French “direct” form of rule. The British, he argued, ruled their colonies “indirectly” through native institutions and native administrators (i.e., tribal chiefs), whereas the French ruled their colonies “directly” preferring to work through their own administrators (i.e., French colonial governors) and imposing their own governing institutions. Gann and Duignan (1967), in their extensive work on colonialism in Africa, also make the claim that British colonies were ruled indirectly and French colonies were ruled directly. The direct-

indirect/French-British typology is very common in the literature, especially looking at earlier works written in the 1930s and 1940s (Cunliffe-Lister 1932; Lord Lugard 1936; Korsah 1944; Lord Hailey 1948).

This distinction is partially rooted in the differing political philosophies of Britain and France. It has been argued that because British officials had long believed there to be eventual independence for their colonies, they practiced a more decentralized and indirect form of administration in order to prepare their colonies for self-rule. Lord Hailey, writing in the 1940s, acknowledged just this: “[s]uccessive Secretaries of State, representing in turn each of the political parties in Great Britain, have made formal announcements that self-government is the goal of colonial policy” (1944 43). On the other hand, French leadership, it has been argued, intended for its colonies to remain part of the French empire, and thus controlled them directly as an annexed territory of France. The French pursued policies of “association” and offered “no indications which point definitely to the establishment of colonial self-government” (Lord Hailey 42-43). Cooper (1996) makes this claim as well, that the British saw an eventual devolution of power as the long term goal of colonialism, whereas to the French, their colonies were to remain indefinitely part of the larger French empire (110).

Some researchers believe that British “indirect” rule and French “direct” rule has had long-lasting consequences for development and have identified colonial administrative styles as an explanation for the variation in development across the African continent. Asiwaju (1976) expects former French colonies to experience more conflict and instability than former British colonies. He believes this to be due to several factors, including the differing administrative styles of Britain and France. According to Asiwaju, the British tended to utilize traditional leaders (indirect rule), whereas the

French preferred to centralize control and work through their own administrators, thus, after independence, former British colonies had more of a state structure in place than did French colonies, as they could turn to the traditional leaders for governance while the French could not. Additionally, he believes the French were responsible for establishing more arbitrary colonial boundaries which antagonized ethnic groups more than in British colonies (61). These arbitrary boundaries and indigenous perceptions of illegitimacy, which are persistent, should result in more incidences of conflict in former French colonies than in former British colonies.

In opposition to this finding, Blanton et al. (2001) argue that differences in British and French rule have led to more frequent and more intense ethnic conflict in former *British* colonies. Specifically, indirect rule allowed for too much competition and a lack of ethnic stratification which in turn, has “a profound [negative] effect on the willingness and ability of groups to mobilize for collective action” (475). This is an interesting finding. Unfortunately, these findings are undermined by serious methodological concerns—questionable temporal disconnect from their research question (to measure colonial legacy, they chose to analyze data from 1980 to 1995) and failing to control in their regression for effective number of ethnic groups.

Arguments against Differentiated Colonial Legacy

There is much to suggest that the indirect/direct or British/French typology oversimplifies or misunderstands the nature of European colonial rule in Africa, and that the purported stylistic differences between British and French colonial administration have been exaggerated. Several different arguments questioning the existence and persistence of the indirect/direct distinction throughout the duration of colonialism are presented below. Furthermore, it should be noted that this colonial

typology is based on the European perspective and the European impact on Africa, and ignores completely native African conditions or conditions “on the ground”.

First, regardless of colonial power, all African chiefs who remained in power had to be approved by the colonial power (Kiwanuka 1970 301). Comaroff (1998) believes that the colonial style distinction is merely cosmetic as the true power of the colonial state remained in the hands of the European colonizers, regardless of purported indirect or direct rule distinctions. “[S]o-called ‘indirect rule’, which ostensibly retained local government in the hands of traditional authorities...in fact, made most chiefs and kings into menial civil servants of the empire” (20). Mamdani (1996) asserts that both the British and French were forced to deal through Africans for administration and that, due to financial constraints, and only small numbers of colonial administrators were present in both British and French colonies. Sindima (1995) also argues along these lines:

In all the colonies the style of government was the same: rule without checks and balances. The attitude of the colonial power toward its colony, be it direct control (as did the French, Belgians, and Portuguese) or indirect (like the British), did not give rise to a different system of rule; colonial rule was all the same: rule without effective check of legislatures representative of local interests (21).

Kiwanuka (1970) strongly refutes the notion of differentiated colonial rule. According to him, the “British colonial system came to be associated with the philosophy [of indirect rule] not so much because the British applied more indirect rule but because they talked about [it] more than others” (300). Cooper also believes that the British and French exaggerated the differences in colonial style (221). He argues that there was no uniformity of colonialism in Africa and no strictly differentiated style pursued by the colonial powers. Rather, colonialism differed from country to country and even from district to district.

Turning to the histories of specific colonies raises more questions about the veracity of the claim of distinct colonial rule styles. Fieldhouse (1981) argues that the British had both directly and indirectly ruled colonies. He cites Sierra Leone, The Gambia, and Mauritius as examples of directly ruled colonies and Nigeria, parts of Uganda, Egypt, and Tanganyika (now Tanzania) as examples of indirectly ruled colonies. Howard (1978) argues that the British strongly and “directly” ruled Ghana: “despite token representation on local decision-making organs by traditional African chiefs and members of the new petty bourgeoisie, the state was a dictatorial power” (146).

The French, in order to circumvent direct administration of colonial territories in French Equatorial Africa, decided to “grant concessions to private companies, which were granted monopolies in the areas assigned to them” (Easton 1964 79). It was not until after World War II, argues Easton, that France was able to gain any discernable type of control over these territories. According to Anthony (1991), the French ruled parts of Chad indirectly. After attempting direct rule in the northern regions of Chad and failing, the French decided to “respect the independence of traditional Muslim institutions” (577).

The dependency theory framework has also been used to undermine the differentiated colonial legacy argument. While Brett (1973) does acknowledge a difference in styles of colonial administration between the British and French, he does not believe that there is any significant difference in post-colonial economic development based on these different styles. He points out that colonialism introduced colonies to market economic systems and, ultimately, created much transnational

inequality by forcing colonies to be dependent on external markets and external technologies.

And yet another issue also contradicts the differentiated colonial legacy possibility: the commonality of extraction and foreign rule in colonial system of rule may prove to be more important for development and more uniformly affected development than the purported differences in colonial style. It becomes difficult to argue indirect versus direct forms of rule when the more generalized impetus of foreign rule is clearly centralized to the metropole and regardless of the nuances of administration, final decision-making was made by and ultimate control was vested in the colonizing power.

Putting aside the question of whether there were ever different styles of colonial administration for the moment, it is questionable whether any distinction could have persisted throughout the considerable evolution of domestic and international attitudes regarding colonialism after World War I, during the epoch of Welfare Colonialism in the 1920s and after, and following both French and British experiences with colonial revolts in Asia (e.g., French Indochina, Britain's India).

In regards to the long-term goals the British and French held for their colonial territories in Africa, beginning in the 1920s, France's colonial administrators were in fact advocating an eventual devolution of power in their colonies and the involvement of native peoples in their own governance. In the 1920s, Albert Sarraut, French cabinet member for colonies, expressed France's desire to "summon the natives progressively more and more into the administration of their country" (Arnett 1933 242). Jules Brevie, governor-general of French West Africa, writing in 1932, announced his goal of establishing "true native administration with organs of action and discussion, and with

clearly defined functions and powers” (240). He also stated that the goal of assimilation in the French African colonies had been abandoned and that “the policy of association has been adopted and successfully practiced” (242). According to Fieldhouse, the statements made by administrators such as Sarraut and Brevie were not isolated incidents, nor exceptions to the general principals of French colonialism. He argues that the principal of association took over in 1910s and 1920s, especially after the publication of Lord Lugard’s *The Dual Mandate* in 1922, and “[b]y the 1920s professors in the *Ecole* were preaching the virtues of ‘Indirect Rule’ as practiced in the British colonies and rationalized by Lord Lugard....” (38).

Saxe (1958) argues that a change in European attitudes toward colonialism took place after World War I.

After the war [World War I], new and closer economic ties were established between France and Great Britain and their respective colonies. The first stirrings of what was to become the welfare state, the abandonment of an open international economic system in favor of economic nationalism, and the onset of a world-wide depression combined to draw French and British possessions within imperial protective systems (49).

Additionally, Saxe believes that both Britain and France began making gestures in an attempt to “atone” for their mistreatment of colonial peoples through development projects. This atonement could have been a response to mounting humanitarian concerns worldwide after the First World War.

Saxe is implicitly referencing what some have referred to as welfare colonialism.

Welfare colonialism encompasses the practices and attitudes of the colonial powers during the last phase of colonialism, prior to decolonization. During this period (which began roughly after the end of World War I), European powers policies emphasized the political, economic and social development of the colonies. In both British and French

colonies, the powers vastly expanded the size and scope of their colonial administrations. Educational and social services increased almost uniformly across the colonies. Large-scale farming projects were undertaken in locations such as Kenya (the Swynnerton plan), the Sudan (Gezira project), and French West Africa (the Senegal river project) (Duignan and Gann 1975 677).

Young's (2004) argues that the colonial state, due in part to the dramatic role of the state developmental colonialism demanded, was a very large and strong presence in Africa. Young also believed that developmental colonialism or welfare colonialism was the predominant theory in the 1920s for the administration of all colonies, and that in order for the colonies to be successful, intense investment in education and welfare were required. This universal interpretation of the colonial "mandate" dramatically expanded the scope and power of the colonial state. Additionally, Young believes that World Wars I and II also increased the scope of the colonial state. The "wartime imperatives of controlling the supply of basic primary commodities, especially for the British, led to important new forms of state intervention in the economy" (28).

In addition to international attitudes and norms, and the advent of welfare colonialism, other experiences with colonialism, specifically in Asia, could have also affected the style of colonial administration. According to Mamdani (1996), initially there was more of a direct style of rule in French colonies. However, after World War I, he asserts that there was a shift to indirect rule and the use of traditional leaders. He argues that British rule followed same path: originally direct then indirect or "decentralized despotism". He believes that both countries' changes in colonial administration were based on their experiences in colonial Asia, having learned that indirect rule is more effective.

Furthermore, it should be noted that the differential colonial legacy argument is based on European institutional typologies and fails to take into account on the ground variation and differences both within countries and between countries. Boone (2003), in examining institutional outcomes in post-colonial Africa, argues that theoretical models which pinpoint European administrative styles (i.e. direct/indirect distinction) fail to explain institutional variation within African countries (i.e., Eastern versus Western Nigeria, a British colony) and between African countries (i.e., Senegal and Cote d'Ivoire, both former French colonies). She also believes that European powers were forced to deal with exigencies on the ground mandated indirect forms of rule for both French and British colonial administrators:

[W]hat determined the directness of rule was less preconceived administrative doctrine than the success of European colonizers in finding cooperative African leaders and authority figures (intermediaries and *interlocuteurs valables*) through whom they would effectively govern the regions and localities (16).

To Boone, colonial style was not imposed from the top-down by European philosophies, but rather, based upon the challenges posed by individual territories, the leaders of these territories, and the pre-existing administrative structures (i.e., kingdoms, tribal councils, etc.).

Finally, with regards to classifying colonial rule based on indirect and direct styles of administration: perhaps this is an oversimplification or too broad of a generalization of what really occurred. Could there be other typologies which would better capture the differences between colonies, and furthermore, better help us explain the pattern of development in Africa? Some theories suggested by the literature include basing comparisons on the predominant modes of production, settler versus non-settler colonies, or manner of decolonization. Samir Amin (1974) divides colonial rule into

three categories: Africa of colonial economy, Africa of concession companies, and Africa of labor reserves. Another interesting difference could be settler versus non-settler colonies. Kenya (British), South Africa (British), and Algeria (French) are all examples of settler colonies, or colonies in which there was/is a substantial European settler presence.

Additionally, could the manner or method of decolonization affect subsequent development? In *The Wretched of the Earth*, Fanon posited that only through a genuine violent struggle for independence would people be freed from the psychological shackles of colonial oppression and able to govern themselves (1961). All of these are different typological possibilities which transcend the British/French distinction and give credence to the theory that there was no significant or long-lasting difference between British and French rule in Africa. The anecdotal and theoretical arguments are compelling. However, few empirical tests of this theory have been conducted. It is necessary to now empirically examine this hypothesis in order to add another dimension to the discussion.

Data Analysis

This paper seeks to test the hypothesis that there is a significant difference between British and French economic and political “legacies” using data for 43 African countries. The countries included in the dataset are located in both North Africa and sub-Saharan Africa. Some researchers prefer to study sub-Saharan Africa in isolation from North Africa due to the differences in natural resource endowment (most North African countries are oil-exporting countries) and the influence of Islam on North Africa. However, this paper analyzes both North Africa and sub-Saharan Africa together

as both regions have similar histories with colonialism, several sub-Saharan countries are also oil-exporting countries (Nigeria, Sudan, Chad, and Angola, to name a few), and Islam is rather influential in many sub-Saharan countries.⁸

Smaller, island countries such as Mauritius, Comoros or Sao Tome and Principe, for example, are not included in the dataset. This is due to the fact that smaller, less populated island countries such as these grow significantly differently and face different challenges than the countries situated in continental Africa. For example, smaller economies such as these are unable to take economic advantage of economies of scale and, to combat the problem, tend to rely on trade heavily. The United Nations has recognized the different economic situations facing smaller island countries, and has in fact, mandated its Division for Sustainable Development to oversee the implementation of the Barbados Program of Action (adopted in 1994), which offers specific policy recommendation for what it refers to as Small Island Developing States, or SIDS.

Data has been collected from independence (or 1960, for those countries whose independence was secured/obtained/granted/prior to 1960) until 1982. Countries such as Eritrea and Namibia, which did not become independent states until the 1990s are omitted from the dataset. Countries that obtained their independence prior to the 1960s are included as a control group to mediate against larger continental trends in development. The median first year of data collection for this data set is 1960 and the average or mean year is 1963. This time period, which covers an average span of 19 years, was selected to capture postcolonial development and to ensure that international shocks such as the oil shocks of the 1970s were uniformly captured for all countries. This time period, while selected partially based on issues of data availability, should be

⁸ For more information on the influence of Islam in South Africa see Quinn and Quinn (2003).

long enough to capture country-level and continental trends in economic and political development. A complete list of country cases with their years this dataset includes can be found in Appendix A. Data was compiled from multiple sources. These sources can be found in Appendix B.

The decision to only collect data through 1982 was made in an attempt to isolate the immediate effects of colonialism. Although Young suggests that a colonial legacy persisted only through 1989, in order to test this, data would need to be collected from independence through at least the mid to late 1990s. Unfortunately at this time, the availability of reliable data for GDP growth prevents the explicit testing of Young's theory.

In order to test the differential colonial legacy hypothesis, I examined several different measures of economic and political development: GDP per capita for the first year of independence (or 1960) to measure immediate post-colonial development levels; average GDP per capita over the time period in question; average growth in GDP per capita; average Polity scores; a few of the component measures of Polity (namely, competitiveness of executive recruitment, regulation of participation, and competitiveness of participation); and several measures of violence and conflict. I then compared the means of former British and former French colonies on each of these measures and tested them using T-tests to determine if the differences are significant. After examining the results of bivariate analyses, I then turn to the results of the regression analyses.

There appears to be very little difference between former British and former French colonies with respect to economic development (See Table 1; next page). The difference between the baseline GDP per capita for former British and former French

colonies is minute (\$946.29 for former British colonies, \$971.29 for former French colonies). Looking at average GDP per capita for the first 20 or so years of independence, GDP per capita for former British colonies in Africa averaged \$1205.28; GDP per capita for former French colonies was only slightly higher at \$1344.41. The difference between average GDP growth for former British and former French colonies is very small. Former British colonies enjoyed, on average, 4.72% GDP growth from independence until 1982. Former French colonies enjoyed, on average, 4.34% growth. All other African countries in the sample (i.e., former Portuguese colonies, former Belgium colonies, those with no colonial history), however, have experienced what appears to be significantly less economic development than both former British and French colonies. The other African countries averaged \$742.69 GDP per capita and 2.8% GDP growth. However, one-way ANOVA tests, which will be discussed in further detail below, indicate that there is no significant difference between these three groupings of former colonies (See Table 4; page 55).

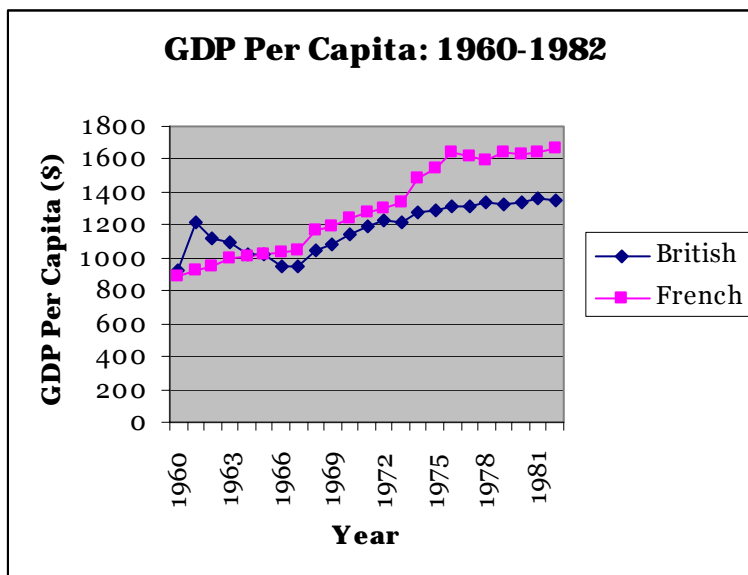
**Table 1:
Economic Development Indicators**

	British	French	Other
Average Baseline GDP per capita (First Year of Independence)	\$946.29 (N=17)	\$971.29 (N=17)	\$728.44 (N=9)
Average GDP Growth:1960-1982 (Percent)	4.72% (N=15)	4.34% (N=16)	2.80% (N=9)
Average GDP per capita:1960-1982 (US 1980 dollars)	\$1205.28 (N=17)	\$1344.41 (N=17)	\$742.69 (N=9)

Looking at yearly changes in GDP per capita from 1960 to 1982, we see that, for the most part, former British and former French colonies levels' track each other. As Graph 1 indicates (next page), prior to 1967, there appears to be variation in GDP per capita

between former British and former French colonies. Former British colonies experienced a spike in GDP per capita in 1961 and a downward trend thereafter. However, after 1967, GDP per capita for both groups of countries appear to follow the same general upward trend.

Graph 1:



Turning to average Polity scores, there does seem to be some difference between former colonies (See Table 2; next page). Former British colonies averaged -2.26 Polity scores, whereas former French colonies averaged -6.15 and all others averaged -6.41. As Polity is a composite measure of factors relating to democracy and authoritarianism, breaking down Polity and examining some of its components of authority characteristics may provide us with a better understanding of the meaning or significance of this difference. Comparing the competitiveness of executive recruitment, regulation of participation, and competitiveness of participation between former British and former French colonies, we find that former British colonies scored slightly higher on competitiveness of executive recruitment (1.66 for former British colonies compared to

.84 for former French colonies) and competitiveness of participation (1.93 for former British colonies compared to 1.31 for former French colonies). Former French colonies scored higher on the regulation of participation (3.88 for former French colonies compared to 3.68 for former British colonies). However, as these component measures range on a scale of 0 to 10, these differences appear to be slight.

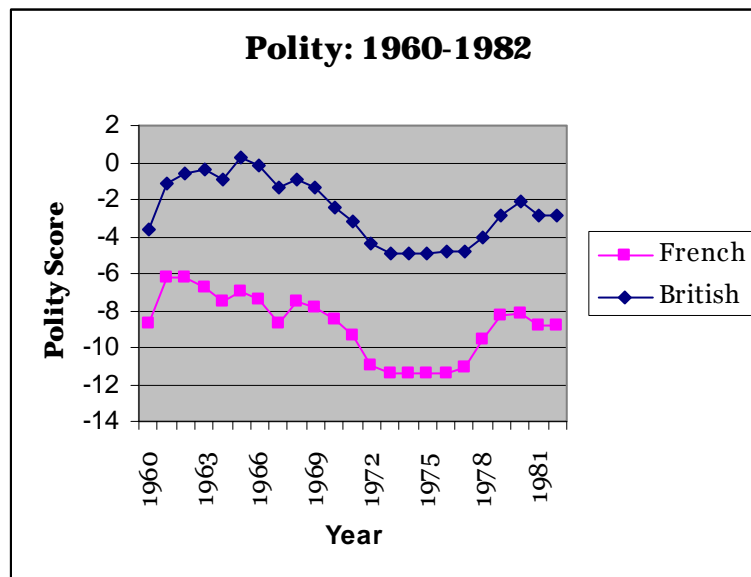
**Table 2:
Political Development Indicators
Averages from 1960 -1982**

	British	French	Other
Polity Score (-10 to 10)	-2.26 (N=17)	-6.15 (N=17)	-6.41 (N=10)
A. Competitiveness of Executive Recruitment (0-10)	1.66 (N=16)	.84 (N=17)	.81 (N=10)
B. Regulation of Participation (0-10)	3.68 (N=16)	3.88 (N=17)	3.80 (N=10)
C. Competitiveness of Participation (0-10)	1.93 (N=16)	1.31 (N=17)	1.23 (N=10)

Additionally, examining the yearly trends for Polity suggests that differentiated colonial legacy has had little impact on democratization in Africa (See Graph 2; next page).

Polity scores for former British and former French colonies mirror each other almost perfectly, which suggests a larger trend in democratization affecting both sets of colonies rather uniformly.

Graph 2:



In order to examine political legitimacy and political institutionalization—two other indicators of political development—various measures of political violence have been analyzed⁹ (See Table 3; next page). Looking at total number of assassinations, government crises, riots, revolutions, anti-government demonstrations, coup d’etats, and major constitutional changes, there appears to be no significant difference between former British and former French colonies. The total numbers are very close for both groups of African countries. However, it is interesting that all other African countries (i.e., former Portuguese colonies, former Belgian colonies, independent countries, etc.) do have significantly higher levels of political violence, specifically with regards to riots (12.57 for all other African countries compared to approximately 3.5 total riots for former British and former French colonies) and anti-government demonstrations (5.2 for all other African countries compared to approximately 2 total anti-government demonstrations for former British and former French colonies).

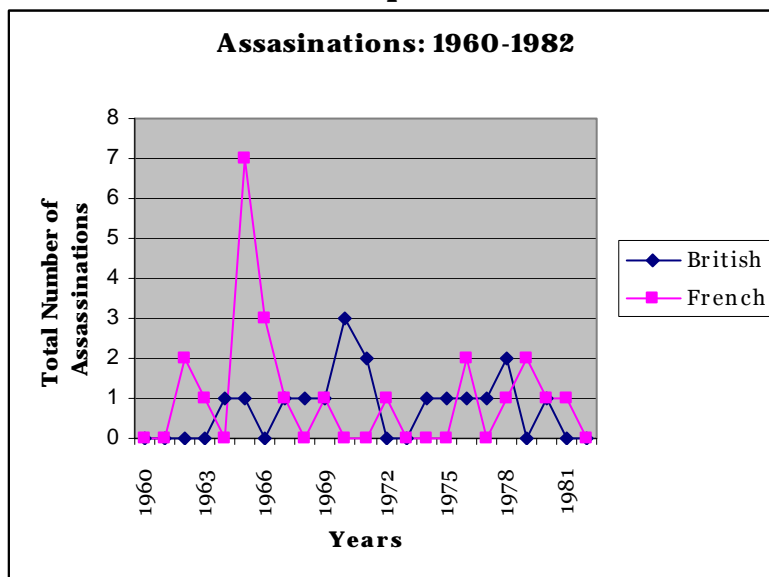
⁹Sources for and definitions of political development can be found in Appendix B.

Table 3:
Political Development Indicators
Total Number of Incidences from 1960 -1982

	British	French	Other
Assassinations of Prominent Political Leaders	1.4 (N=17)	.88 (N=17)	2.6 (N=10)
General Strikes	.35 (N=17)	.41 (N=17)	.8 (N=10)
Government Crises	1.88 (N=17)	2.06 (N=17)	3.14 (N=10)
Riots	3.53 (N=17)	3.65 (N=17)	12.57 (N=10)
Revolutions	3.18 (N=17)	4.0 (N=17)	5.0 (N=10)
Anti-Government Demonstrations	1.76 (N=17)	2.12 (N=17)	5.2 (N=10)
Coup d'etats	1.18 (N=17)	1.18 (N=17)	1.1 (N=10)
Major Constitutional Changes	4.0 (N=17)	3.77 (N=17)	3.1 (N=10)
Arthur Banks Weighted Conflict Index	21041 (N=17)	22875 (N=17)	44009 (N=10)

Looking at yearly data for assassinations, a measure of political legitimacy, there does not appear to be any general trend driving assassinations or (Graph 3).

Graph 3:



In order to determine the statistical significance of these measures, I ran simple Difference of Means Tests. As this is a relatively small sample of cases, T-tests are the most appropriate test (specifically two-sample T-tests with equal variance). The results of the T-tests (See Table 4; next page) indicate that there are no significant differences between former British and former French colonies on any of the economic development measures, but that there is a significant difference between the former colonies for a few of the political development measures. The difference between average Polity scores of former British and all other former colonies is significant at the .05 level. This test suggests that former British colonies have experienced significantly different (and better) average Polity scores than the other countries in the sample. However, we must take into consideration the fact that the differences are between shades or levels of authoritarianism, and that former British colonies were not necessarily more democratic during this time period, but less authoritarian. This same caveat must be applied when analyzing the differences between the countries' scores on the Polity components. Former British colonies are significantly more competitive with regards to executive recruitment (at the .001 level) and participation (at the .05 level) than former French colonies, but we must remember that the observed differences are still considerably undemocratic (1.66 versus .84 for competitiveness of executive recruitment and 1.93 versus 1.31 for competitiveness of participation on a 0 to 10 scale). Furthermore, there are absolutely no significant differences between former British and former French colonies with regards to political violence. In analyzing seven different measures of political violence, not a single one of these are significantly different between former British and former French colonies.

As countries in the other category—those African countries that were neither former British nor former French colonies—appear to be experiencing significantly different, and generally lower, levels of development, one-way ANOVA tests were run to compare all three categories and determine if significant differences existed between the three. Interestingly enough, only those variables that were reported as being significantly different between former British and former French colonies were again reported as significant in the ANOVA tests: Polity and two of the components of Polity.

Table 4:
Difference of Means Tests

	Two-sample T-test: British vs. Non-British Former Colonies	Two-sample T-test: French vs. Non-French Former Colonies	One-way ANOVA Test: British, French, and Other
Baseline GDP (GDP for first year of independence)	t= -.3567	t=-.6081	F=.582
GDP Growth	t=-1.0360	t=-.4086	F=1.322
Average GDP per capita	t=-.2830	t=-1.2468	F=1.587
Polity Score	t=-3.061**	t=1.6890	F=8.306***
A. Competitiveness of Executive Recruitment (0-10)	t=-4.6352***	t=2.4208**	F=10.491***
B. Regulation of Participation (0-10)	t=1.3383	t=-1.23	F=1.008
C. Competitiveness of Participation (0-10)	t=-2.8283**	t=1.4327	F=3.953**
Assassinations of Prominent Political Leaders	t=.1343	t=1.2415	F=1.484
Government Crises	t=.4657	t=.1101	F=.239
Riots	t=.5952	t=.5432	F=.884
Revolutions	t=.9578	t=-.1176	F=.643
Anti-Government Demonstrations	t=.7361	t=.4510	F=.976
Coup d'etats	t=-.0663	t=-.0663	F=.012
Major Constitutional Changes	t=-.7009	t=-.1419	F=.523

*** Significant at the .001 level

** Significant at the .05 level

* Significant at the .01 level

Regression Analysis

Averages of the data, which covers a time period of almost 20 years, were used in a simple OLS regression. The choice to use averages instead of individual years in a time series format was based on their use in several other studies on African development. Researchers such as Easterly and Levine (1997), Sachs and Warner (1997) and Englebert (2001) have also opted to use averages over time (either for the entire time period in question or decade by decade) instead of delineated time series data. This may be based on part on the ease of using single data points in OLS regression, the difficulty of obtaining complete and reliable time series data, and the difficulty of managing time series data in regression analysis.

It is the author's belief that there is no marked difference between development in former British colonies and former French colonies.

Therefore the first hypothesis tested in this paper is as follows:

H1: There is no relationship between former colonial power and post-colonial economic growth.

The alternative hypothesis would be that there is a relationship between former colonial power and post-colonial economic growth.

The model suggested by the literature to test H1 is as follows:

$$\text{Economic growth} = \beta + \text{Population} + \text{Rural Population} + \text{Literacy Rate} + \text{Landlocked Status} + \text{Tropical Climate} + \text{Amount of Trade} + \text{Highly Valuable Natural Resources} + \text{Foreign Direct Investment} + \text{International aid} + \text{Polity} + \text{Conflict} + \text{British Dummy} + \mu$$

The dependent variable is growth in GDP per capita. North and Thomas (1973) convincingly argue that growth in GDP per capita is a more appropriate measure of economic growth than GDP growth as “[t]rue economic growth thus implies that the total income of society must increase more rapidly than population” (1). Additionally,

treating economic growth as an interval measure allows for more specific and accurate econometric analysis.

The second hypothesis tested is:

H2: There is no relationship between former colonial power and post-colonial political development.

The alternative hypothesis would be that there is a relationship between former colonial power and post-colonial economic growth. The model suggested by the literature to test H2 is as follows:

$$\text{Political development} = \beta + \text{Ethno-linguistic fractionalization} + \text{GDP growth} + \text{British dummy} + \text{Literacy Rate} + \text{Natural Resources} + \mu$$

The dependent variables used are: Polity score, a complex measurement for regime type, which ranges from -10 for pure autocracy to 10 for pure democracy (the 20-point range gives researchers the ability to treat it as an interval level variable with meaningful values for each score); the components of polity including the competitiveness of executive recruitment, the regulation of participation, and the competitiveness of participation; and several measures of political violence. Polity and its components are used to measure democratization, while political violence and conflict (assassinations, riots, coups, revolutions, etc.) are measures of institutionalization and/or legitimation of the political system.

The hypotheses tested here are an attempt to prove a null hypothesis: that there is no significant difference between the growth and development of former French and former British colonies. This is a very difficult task as the statistical tests used here may indicate support for a false positive (that there is no significant difference) if careful attention is not paid to creating a thorough and (hopefully) exhaustive list of controls and included variables. Care has been taken in assembling as thorough a theoretical list

of variables as possible. These variables are wholly informed by the literature and include those variables believed to be most important in affecting development.

Prior to examining the results of the regression analysis, one salient methodological concern needs to be addressed. Of particular importance to those who study African politics is the dearth of available data. Specifically, in the immediate post-colonial years (1960s and early 1970s) many variables of interest are simply not available, and when available, the quality may be questionable. This is not to say there are no available data on Africa; however those variables which are difficult to collect such as health and education statistics, or micro-level economic statistics, are either not available at this time, or if available, sporadic and unreliable. This is due to the more recent, comparatively speaking, independence of most African countries and their relative underdevelopment. This poses a real problem for statistical regressions as missing or incomplete data reduces the generalizability and reliability of regression results. Specifically, excessive missing data can cause cases to drop out of statistical regressions. This is commonplace for statistical analyses of African phenomena.

Sachs and Warner's 1997 article entitled "Sources of Slow Growth in African Economies" provides a good example of this problem. Although generalizing to most of Africa with their results regarding the sources of economic underdevelopment or "slow growth," as they refer to it, they include only 23 African countries in their regression analysis. This is due to missing data for the remaining African countries. In order to test the veracity of their claims for the causes of slow growth, they replace the missing variables for the countries that dropped out of their model with the averages for all other African countries for which data is available. From this, they "quasi-predict"

growth rates and compare them to known growth rates, where they find that the model is over-predicting economic growth.

There are a few issues to take up with their methodology. First, replacing missing data with averages of African growth for all other countries included in the regression makes a rather large assumption regarding the true nature of the missing data. There is no way to tell if these 23 countries are consistent with the averages of the other 23 countries. It could be the case that these countries may all have worse or lower values for the missing variables. Second, it may not be a random falling out of cases, in which case their model would suffer from selection bias and their results would not be generalizable outside of the 23 cases that they are able to include in their model. After explicitly acknowledging their problems with missing data, they conclude that this should not detract from the generalizability of their findings.

In this paper, and unlike Sachs and Warner, I chose to err on the side of caution. Therefore, due to issues of data availability, I present two models of economic growth. The first includes all variables of interest, which due to missing data, reduces the number of countries analyzed from 43 to 29. Then, I present a model which uses only variables for which the data is available. I compare the results of these two models, but do not feel as though the findings are generalizable. These findings are limited by the data and I am skeptical of their accuracy.

For the regression examining political development, the data are equally suspect. In fact, a regression that includes all 43 countries would include only one of the five independent variables (ethno-linguistic fragmentation). Due to this fact, only the regression including all independent variables is presented. Thus, the same caveats

expressed earlier are still relevant: these findings are limited by the data and I am skeptical of their accuracy and generalizability.

Economic Development

The results of the first model of economic growth, which includes all variables of interest, are presented in Table 5 (next page). This model has a relatively high adjusted R-squared (.61), but the number of countries is relatively low (29 of 43). Illiteracy, tropical climate, and the presence of highly valuable natural resources are all negatively related to economic growth, although tropical climate and the presence of highly valuable natural resources are only marginally statistically significant (at the .10 level). These findings and the negative direction of their relationship to economic growth are not surprising and are consistent with the findings of many other researchers. Total population, amount of trade and percent of rural population are all significant and positively related to economic growth, although total population is only marginally significant (at the .10 level).

Table 5:
Economic Development Regressions

OLS Regression Models:	Model 1: All variables	Model 2: All Data	Model 3: All Data (with Botswana dummy)
Total Population (Natural Log)	1.01 ^a	-1.34	.09
Rural Population (Squared)	.0008***	-.00005	-.00009
Illiteracy	-.06**	--B	--B
Landlocked Status	-1.47	-.07	-.51
Tropical Climate	-2.75 ^a	-1.90	-1.46
Amount of Trade	.077**	--B	--B
Natural Resources (Natural Log)	-1.84 ^a	--B	--B
International Aid	.36	--B	--B
FDI	.099	--B	--B
Polity (Squared)	.02	.02	.013
Conflict (Natural Log)	-.66	-2.92***	-2.95***
British Dummy	.57	1.11	.48
Botswana Dummy	--B	--B	8.29***
Adj. R ²	.61	.34	.53
N	29	40	40

Note: ^a p < .10, * p < .05 ** p < .01 *** p < .001: Cell entries are unstandardized regression coefficients.

_B Variable was not included in the model

That trade and population size are positively related to economic growth is consistent with much of the literature; however, the positive directions of rural population percent is surprising. Much of the literature suggests rural population, a proxy measure for industrialization and urbanization, should be negatively related to growth as larger rural populations indicate a large reliance on agricultural production and a dispersed population which may hinder market-related economic activities. However, the coefficient for this variable is very small, which indicates that the impact of rural population, although significant, is very small.

The results of Model 2, which only includes variables for which data is available for nearly all countries in the sample, are presented in Table 3 alongside the results for

Model 1. In this model, only one variable is significant: conflict. It is statistically significantly (at the .01 level) and negatively related to economic growth. When I ran diagnostics to check the accuracy of the model, I found that the model did not fit at all one specific country: Botswana. The difference between Model 2's predicted GDP growth and actual GDP growth for Botswana was significantly off (predicted 6.59% versus actual growth of 13.36%). This is not surprising as other research, specifically that of Acemoglu et al. (2003), has found Botswana to be an outlier. I decided to include a dummy variable in the regression for Botswana (see Model 3 in Table 3). The inclusion of this variable, which is statistically significant at the .001 level, increased the adjusted R-squared from .34 (for Model 2) to .53 (for Model 3), reinforcing my belief that it is a very unusual and important case. Being Botswana (which, of course only one country is) increases GDP growth by 8.29 percent. This makes sense considering Botswana's exceptional history of economic growth and political stability. It is a unique case. According to Acemoglu et al., Botswana's consistent economic growth over the past 35 years has outpaced not only every other African country, but also every other country in the world (80). They attribute this to a unique set of institutions, especially those concerning the protection of property rights, as enabling the country to pursue and implement good and sound economic policy.

Comparing the models, we find that the variables that are significant in Model 1 are not significant in Model 2, and vice versa. Depending on how the model is structured (either with all variables believed to be relevant or with only available data), we see drastically different and inconsistent results. Not one variable is significant in both, although, interestingly enough, the British dummy is not significant in either. But

neither is Polity, a variable that most believe should be correlated (either positively or negatively).

Political Development

The distribution and variation of Polity has posed a large problem for regression analysis. The observed values for this variable range from -9 to 7.9 but have an average score of -5.02 and a Standard Deviation of 4.1, meaning that there is very little variation in this variable. Only two countries, Botswana and The Gambia, have average Polity scores that are considered democratic; the rest are decidedly autocratic during the period of interest. As there is little to no meaningful variation in Polity, I was unable to run a regression using Polity. This lack of variation, however, implies that any colonial legacy affecting political development does not influence regime type (i.e., democracy versus autocracy). There is also very little variation in the components of Polity. The range for the various components runs from 0 to 10. However, when examining only African countries, the scores for competitiveness of executive recruitment range from 0 to 3. The scores for regulation of participation range from 2 to 4.67. The scores for competitiveness or participation range from .61 to 4.9. The lack of variation in these variables makes it unwise to use them in regression analysis. Thus, I was unable to test democratization and an indicator of political development. The lack of variability in regime type also highlights an important issue relevant to African research: the need for better measures of political development. Democratization, as has been used by countless researchers as a quantitative measure of political development, has very little applicability in the African case until the 1990s. This is not to say that political development was not occurring, just that traditional measures as used by social scientists who study other regions may not be the most appropriate for Africa.

It is possible to examine legitimacy of the political system as a measure of political development; however, the results are suspect and inconclusive. Table 6 reports the results of the regression using total number of assassinations as the dependent variable. It must be noted that cases are again dropping out; the N has dropped from 43 African countries to 32 African countries. Only one variable, the amount of natural resources present, is significant, and only marginally so (at the .10 level). Additionally, the R-squared is very low (.19), indicating that this model is doing a very poor job of explaining the variation in the dependent variable.

**Table 6:
Political Development Regressions
(with Robust Standard Errors)**

OLS Regression Models:	Model: Number of Assassinations as Dependent Variable (natural log)
Ethno-linguistic Fragmentation (squared)	.123
GDP growth	-.056
British Dummy	.107
Literacy Rate	-.009
Natural Resource Measure (natural log)	-.237 ^a
R ²	.19
N	32

Note: ^a p < .10, * p < .05 ** p < .01 *** p < .001: Cell entries are unstandardized regression coefficients.

Conclusion

These findings suggest: 1) None of the development models are capturing the true nature of development in Africa, either due to missing data or missing variables, both of which are very important for the reliability of the model; 2) Based on the lack of variation in regime type over the time period in question, the difference between British and French colonial leadership, if it did exist, has had little or no impact on post-

colonial regime type; 3) Researchers do not yet understand the exact nature of the relationship between political development and economic development in Africa; 4) and that the models are most likely misspecified. This could be due to missing data, missing variables, or the wrong structural form. Specifically, this model should be tested using simultaneous equations, which take into account the interdependent relationship between economic development and political development. However, this is not possible at this time due to problems with data availability and reliability and the problems related to conceptualizing and measuring political development.

The literature offers compelling anecdotal and theoretical reasons why we should believe that the direct/indirect typology is oversimplified: 1) the British and the French used both direct and indirect forms of rule in many of their colonies; 2) the existence of welfare colonialism and other ideological normative shifts may have overridden any original stylistic differences. Additionally, difference of means tests indicate that there have been no significant differences between former British and former French colonies with respect to economic growth and political legitimacy/institutionalization, one aspect of political development. Additional tests indicate that there were no significant differences between both former British and former French colonies and all other African countries. Also, economic growth and democratization both appear to follow larger trends as the yearly data indicates that these measures track each other over time.

Difference of means tests indicate that political development as measured through Polity and its components may have occurred differently in former British and former French colonies (and that “other” countries experienced significantly different political development than both former British and former French colonies), but it must be kept in mind that the difference we are speaking of refers to shades of

authoritarianism. Additionally, democratization, which Polity and its components measures, may not be the most appropriate measure of political development, as discussed earlier. Polity measures democratization, more or less, and does not capture other indicators of political development, such as better or more frequent penetration of society by the state, increased state capacity, or state legitimacy in the eyes of the citizenry. During the time period of question, and prior to the 1990s trend of democratization, it is obvious that there was little variation in regime type across the African continent. We should be hesitant, however, to interpret this to mean there was no political development. Using a different measure of political development might reveal that there was in fact variation in political development. Perhaps research should focus on discovering a better, more appropriate way of measuring political development in developing countries. Admittedly, this will prove a challenging task as evidenced by the current lack of any such variable measuring these concepts. But that is not to say that it can not or should not be done.

There are some promising new studies in this field that are attempting to create a new measure of political development. Hyden et al. (2004) represent one such attempt. According to them, regime type and democratization are inadequate indicators of political development as they are “so heavily loaded with normative assumptions and preferences that political issues are analyzed from a narrow perspective” (1). Instead, they believe governance, a six-part variable which includes measures for civil society, political society, government, bureaucracy, economic society, and the judiciary, to be a more comprehensive and accurate measure of political development. In order to measure their governance variable, they conducted interviews with a cross-section of “well-informed persons” in each of the six areas mentioned above in 16 different

countries. They interviewed both state and non-state actors in order to ensure a less biased result. Theirs, they fully admit, is a preliminary step at establishing a new indicator of political development, but it is an example of researchers innovating and attempting to obtain a better measure of political development. There is some progress being made here, and I hope that this trend will continue. This study has highlighted some of the difficulties of quantitatively studying Africa: namely, lack of available and reliable data, the methodological problems inherent in missing data, and (as mentioned above) the possible inappropriateness of a common measure of political development. A top priority for researchers should be to collect better and more complete data on Africa. While admittedly a daunting challenge, it is vital that this data is made accessible to those who wish to empirically study Africa. Without it, future research will remain unreliable and subject to serious methodological criticism. Additionally, attempts should continue to be made to construct better indicators of political development (and many other social phenomena) that better describe and represent the African experience in order to facilitate the exploration of African politics.

The data needed to further test the differential colonial legacy hypothesis with regression analysis is not readily available or reliable, making it impossible to completely discount the possible influence of colonial administrative style on post-colonial development. That having been said, it is very interesting to note that development trends are tracking each other, giving some credence to the theory that colonialism, in general, has uniformly affected post-colonial development in Africa. Additionally, this begs the question whether these rather uniform development trends also support dependency theory. We must turn now to the larger and more important

question facing the African continent: how to engender development in the face of these larger continental trends?

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Appendix A: List of Countries and Years Included

Algeria (1962-1982)	Gabon (1960-1982)	Nigeria (1960-1982)
Angola (1975-1982)	The Gambia (1965-1982)	Rwanda (1961-1982)
Benin (1960-1982)	Ghana (1960-1982)	Senegal (1960-1982)
Botswana (1966-1982)	Guinea (1960-1982)	Sierra Leone (1961-1982)
Burkina Faso (1960-1982)	Guinea-Bissau (1974-	Somalia (1960-1982)
Burundi (1962-1982)	1982)	South Africa (1960-1982)
Cameroon (1960-1982)	Kenya (1963-1982)	Sudan (1960-1982)
Central African Republic (1960-1982)	Lesotho (1966-1982)	Tanzania (1961-1982)
Chad (1960-1982)	Liberia (1960-1982)	Togo (1960-1982)
Congo-Brazzaville (1960-	Libya (1960-1982)	Tunisia (1960-1982)
1982)	Madagascar (1960-1982)	Uganda (1962-1982)
Congo-Kinshasa (1960-	Malawi (1964-1982)	Zambia (1964-1982)
1982)	Mali (1960-1982)	Zimbabwe (1980-1982)
Cote D' Ivoire (1960-1982)	Mauritania (1960-1982)	
Egypt (1960-1982)	Morocco (1960-1982)	
Ethiopia (1960-1982)	Mozambique (1975-1982)	
	Niger (1960-1982)	

Appendix B: List of Variables, Definitions, and Sources

Variable	Definition	Source
GDP growth	Percent growth in Gross Domestic Product per capita from one year to the next	Alesina, Ozler, Roubini and Swagel (1996)
Total Population	Country's total population (Natural Log)	World Bank World Development Indicators (2001)
Rural Population	Percent of population considered living in rural areas (Squared)	World Bank World Development Indicators (2001)
Illiteracy	Percent of population, above age of 15, who can not read or write	World Bank World Development Indicators (2001)
Landlocked Status	Dummy variable coded 1 for countries that are landlocked, 0 for those that are not	Self-constructed
Tropical Climate	Approximate percent of country's land area that is subject to a tropical climate	Sachs and Warner (1997)
Amount of Trade	Sum of exports and imports measured as a percent of GDP	World Bank World Development Indicators (2001)
Natural Resources	Share of exports of primary products in GNP in 1970 (Natural Log)	Sachs and Warner (1997)
International Aid	Official Development Assistance and outside aid received by country measured per capita	World Bank World Development Indicators (2001)
Foreign Direct Investment	Foreign direct investment net flows as a percent of GDP	World Bank World Development Indicators (2001)

Polity	Measure of political regime type ranging from -10 (pure autocracy) to 10 (pure democracy) (Squared)	Polity IV Dataset
A. Competitiveness of Executive Recruitment B. Regulation of Participation C. Competitiveness of Participation	Measures ranging from 0 to 10.	Polity IV Dataset
Conflict	Sum of average magnitude (ranging from 0 for none and 4 for highest) of adverse regime change, ethnic conflict and revolution (Natural Log)	Correlates of War Dataset
British Dummy	Dummy variable coded 1 for all former British colonies and 0 for all other countries	Self-constructed
Botswana Dummy	Dummy variable coded 1 for Botswana and 0 for all other countries	Self-constructed
Assassinations	Total number of Assassinations	Arthur Banks Cross-national Time Series Data (2001)
Government Crises	Total number of Government Crises	Arthur Banks Cross-national Time Series Data (2001)
Riots	Total number of riots	Arthur Banks Cross-national Time Series Data (2001)
Revolutions	Total number of revolutions	Arthur Banks Cross-national Time Series Data (2001)

Anti-government Demonstrations	Total number of Anti-government demonstrations	Arthur Banks Cross-national Time Series Data (2001)
Coup d'états	Total number of Coup d'états	Arthur Banks Cross-national Time Series Data (2001)
Major Constitutional Changes	Total number of major constitutional changes	Arthur Banks Cross-national Time Series Data (2001)

Vita

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