A Content-Based Image Retrieval System for Fish Taxonomy

Fei Teng

University of New Orleans

Follow this and additional works at: https://scholarworks.uno.edu/td

Recommended Citation
https://scholarworks.uno.edu/td/377
A CONTENT-BASED IMAGE RETRIEVAL SYSTEM
FOR FISH TAXONOMY

A Thesis

Submitted to the Graduate Faculty of the
University of New Orleans
in partial fulfillment of the
requirements for the degree of

Master of Science
in
The Department of Computer Science

by

Fei Teng
B.S, Beijing University of Posts and Telecommunications, 2003
May 2006
ACKNOWLEDGEMENTS

I would like to thank Dr. Chen for advising me throughout the master’s study. I am grateful for his continuous help on this thesis. I must also thank Dr. Fu and Dr. Deng for their suggestive support. Thanks to my friend, Dehua Zhao, for many inspiring suggestions.
# TABLE OF CONTENTS

List of Tables........................................................................................................iv

List of Illustrations...................................................................................................v

Abstract....................................................................................................................vi

Chapter 1: Introduction.............................................................................................1

Chapter 2: Related work...........................................................................................3

Chapter 3: Background Knowledge..........................................................................6

  3.1 Image Used.......................................................................................................7

  3.2 Geometric Morphometrics...............................................................................8

Chapter 4: Our CBIR System..................................................................................11

  4.1 An Overview of Our System..........................................................................11

  4.2 Feature Extraction..........................................................................................14

Chapter 5: Semantic Classification.........................................................................17

  5.1 Binary Classifiers..........................................................................................17

  5.2 Feature Selection............................................................................................18

    5.2.1 Introduction to Supervised Learning and SVM Algorithm....................19

    5.2.2 SVM Classifier.........................................................................................21

Chapter 6: Similarity Matching..............................................................................24

Chapter 7: Experimental Methods and Results......................................................26

  7.1 Experiment based on known fish.....................................................................26

  7.2 Experiments with suspicious fish....................................................................29

  7.3 Experiment with Suspicious Fish using Other Pattern Classification Techniques..........................................................30

    7.3.1 Linear Regression of an Indicator Matrix .............................................31
7.3.2 Linear Discriminant Analysis (LDA) ......................................................... 32

7.3.3 Boosting .................................................................................................. 34

Chapter 8: System Interface ............................................................................... 38

Chapter 9: Discussions ..................................................................................... 39

Conclusion ........................................................................................................ 41

Reference .......................................................................................................... 42

Vita .................................................................................................................... 46
LIST OF TABLES

Table1. Features describing shape characters. Non-shape related variation has been removed from LMi, the landmark coordinates.........................................................15

Table2. Results from Semantic Classifier based on 2 features .................................................28

Table3. Results from Semantic Classifier based on 3 features.............................................28

Table4. Results from Semantic Classifier based on 12 features...........................................29

Table5. Results from Linear Regression of an Indicator Matrix..........................................32

Table6. Results from LDA..................................................................................................33

Table7. Boosting Classifier to classify C.carpio / the rest.....................................................35

Table8. Boosting Classifier to classify C.cyprinus / the rest...............................................36

Table9. Boosting Classifier to classify C.velifer / the rest....................................................37
LIST OF ILLUSTRATIONS

Fig.1 Similar images ...........................................................................................................4
Fig.2 The structure of the CBIR system.............................................................................5
Fig.3 Ridge ending and ridge bifurcation.........................................................................6
Fig. 4 Images of specimens from three species..............................................................7
Fig. 5 Plot of 650 Carpiodes specimens.........................................................................9
Fig. 6 CBIR Data Flow Diagram....................................................................................12
Fig. 7 Leaning algorithm.................................................................................................13
Fig. 8 A hierarchical classifier for Carpiodes genus......................................................18
Fig. 9 Support Vector Machine.......................................................................................20
Fig. 10 SVM maps the data points to a higher-dimensional feature space....................21
Fig. 11 The interface of the CBIR system.......................................................................38
ABSTRACT

It is estimated that less than ten percent of the world’s species have been discovered and described. The main reason for the slow pace of new species description is that the science of taxonomy, as traditionally practiced, can be very laborious: taxonomists have to manually gather and analyze data from large numbers of specimens and identify the smallest subset of external body characters that uniquely diagnoses the new species as distinct from all its known relatives. The pace of data gathering and analysis can be greatly increased by the information technology. In this paper, we propose a content-based image retrieval system for taxonomic research. The system can identify representative body shape characters of known species based on digitized landmarks and provide statistical clues for assisting taxonomists to identify new species or subspecies. The experiments on a taxonomic problem involving species of suckers in the genera Carpiodes demonstrate promising results.

Keywords: Content-based image retrieval, shape analysis, feature selection, image classification, taxonomic research
INTRODUCTION

The AI technology can be defined as: attempting to build artificial systems that will perform better on tasks that humans currently do better [1]. However, since computer is naturally better than people in the field of processing huge amount of data, AI is becoming more and more popular today and has expanded from identifying customers by their voices to automatic pattern classification. Successful retrieval of relevant images from large-scale image collections is one of the current problems to AI.

One intuitive solution to image retrieval is text-based annotations and indexing. The indexing process for large image collections is time consuming. Also, text-based indexing for images only provides hit-or-miss type searching. If the user does not specify the right keywords, the desired images may be forever unreachable [2]. To overcome these disadvantages, researchers developed content-based image retrieval (CBIR), which is the set of techniques for retrieving images from an image database based on automatically-derived image features [3]. This technology can be used to discover unknown species – It is estimated that less than ten percent of the world’s species have been discovered and described. The main reason for the slow pace of new species description is that the science of taxonomy, as traditionally practiced, can be very laborious: taxonomists have to manually gather and analyze data from large numbers of specimens, often from broad geographic areas, and identify the smallest subset of external body characters that uniquely diagnoses the new species as distinct from all its known relatives. The pace of data gathering and analysis in taxonomy can be greatly increased by the development of information technology. The Internet is being used to link taxonomists, taxonomic literature and specimen databases in different parts of the globe, and hence enables the development of tools
for remote study of specimens archived as digital images. In this thesis, we propose a content-based image retrieval system for taxonomic research. The system has a learning component that can identify representative body shape characters of known species based on digitized landmarks. The system can also provide statistical clues for assisting taxonomists to identify new species or subspecies. The experiments on a taxonomic problem involving species of suckers in the genera *Carpiodes* demonstrate promising results.

The rest of this thesis is organized as follows. In Chapter 2, we introduce related work done within the field of image retrieval system. Chapter 3 describes the background information on a taxonomic problem in the fish genus *Carpiodes*. Chapter 4 introduces the feature extraction process. Chapters 5 and 6 presents a joint feature selection and classification approach for semantic classification based on 1-norm support vector machines (SVMs) and a similarity matching scheme based on the distance in the overall shape space and semantic classification. Three other classifiers are tested. Chapter 7 demonstrates extensive experiments on a data set of *Carpiodes* and discusses the results. Conclusions and possible future work are given in Chapter 7.

**Keywords**

Content-based image retrieval, shape analysis, feature selection, image classification, taxonomic research
Related Work

Image retrieval algorithms roughly fall to two categories, depending on the query format: text-based approaches and content-based methods. The analysis of user needs in the photo archives embracing a variety of subject areas (e.g. museums, advertising mass communications) suggests that text-based methods will remain the basic access method in the foreseeable future [4]. The text-based approaches are based on the idea of storing a keyword, a set of keywords, or a textual description of the image content, created and entered by a human annotator, in addition to a pointer to the location of the raw image data. Image retrieval is then shifted to standard database management capabilities combined with information retrieval techniques. As pointed out by Svenonius [27] and Enser [28], text-based retrieval of images is a vicarious access method, while visual access methods have a high potential to enhance retrieval capabilities.

The main goal of CBIR is to let the computer identify the descriptions of images: high level concept such as sunset, human or mountain. It leads to a problem: how can the computer link the nature of digital images, arrays of numbers, to the semantic words? Currently, CBIR assumes that semantically relevant images have similar visual features, and uses these features, such as color, texture, and shape to store, identify and search images. This method retrieves images based on information automatically extracted from pixels. Initially, researchers focused on querying by image example, where a query image or sketch is given as input by a user [8, 17, 23, 6, 10, 15, 16, 5, 9, 3, 4, 14]. Later systems incorporated feedback from users in an iterative refinement process [25, 30, 7]. From a computational perspective, a typical CBIR system views the query image and images in the database (i.e., target images) as a collection of features. It ranks the relevance between the query and any target image in proportion to a similarity measure calculated from the features. In this sense, these features, or signatures of images, characterize
the content of images. And the similarity measure quantifies the resemblance in content features between a pair of images [21].

This “bridging the semantic gap” (Zhao & Grosky, 2001) problem is considered one of the greatest challenges to computer vision scientists. Years of experience in the world gives human beings the ability to distinguish objects -- people exam a picture from several aspects to decide what it is. A number of general purpose image retrieval engines have been developed, people still know little about how to effectively and efficiently select and use primitive features to identify general images. For instance, figure 1 shows that “beach” and “dessert” are viewed similar by a CBIR system.

(a)                                      (b)

Figure 1. Both (a) and (b) are formed by yellow (brown) region and blue region, which makes them similar to the CBIR system, while actually (a) is beach and (b) is dessert.

In the commercial domain, IBM QBIC [5] is one of the earliest systems. Recently, additional systems have been developed at IBM T.J. Watson [10], VIRAGE [11], NEC AMORA [13], Bell Laboratory [14], and Interpix. In the academic domain, MIT Photobook [15], [17], [12] is one of the earliest. Berkeley Blobworld [16], Columbia VisualSEEK and WebSEEK [21], CMU Informedia [23], UCSB NeTra [11], UCSD [18], University of Maryland [21], Stanford EMD [22], and Stanford WBIIS [23] are some of the recent CBIR systems.
However, CBIR is a good solution to specific kind of images, such as medical diagnostics and fingerprints. Even this kind of pictures is “worse” than ordinary real-life pictures, such as they often look the same from each others to untrained eyes, this restriction still can effectively make the algorithm better because:

1. Color is not as important. The color feature can either be treated as discrete values or colored regions. As shown in Figure 1, it is clear that the color feature confuses the CBIR – if it is understood as discrete values, brown and yellow sand would be identified different; if it is understood as regions, blue sky and blue water would be identified the same.

2. Structure of the image is one of the most important features, which makes it possible to define the features by geometrical functions.

3. The amount of image semantic contents is limited. Figure 3 shows an example of finger ridges. Representations predominantly based on ridge endings or bifurcations [31]. Except really special cases, these two classes can represent all kinds of finger ridges.
So far, CBIR system has been widely utilized in the field of digital forensics, but few works have been done to apply it to taxonomic research. We know that approximately 1.4 million species are known to science. However, estimates based on the rate of new species discovery place the total number of species on earth about 10-30 times of this number. Most unrecognized species are in poorly studied groups (e.g., insects) occurring in unexplored habitats (e.g., remote tropical forests). However, a surprising number of new species are still being discovered in developed countries with long histories of taxonomic research. Human population expansion and habitat destruction are causing extinctions of both known and yet to be discovered species. The accelerated pace of species decline has fueled the current biodiversity crisis [18], in which it is feared that many of the earth’s species will be lost before they can be discovered and described.

The thesis proposed a CBIR system that can be used to assist taxonomists in discovering new fish species.
Background Knowledge

1. Dataset

The image database used in this thesis comprises digital photographs of suckers of genus *Carpiodes*. However, our approach can be applied to any fish populations. The genus *Carpiodes*, as currently recognized, comprises three widely distributed species: the river carp-sucker *Carpiodes carpio* (*C. carpio*); the quillback *Carpiodes cyprinus* (*C. cyprinus*), and the highfin carp-sucker *Carpiodes velifer* (*C. velifer*). Figure 4 shows representative images of specimens of the three species. Most taxonomists regard each of these species as a complex of multiple biological species in need of revision [24]. The goal of the taxonomic revision in this case is to identify and formally describe the unrecognized species.

![Figure 4: Images of specimens from three species of the genus Carpiodes: C. Carpio, C. cyprinus, and C. velifer.](image-url)
2. Geometric Morphometrics

Over the past decade, geometric morphometric techniques have been developed for analyzing variation in body shape using a collection of coordinates of biologically definable, homologous landmarks along the body outline [1]. Figure 5 shows 15 homologous landmarks digitized on a specimen using the TpsDIG software tool developed by F. James Rohlf of SUNY Stony Brook. The analysis methods accompanying the software focus on the landmark coordinates and geometric information about their relative positions. Through the alignment of landmarks and statistical analysis of the derived shape variables, groups of specimens may be identified as distinct in overall shape space. Unfortunately, the current geometric morphometric methods have two major limitations that hinder successful applications in taxonomic revision tasks:

• Groups of specimens are distinguished from other populations based on a small set of derived variables, which are usually functions (in their simplest form, linear combinations) of all shape variables. As such, derived variables are difficult to interpret in terms of particular body characters that taxonomists commonly use in diagnosing new species.

• Shape variation of specimens from closely related species or subspecies may not be discernible in overall shape space. Therefore, current geometric morphometric methods may generate misleading results (see the example to be presented next).
Over the years since [24] was published, Dr. Bart has examined shape and DNA sequence variation in all *Carpiodes* populations. Figure 5 shows the results of an analysis of overall body shape based on a geometric morphometric technique using canonical variate analysis (CVA). CVA grouped specimens from the Rio Grande (squares), upper Colorado River (stars), and other western Gulf Slope rivers with *C. carpio* specimens (circles) from the Mississippi River Basin. However, a surprising finding from the DNA sequence analysis was that the forms in Rio Grande and upper Colorado River system of Texas do not agree at all with *C. carpio*. Rather, they are closely related to *C. cyprinus*, which was not known to occur on the western Gulf Slope. Careful inspection of *Carpiodes* specimens in the Rio Grande and upper Colorado River system reveals that they lack the protuberance (“nipple”) on the lower lip, which
is diagnostic of *C. carpio* and *C. velifer*. They also have a relatively large head and a long snout, characters seen only in *C. cyprinus*. However, specimens from these populations also have an elongate and slender body, and it is these characters that cause them to be erroneously classified as *C. carpio* based on overall body shape analysis. It took Dr. Bart three years of careful study of over 1000 *Carpiodes* specimens to determine that Rio Grande and upper Colorado River populations were misdiagnosed as *C. carpio*, and instead represented a new species related to *C. cyprinus*. The question this thesis addresses next is: Can CBIR based on shape features be applied in a way that diagnoses taxonomic groups in genus *Carpiodes* more quickly and accurately?
The CBIR System

1. An Overview of Our System

The nature of taxonomic research brings the following requirements to the design of an image retrieval system:

- **Text query**: Images of specimens from a natural history museum (i.e., the image database) almost always have textual annotations, e.g., location and date of capture, size of specimen, species, etc. Therefore, the image retrieval system should support text-based searches.

- **Query by example**: A typical usage scenario of the system is to find specimens in the database that are “semantically similar” to the query specimen based on the query image. This is clearly a query by example situation. From a taxonomic research point of view, the image semantics is defined as groupings of related specimens at different hierarchical levels, which, in the science of taxonomy, are referred to as taxa of varying rank, i.e., families, genera, species complexes, species, and subspecies.

- **Learning component**: For the query by example process, the system needs certain mechanisms to associate feature similarity with semantic similarity, i.e., bridging the semantic gap. One possible way is to include a learning component capable of identifying the feature characters that unite populations within each semantic class as well as distinguishing among semantic classes.

In this thesis, we focus on the CBIR part of the system. Specifically, we propose a computational framework for categorizing semantic classes of populations based on body shape features, and retrieving images of specimens accordingly. The proposed framework can benefit
the taxonomic research in the following ways:

- It provides taxonomists a tool of efficient searching, browsing, and retrieving images of specimens archived in natural history museums at distant locations.
- It automatically identifies an “optimal” set of body characters that unites populations within species, as well as distinguishes among species. Hence it can provide statistical clues in assisting the discovery of new species or subspecies.

As shown in Figure 6, the system has three major components: Feature Extraction, Semantic Classification, and Similarity Measure.

Figure 6: CBIR Data Flow Diagram.
Most learning algorithms should be able to be taught by the user. The CBIR calculates the similarity between the query image and images in its database, returns the neighbors by the order of their distances. As shown in figure 7, if the system is given the result 1,2,3,4 and the user knows that the current answer should be 1,3,2,4, he can tell this to the learning algorithm, which would learn this issue and rebuild the classifiers. However, this function has not been realized in our system since a species is just a species. It does not make sense to say that one velifer is closer to the query velifer than another velifer.
2. Feature Extraction

![Digitized 15 homologous landmarks using TpsDIG Version 1.4 (2004 by F. James Rohlf).](image)

We focus on the digitized images of specimens with landmarks specified as in Figure 3. Let $LM_j, j = 1, \ldots, 15$, be the coordinates of landmarks on a specimen. We used the technique of Generalized Procrustes Analysis [12] to remove non-shape related variation in landmark coordinates. Specifically, the centroid of each configuration (based on the 15 landmarks associated with each specimen) was translated to the origin, and configurations were scaled to a common unit size. We computed 12 features, $x_1, \ldots, x_{12}$, for each specimen using the 15 landmarks. These features correspond to different shape characters that taxonomists use to describe species. The description of each feature is given in Table 1. These features are divided into two groups:
• $x_1$–$x_7$: They describe shape characters that can be easily identified visually, for example, the size of head, the length of body, the distance between the tip of the snout and the nostril, the size of head in proportion of body size, etc.

• $x_8$–$x_{12}$: They can be easily evaluated from the landmark coordinates, but may not have a straightforward visual interpretation. These are the features that a domain expert may not identify easily, but are candidates of good indicators.

All 12 features were normalized across the specimens via translation and scaling.

*Table 1: Features describing shape characters. Non-shape related variation has been removed from LMi, the landmark coordinates.*

<table>
<thead>
<tr>
<th>$x_1$</th>
<th>The distance between the tip of the snout and the naris, computed as the distance between $LM_1$ and $LM_2$.</th>
</tr>
</thead>
<tbody>
<tr>
<td>$x_2$</td>
<td>The slope of the line connecting the tip of the snout and the naris, computed as the angle between the vertical axis and the line connecting $LM_1$ and $LM_2$.</td>
</tr>
<tr>
<td>$x_3$</td>
<td>The distance between the naris and the back of the mouth, computed as the distance between $LM_2$ and $LM_{14}$.</td>
</tr>
<tr>
<td>$x_4$</td>
<td>The slope of the line connecting the naris and the back of the mouth, computed as the angle between the vertical axis and the line connecting $LM_2$ and $LM_{14}$.</td>
</tr>
<tr>
<td>$x_5$</td>
<td>The size of head in proportion of the size of the body, computed as the area of the head polygon (vertices defined in sequence by $LM_1$, $LM_2$, $LM_3$, $LM_{13}$, $LM_{12}$, and $LM_{14}$) divided by the area of the body polygon (vertices defined in sequence by $LM_3$, $LM_4$, $LM_5$, $LM_6$, $LM_7$, $LM_8$, $LM_9$, $LM_{10}$, $LM_{11}$, $LM_{12}$, and $LM_{13}$).</td>
</tr>
<tr>
<td>$x_6$</td>
<td>The length of the head in proportion of the length of the body, computed as the...</td>
</tr>
<tr>
<td>$x_7$</td>
<td>The distance between $LM7$ and $LM8$.</td>
</tr>
<tr>
<td>-------</td>
<td>-----------------------------------</td>
</tr>
<tr>
<td>$x_8$</td>
<td>The sum of the distance between $LM3$ and $LM13$, the distance between $LM12$ and $LM13$, and the distance between $LM1$ and $LM13$ divided by the distance between $LM13$ and $LM7$.</td>
</tr>
<tr>
<td>$x_9$</td>
<td>The distance between the naris and the tip of the snout in proportion to the distance between the naris and the eye, computed as the distance between $LM1$ and $LM2$ divided by the distance between $LM2$ and $LM15$.</td>
</tr>
<tr>
<td>$x_{10}$</td>
<td>The distance between $LM4$ and $LM11$ divided by the distance between $LM13$ and $LM7$.</td>
</tr>
<tr>
<td>$x_{11}$</td>
<td>The distance between $LM3$ and $LM4$ divided by the distance between $LM13$ and $LM7$.</td>
</tr>
<tr>
<td>$x_{12}$</td>
<td>The angle between the vertical axis and the line connecting $LM10$ and $LM5$.</td>
</tr>
</tbody>
</table>

*Table 1, cont.*
Semantic Classification

1. Binary Classifiers

Semantic classification in our CBIR system targets the following taxonomic problem: given a collection of labeled specimens \((x', s')\) represented in a feature space, identify features and construct classifiers based on the selected features to distinguish among the known categories (or species). This problem is closely related to taxonomic revision: if the classifiers indeed capture the shape properties describing the known species, the classifiers will be helpful in discovering new species whenever there is shape variation between the new species and all the known species. For example, if the classifiers assign a group of unlabeled specimens, which are believed to be taken from the same (but unknown) species, to several known species without a strong preference on a particular species, it is likely that the unlabeled specimens belong to a new species in need of description.

The classification of \(x_i\) is clearly a multi-class problem. We propose to use a tree structure to organize binary classifiers into a multi-class classifier. For example, Figure 8 shows a hierarchical classifier consisting of two binary classifiers for the identification of all three known species in *Carpiodes* genus. Finding an “optimal” structure is an interesting research topic for its own sake, but is beyond the scope of this thesis. Here we assume the structure is determined beforehand.

For a given collection of samples \(x_i\) with the corresponding labels \(y_i \in \{-1,1\}\), designing a binary classifier can be solved by any conventional supervised learning algorithm. However, we argue that feature selection is indispensable in our system for the following reasons. From a taxonomic viewpoint, it is desirable to use a small number of body shape characters to uniquely
Figure 8: A hierarchical classifier for Carpiodes genus.

diagnose a species as distinct from its known relatives. The feature selection procedure can identify those “most” diagnostic features (in this case, body shape characters). From a machine learning viewpoint, constraining the number of selected features is an effective way to avoid overfitting. The experimental results in the following section also demonstrate the efficacy of feature selection in avoiding potential overfitting.

2. Feature subset Selection

Feature subset selection is a well-researched topic in the areas of statistics, machine learning, and pattern recognition [13, 28]. Existing selection approaches generally fall into two categories: filter and wrapper [13, 28]. Some filter methods such as ranking through correlation coefficients or through Fisher scores tend to select inter-correlated features and does not guarantee an acquisition of a good classifier. On the contrary, wrappers include the desired classifier as a part of their performance evaluation, which is a joint feature selection and
classification approach. They tend to produce better generalization but may require expensive computational cost.

2.1 Introduction to Supervised Learning and SVM Algorithm

Supervised learning is a machine learning technique for creating a function from training data (from Wikipedia.org). The training data consist of vectors (data) and class labels. The function predicts the value of any valid input object after having learned a number of training examples. It is a global model that maps input objects to desired outputs.

A support vector machine is a supervised learning algorithm developed over the past decade by Vapnik and others (Vapnik, *Statistical Learning Theory*, 1998). SVM algorithm addresses the general problem of learning to discriminate between positive and negative members of a given class of $n$-dimensional vectors [33].

The main idea of this algorithm is to do classification by building a hyperplane in the $R^N$ space and checking at which side the vector (sample) stays. It can be described as finding a hyperplane at that space separating the positive from the negative samples. As shown in figure 9, there may be many separating planes. The statistical learning theory suggests that, for some classes of well-behaved data, the choice of the maximum margin hyperplane tends to lead to good generalization when predicting the classification of previously unseen examples (Vapnik, *Statistical Learning Theory*, 1998). Sometimes, there is no separating hyperplane, which makes the “maximum margin” algorithm unusable. Corinna Cortes and Vapnik suggested a modified idea that allows for mislabeled examples in 1995, which is called soft margin.

-- The margin is understood as the distance from the plane to both classes’ closest data points. These closest data points are called support vectors.
This operation may be described by decision function $y = \text{sign}(w^T x + b)$, where $w$ is the vector orthogonal to hyperplane, $b$ is the distance from hyperplane to the origin. In figure 9, hyperplane A (B) is $w^T x + b = -1$ ($w^T x + b = 1$). SVM calculates $(w, b)$ from the training data to achieve the maximum margin $2/|w|$ and would like there is no data points between A and B – no data points between A and B means $y(w^T x + b) \geq 1$.

Unfortunately, it might be impossible to find a linear solution in the original input space. The SVM algorithm then uses kernel functions to map the data points to a higher-dimensional space and find a hyperplane there. Intuitively we can image this transformation would bring more candidate-hyperplanes, which means higher overfitting risk, but the “maximum margin” would overcome this problem.
The relationship between the kernel function $K$ and the mapping $\phi(.)$ is $K(x,y) = \langle \phi(x), \phi(y) \rangle$. Intuitively, $K(x,y)$ represents the similarity between $x$ and $y$. The great thing here is that we can directly compute $K(x,y)$ without going through the map $\phi(x)$, while the only requirement of this trick is that there is a $\phi(x)$ to this kernel.

In many real-world problems such as our CBIR system, the number of negative (positive) samples is much larger than the number of positive (negative) samples, which would over-train the classifier — the classifier would treat the weaker class as noise. In our system, we give different weights to the training data and test data. Also, since our system is a multi-class case and SVM can only handle one-on-one problem, we use a “one against others” structure as it is shown figure 8.

2.2 SVM Classifier

The proposed approach is a wrapper model based on 1-norm SVM. Consider the problem of finding a linear classifier

$$y = \text{sign}(w^T x + b)$$

where $w$ and $b$ are model parameters. The SVM approach constructs classifiers based on hyperplanes by minimizing a regularized training error $\lambda R[.] + error$, where $R[.]$ is a
regularization operator, $\lambda$ is called the regularization parameter, and error is commonly defined through a hinge loss function

$$\varepsilon = \max\{1 - y(w^T x + b), 0\}$$

When an optimal solution $w$ is obtained, the magnitude of its component $w_i$ indicates the significance of the effect of the $k$-th feature on the classifier. Those features corresponding to a non-zero $w_k$ are selected and used in the classifier.

The regularization operator in standard SVMs is the 2-norm of the weight vector $w$, which formulates SVMs as quadratic programs (QP). Solving QPs is typically computationally more expensive than solving linear programs (LPs). SVMs can be transformed into LPs as in [31]. This is achieved by regularizing with a sparse-favoring norm, i.e., the 1-norm of $w$,

$$\|w\| = \sum_i |w_i|$$

Thus 1-norm SVM is also referred to as sparse SVM and has been similarly applied to other practical problems such as drug discovery in [2].

Many practical problems in image classification relate to imbalances in samples, i.e., the number of negative samples is much larger than the number of positive samples. To tackle this imbalanced issue and make classifiers biased towards the minority class, we penalize differently on errors produced respectively by positive samples and by negative ones. Rewrite $w_k = u_k - v_k$ where $u_k, v_k \geq 0$. If either $u_k$ or $v_k$ has to equal to 0, then $|w_k| = u_k + v_k$.

The LP is formulated in variable $\theta = \{\mu, \nu, b, \varepsilon, \eta\}$ as
\[ \min_{\theta} \quad \lambda \sum_{k=1}^{d} (u_k + v_k) + \frac{u}{l^+} \sum_{i=1}^{l^+} \varepsilon_i + \frac{1-u}{l^-} \sum_{j=1}^{l^-} \eta_j \]

s.t

\[ [(u - v)^T x_i^+ + b] + \varepsilon_i \geq 1, \quad i = 1, \ldots, l^+ \]
\[ -[(u - v)^T x_j^- + b] + \eta_j \geq 1, \quad j = 1, \ldots, l^- \]

\[ u_k, v_k \geq 0, \quad k = 1, \ldots, d \]
\[ \varepsilon_i, \eta_j \geq 0, \quad i = 1, \ldots, l^+, \quad j = 1, \ldots, l^- \]

where \( x_i^+ \) and \( x_j^- \) denote a positive sample and a negative sample, respectively, \( \varepsilon \) and \( \eta \) are hinge losses, \( 0 < u < 1 \) is a constant penalizing the errors from positive and negative samples, \( l^+ (l^-) \) is the number of positive (negative) samples.
Similarity Matching

The image similarity measure consists of two parts. The first part corresponds to the semantic similarity, which is determined by semantic classifier in previous section. If two specimens belong to the same semantic class, their similarity is the maximum, otherwise the similarity is zero. Specifically, the semantic similarity between two specimens $x_i$ and $x_j$ is defined as

$$s_1(x_i, x_j) = \begin{cases} 1 & \text{if } x_i \text{ and } x_j \text{ are in the same class} \\ 0 & \text{otherwise} \end{cases}$$

The second part reflects the overall shape similarity, and is defined as

$$s_2(x_i, x_j) = e^{-\frac{\|x_i - x_j\|^2}{\sigma^2}}$$

where $\sigma^2$ is chosen to be the sample variance of the overall shape distance. Note that $\|x_i - x_j\|$ is the distance in the shape space, hence describes the overall shape difference between two specimens. The similarity measure is then defined as a convex combination of semantic similarity and overall shape similarity:

$$s(x_i, x_j) = \alpha \cdot s_1(x_i, x_j) + (1 - \alpha) \cdot s_2(x_i, x_j)$$

where $\alpha \in [0,1]$ is a parameter specified by a user. In general, a small distance in overall shape does not necessarily imply semantic similarity because the semantic classification is based on a small number of selected shape characters rather than the overall shape. The above definition gives a user freedom in retrieval. If $\alpha$ is selected to be large (close to 1), the CBIR system will
mainly return images that are semantically similar to the query, i.e., specimens in the same group.

If the user is looking for specimens similar in overall shape, $\alpha$ should be set small (close to 0).
Experimental Results

We test the proposed CBIR system on the specimens from the three *Carpiodes* morphotypes: *C. carpio*, *C. cyprinus*, and *C. velifer*. The current database contains only 600 images of *Carpiodes* specimens. However, the proposed computational framework can be applied to any number of images at any level of fish taxonomy. We are working to expand the database by including images of specimens of a related group of suckers in the genus *Ictiobus*. Our experiment consists of two steps:

- Demonstrating the efficacy of semantic classification by identifying features (or body characters) for distinguishing among the three *Carpiodes* morphotypes;
- Applying the system to a taxonomic revision problem involving populations from Colorado River in Texas and Rio Grande and comparing the results with those based on the DNA analysis.

1. Experiment based on known fish

The images within each class are randomly divided into a training set and a test set of equal size. The hierarchical classifier first separates *C. velifer*-like specimens from specimens of other species. It then distinguishes *C. carpio* from *C. cyprinus*.

We apply the 1-norm SVM to select features and build classifiers simultaneously. The binary classifiers are organized as in Figure 7. Two parameters, $\lambda$ and $\mu$, need to be specified for 1-norm SVM. We set $\mu$ to be the percentage of negative training samples to balance the training errors on positive and negative samples. The regularization parameter $\lambda$ is selected such that at most three features are selected. This is based upon the fact that taxonomists rarely use more than three body shape characters to describe the difference among closely related species or
If the query image is in the training database, the system gives the results by calculating $s(x_i, x_j) = \alpha \cdot s_1(x_i, x_j) + (1 - \alpha) \cdot s_2(x_i, x_j)$, where $s_i(x_i, x_j)$ equals 0 or 1.

If the query image is not in the training database, $s_i(x_i, x_j)$ has to be calculated by $y = \text{sign}(w^T x + b)$.

Our proposed algorithm is a wrapper, i.e., the feature selection step is combined with the classifier.

$$\min \quad Z = \lambda \sum_{k=1}^{d} (u_k + v_k) + \frac{\mu}{l^+} \sum_{i=1}^{l^+} \varepsilon_i + \frac{1 - \mu}{l^-} \sum_{j=1}^{l^-} \eta_j$$

subject to

$$[(u - v)^T x_i^+ + b] + \varepsilon_i \geq 1, i = 1, \ldots, l^+$$

$$-[(u - v)^T x_j^- + b] + \eta_j \geq 1, j = 1, \ldots, l^-$$

$$u_k, v_k \geq 0, k = 1, \ldots, d$$

$$\varepsilon_i, \eta_j \geq 0, i = 1, \ldots, l^+, j = 1, \ldots, l^-$$

$$\mu = \frac{l^-}{l^- + l^+}$$

A suitable regularization operator that penalizes large variations of $w$ can reduce overfitting. In the linear problem above, it makes some of $|w_k| = 0, k \in [1, 12]$ to do the feature selection, and it is intuitive that the larger the $\lambda$, the fewer features would be selected. There is no particular way to calculate the $\lambda$, we have to try several values to achieve our goals (to select a certain number of features).

The error rate is defined as the number of misclassified samples over the total number of samples.
Performance based on 2 features:

*Table 2: Results from Semantic Classifier based on 2 features*

<table>
<thead>
<tr>
<th>Class</th>
<th>Selected Features</th>
<th>Training Error</th>
<th>Test Error</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.velifer / the rest</td>
<td>$x_{10}, x_{11}$</td>
<td>10%</td>
<td>11.7%</td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>$x_{4}, x_{7}$</td>
<td>12.9%</td>
<td>13.9%</td>
</tr>
</tbody>
</table>

From the results above, we can see that different classifiers need different features (since we are using a wrapper). The best sub-feature space for distinguishing C.velifer and the rest is $x_{10}, x_{11}$, and the best sub-feature space for distinguishing C.carpio and C.cyprinus is $x_{4}, x_{7}$.

Performance based on 3 features:

*Table 3: Results from Semantic Classifier based on 3 features*

<table>
<thead>
<tr>
<th>Class</th>
<th>Selected Features</th>
<th>Training Error</th>
<th>Test Error</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.velifer / the rest</td>
<td>$x_{10}, x_{11}, x_{4}$</td>
<td>10.2%</td>
<td>11.8%</td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>$x_{4}, x_{7}, x_{3}$</td>
<td>13.1%</td>
<td>14%</td>
</tr>
</tbody>
</table>

We observe that the performance based on three selected features is similar to that based on two selected features. Moreover, we should notice that one more feature does not mean lower *error rates* – it may result in larger errors.

Performance based on all features:

*Table 4: Results from Semantic Classifier based on 12 features*

<table>
<thead>
<tr>
<th>Class</th>
<th>Selected Features</th>
<th>Training Error</th>
<th>Test Error</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.velifer / the rest</td>
<td>All 12 features</td>
<td>9.1% (Linear)</td>
<td>9.5%</td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>All 12 features</td>
<td>16.9% (Gaussian)</td>
<td>17.5%</td>
</tr>
</tbody>
</table>
From the results above, we can see that all 12 features lead to better performance to distinguish C.velifer and the rest, but worse performance to distinguish C.carpio and C.cyprinus.

From the results above, we can say that using 12 features cannot guarantee the best result. However, this conclusion is incomplete since we have not use the classifiers to test suspicious examples (may be C.velifer, C.carpio, C.cyprinus or other species).

2. Experiment with suspicious fish

Test our CBIR system based on 53 specimens from upper Colorado River in Texas and the Rio Grande. They were traditionally recognized as C. carpio, yet recent DNA evidence suggests that both populations are more closely related to C. cyprinus. So we view these 53 specimens as “suspicious” populations. Each “suspicious” specimen is submitted to the system as a query image. The predicted class label of the query is determined by the majority class among the top $k$ retrieved images (specimens). We observed that the results are robust for $k$ varying from 10 to 60. So we pick $k = 20$. We first set the parameter $\alpha$ in the similarity measure (1) $0.1$. This corresponds to retrieving specimens that are similar to the query based on the overall shape. It turns out that 52 out of the 53 suspicious specimens are recognized as C. carpio, and only 1 specimen is identified as C. cyprinus. In other words, the overall shape suggests that the “suspicious” specimens should be classified as C. carpio. Next, we increase $\alpha$ to 0.9, i.e., the decision is based mainly on the semantic classifiers. In this case, 23 “suspicious” specimens are classified as C. carpio, while the remaining 30 specimens are classified as C. cyprinus. We get

<table>
<thead>
<tr>
<th></th>
<th>All 12 features</th>
<th>8.9% (Linear)</th>
<th>9.8%</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.velifer / the rest</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>All 12 features</td>
<td>16.5% (Gaussian)</td>
<td>17.3%</td>
</tr>
</tbody>
</table>

Table 4, cont.
identical results for $\alpha = 1.0$. Although the hierarchical classifier can distinguish the three species with reasonable accuracy using only four body shape characters, it has difficulty categorizing the specimens from Colorado River in Texas and Rio Grande as either *C. carpio* or *C. cyprinus*; 43.4% of the “suspicious” specimens are assigned to *C. carpio*, and 56.6% to *C. cyprinus*. At the same time, the retrieved images based on overall shape identify 52 out of 53 specimens as *C. carpio*. These contradictory results can be viewed as an indication that the suspicious specimens represent a new species. It is very interesting that overall shape analysis and the DNA analysis give similar results: the suspicious specimens are more similar to *C. carpio* than to *C. cyprinus* in terms of the overall shape, yet they are genetically closer to *C. cyprinus*. Note that our CBIR system can easily obtain a similar conclusion by adjusting the value of parameter $\alpha$.

3. Experiment with Suspicious Fish using Other Pattern Classification Techniques

These techniques are different from the algorithm introduced above. They simply build classifiers that identify all 3 classes simultaneously. We use these classifiers to identify the suspicious images and evaluate the results. Among the suspicious samples, if

1. None of these percentage values are much larger than the other two or two of them are much larger than the other one. Since we know these suspicious samples are from one class, we can make a decision that these fish are not from *C. velifer*, *C. carpio* or *C. cyprinus*. They are from a new species.

2. One of them is much larger than the other two. Since we know these suspicious samples are from one class, we can know these fish are from the class with the largest percentage value.

3. Two of these percentage values are larger but not much larger than the other two (e.g. 45%, 45%, 10%). We know they are from a new species.
3.1 Linear Regression of an Indicator Matrix

Under the assumption that the decision boundaries are linear, we can consider the probability of an input $x$ of being classified into class $m$ as $f_m(x) = \beta_{m,0}^T + \beta_{m}^T x$. $X$ would be labeled as class $m$ if $f_m(x) > f_i(x), i = 1, ..., d$, where $d$ is the number of classes. For every two classes, there is a separating hyperplane in the input space.

The basic idea of is “Linear Regression of an Indicator Matrix” is to code each response category via an indicator variable. For example, if we have 4 training examples belongs to class 1, 2, 3, 4, the indicator matrix is

\[
Y = \begin{bmatrix}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix}
\]

then $f_m(x) = \beta_{m,0}^T + \beta_{m}^T x$ can be rewritten as $f_m(x) = [1, x^T] \beta_m$, where $\beta_m = (X^T X)^{-1} X^T y_m$.

Since $E(Y_k | X = x) = \Pr(Y_k = 1 | X = x) * 1 + \Pr(Y_k = 0 | X = x) * 0 = \Pr(Y_k = 1 | X = x)$, we can simply compute $[1, x^T] B$, where $B = (X^T X)^{-1} X^T Y$. The result is a row vector of $d$ elements and the largest one represents the class on which $x$ lies.

Since the problem is to identify the suspicious images, we use all known images as the training data and the 53 fish as the test data. Since “Linear Regression of an Indicator Matrix” is also a linear classifier, we used the features selected for the semantic classifier. Note that these sub-features may not be the optimal one, but it is a workable one since it is selected linearly. The results from Linear Regression of an Indicator Matrix are listed below.

Table 5. Results from Linear Regression of an Indicator Matrix

<table>
<thead>
<tr>
<th>Selected Features</th>
<th>How many [C.carpio,</th>
<th>How many</th>
<th>Test data’s</th>
</tr>
</thead>
</table>

31
From this table, we can observe:

1. Using 12 features, the classifier is not good at identifying *C. carpio* and identifies the 53 fish as *C. carpio*.

2. The classifier gives totally different result with different feature selection.

   Given the result that the suspicious fish are from a new species, we know that Linear Regression of an Indicator Matrix cannot solve the problem correctly.

3.2 Linear Discriminant Analysis (LDA)

   \[ p(g \mid x) = \frac{p(g)p(x \mid g)}{\sum_{g=1}^{k} p(g)p(x \mid g)} \]

   under the assumption that the class conditional
distributions are Gaussian densities \( p(x \mid G = K) = \frac{1}{(2\pi)^{p/2} \sqrt{|\Sigma|}} e^{-\frac{1}{2}(x-\mu_k)^T \Sigma^{-1} (x-\mu_k)} \) and all classes have a common covariance matrix, we can say that the decision boundary between any two classes is a hyperplane. These linear discriminant functions

\[
 f_k(x) = x^T \sum^{-1} \mu_k - \frac{1}{2} \mu_k^T \sum^{-1} \mu_k + \log p(k), \text{ which can be derived from}
\]

\[
 \log \frac{\Pr(G = k \mid X = x)}{\Pr(G = j \mid X = x)} = \log \frac{p(k)}{p(j)} - \frac{1}{2} \left( (\mu_k + \mu_j)^T \sum^{-1} (\mu_k - \mu_j) + x^T \sum^{-1} (\mu_k - \mu_j) \right)
\]

In the class conditional distributions, we can estimate the mean vector as \( \mu_k = \frac{1}{N_k} \sum x_i \). The covariance matrix is estimated as \( \sum = \sum \frac{k}{k=1} \sum \frac{g \neq k}{g \neq k} (x_i - \mu_k)(x_i - \mu_k)^T / (N - K) \). \( p(k) = N_k / N \)

Since the problem is to identify the suspicious images, we use all known images as the training data and the 53 fish as the test data. Since this classifier is not time-consuming, we tried all combinations of any 2 features to achieve the best result. The results from Linear Regression of an Indicator Matrix are listed below.

\textit{Table 6. Results from LDA}

<table>
<thead>
<tr>
<th>Class</th>
<th>Selected Features</th>
<th>Training Error</th>
<th>Test Error</th>
<th>Suspicious Fish</th>
</tr>
</thead>
<tbody>
<tr>
<td>C.velifer / the rest</td>
<td>( x_{10}, x_2 )</td>
<td>6.0%</td>
<td>8.6%</td>
<td>0 velifer</td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>( x_1, x_7 )</td>
<td>10.3%</td>
<td>20.1%</td>
<td>21 Cyprinus, 31 Carpios</td>
</tr>
<tr>
<td>C.velifer / the rest</td>
<td>All 12 features</td>
<td>5.1%</td>
<td>8.0%</td>
<td>0 velifer</td>
</tr>
<tr>
<td>C.carpio / C.cyprinus</td>
<td>All 12 features</td>
<td>10.2%</td>
<td>20.1%</td>
<td>52 Carpios, 1 Cyprinus</td>
</tr>
</tbody>
</table>
Same as the semantic classification, the classifier identifies the suspicious fish as a new species if we select features. With all 12 features, the LDA classifies most of the samples to carpio. Furthermore, as we tried different training/test bipartitions, the LDA selected different sub-features to achieve the best result. We observe that feature 10 will always be used to distinguish velifer/ the rest and feature 7 will always be used to distinguish carpio/cyprinbus.

3.3 Boosting

Boosting was formulated based on an interesting result from machine learning: learners, each performing only slightly better than random guess (“weak learners”), can be combined to form an arbitrary “strong” classifier.

The AdaBoost algorithm is:

Given training data \((x_1, y_1), \ldots, (x_m, y_m)\) where \(x_i \in X, y_i \in \{1,-1\}\). Initialize \(D_1(i) = 1/m\).

For \(t=1,\ldots,T\)

1. Train weak learner \(h_1:X\to\{1,-1\}\) using distribution \(D_t\).

2. Compute error \(e_t = \sum_{i: h_t(x_i) \neq y_i} D_t(i)\)

3. Choose \(\alpha_t = \frac{1}{2}\ln\left(\frac{1-e_t}{e_t}\right)\)

4. Update \(D_{t+1}(i) = \frac{D_t(i)}{Z_t} \begin{cases} e^{\alpha_t} & \text{ if } h_t(x_i) = y_i \\ e^{-\alpha_t} & \text{ if } h_t(x_i) \neq y_i \end{cases}\), where \(Z_t\) is a normalization factor (chosen so that \(D_{t+1}\) will be a distribution).

This algorithm would build a final classifier: \(H(x) = \text{sign}(\sum_{t=1}^T \alpha_t h_t(x))\) The distribution (or weights) of the training data in round \(t\) is given by \(D_t\). \(\alpha_t\) is the weight for weak learner \(h_t\). From
step 3, we can observe that a smaller error corresponds to a larger $\alpha_i$, which means a stronger weaker classifier is “heavier” in the final decision. Also, in each round, step 4, the sample distribution is also updated. The weights for all misclassified samples are increase, while the weights for the rest samples are reduced. Intuitively, the misclassified samples will receive higher attention in the next learning iteration.

This algorithm will generate 3 relatively good classifiers from the weak LDA classifier. Each classifier can only recognize one class (one against others).

The first one is to distinguish C.carpio / the rest

| Table7. Boosting Classifier to classify C.carpio / the rest |
|---|---|---|---|
| Selected Features | Training Error $[t=1,\ldots,t=10]$ (%) | Test Data (with best T) [C. carpio, the rest] | Percentage-values of [C.carpio, the rest] |
| All 12 features | [14.91, 7.70, 5.86, 6.03, 6.36, 6.19, 6.03, 6.19, 6.19, 6.19] | [53, 0] | [100%, 0] |
| $x_1, x_7$ | [21.44, 20.26, 11.39, 11.39, 9.38, 9.04, 9.21, 9.04, 9.04, 9.04] | [26, 27] | [49.06%, 50.94%] |
| $x_{10}, x_2$ | [17.42, 10.05, 10.72, 9.55, 8.37, 8.04, 8.04, 8.04, 8.04, 8.04] | [0, 53] | [0, 100%] |
Following is the classifier 2, which identifies C.cyprinus / the rest.

**Table 8. Boosting Classifier to classify C.cyprinus / the rest**

<table>
<thead>
<tr>
<th>Selected Features</th>
<th>Training Error [t=1,…,t=10] (%)</th>
<th>Test Data (with best T)</th>
<th>Percentage-values of [C.carpio, the rest]</th>
</tr>
</thead>
<tbody>
<tr>
<td>All 12 features</td>
<td>[2.68, 1.67, 1.34, 1.17, 1.17, 1.17, 1.34, 1.34, 1.34, 1.34]</td>
<td>[41, 12]</td>
<td>[77.36%, 22.64%]</td>
</tr>
<tr>
<td>$x_1, x_7$</td>
<td>[7.53, 6.70, 6.53, 6.03, 6.03, 6.19, 6.19, 6.19, 6.19, 6.19]</td>
<td>[25, 28]</td>
<td>[47.17%, 52.83%]</td>
</tr>
<tr>
<td>$x_{10}, x_2$</td>
<td>[25.29, 25.46, 25.12, 24.28, 2.84, 2.84, 2.84, 2.84, 2.84, 2.84]</td>
<td>[25, 28]</td>
<td>[47.17%, 52.83%]</td>
</tr>
</tbody>
</table>

Following is the classifier 2, which identifies C.cyprinus / the rest.

**Table 9. Boosting Classifier to classify C.velifer / the rest**

<table>
<thead>
<tr>
<th>Selected Features</th>
<th>Training Error [t=1,…,t=10] (%)</th>
<th>Test Data (with best T)</th>
<th>Percentage-values of [C.carpio, the rest]</th>
</tr>
</thead>
<tbody>
<tr>
<td>All 12 features</td>
<td>[3.01, 3.01, 4.69, 4.69, 4.69, 4.69, 4.35]</td>
<td>[0, 53]</td>
<td>[0, 100%]</td>
</tr>
</tbody>
</table>
The classifiers achieved totally different results with different feature selections. However, since the features we used are from a wrapper feature selection algorithm, the result with 12 features is more reliable. From classifier *C. carpio / the rest*, we know that 100% suspicious fish are from *C. carpio*. From classifier *C. cyprinus / the rest*, we know that 77.36% suspicious fish are from *C. cyprinus*. From classifier *C. velifer / the rest*, we know that none of the suspicious fish are from *C. velifer*. The contradictory from the first 2 classifiers and classifier 3’s result both show that these fish are from a new species.

<table>
<thead>
<tr>
<th>Feature Selection</th>
<th>Suspicious Fish Distribution</th>
<th>Accuracy</th>
<th>Precision</th>
</tr>
</thead>
<tbody>
<tr>
<td>$x_1, x_7$</td>
<td>[28.98, 25.63, 22.45, 16.75, 17.76, 17.92, 17.76, 17.76, 17.76, 17.59]</td>
<td>[30, 23]</td>
<td>[56.60%, 43.40%]</td>
</tr>
<tr>
<td>$x_{10}, x_2$</td>
<td>[12.73, 13.40, 11.55, 11.72, 11.56, 11.39, 11.39, 11.39, 11.39, 11.39]</td>
<td>[0, 53]</td>
<td>[0, 100%]</td>
</tr>
</tbody>
</table>

*Table 9, cont.*
System Interface and Conclusion

1. System Interface

The system has a simple CGI-based query interface. Users can either enter the ID of an image as the query or submit any image (along with a file containing the landmarks) via the Internet. Figure 6 shows the 25 thumbnails returned by the system where the query image (C. Cyprinus) is on the top left. The parameter \( \alpha \) in (1) was chosen to be 0.8. Below each thumbnail are image ID and the name of its taxonomic category. Users can start a new query search by submitting a new image ID or image files.

![Figure 18: The interface of the CBIR system](image)

2. Conclusion of the Feature Selection

For the SVM based algorithm, we did experiments to see whether feature selection is indispensable in semantic classification. The semantic classification results are in the previous
section. We tested two classifiers, namely, linear SVM and SVM with Gaussian kernel. All the classifiers were constructed using half of the 600 specimens and tested over the remaining 300 specimens. The 12-feature classifiers generate significantly different predictions on the 53 “suspicious” specimens from the selected-feature classifiers. Both classifiers assign the majority of the 53 specimens to \textit{C. carpio}, which contradicts the results generated by 1-norm SVM. An interesting question arises: which results should we trust, those based on the selected features or those using all the features? We argue that feature selection is indispensable for the following reasons:

• From a taxonomic viewpoint, it is desirable to use a small number of body shape characters to describe a species as distinct from its known relatives. The feature selection procedure can identify those “most” diagnostic features (or body shape characters).

• From a machine learning viewpoint, constraining the number of selected features is an effective way to avoid overfitting. One may reason that the above conflicting result for Colorado River and Rio Grande specimens is due to overfitting, i.e., the models trained on all 12 features overfit the data.

For the other 3 pattern classification techniques, Linear Regression of an Indicator Matrix and Linear Discriminant Analysis (LDA) do not work well on this problem. AdaBoost is good at improving the performance of LDA and produced a classifier that can identify all four classes (\textit{C.carpio}, \textit{C.cyprinus}, \textit{C.velifer} and suspicious fish) correctly with 12 features. However, since “from a taxonomic viewpoint, it is desirable to use a small number of body shape characters to describe a species as distinct from its known relatives”, we cannot say that it will work on more species.
3. Thesis Conclusion

In this thesis, we proposed a content-based image retrieval approach for taxonomic research. The system has a learning component that automatically identifies the semantic class of a query based on digitized landmarks. We applied the system to a taxonomic problem in genus Carpiodes. The results are promising: the proposed framework not only learned classifiers that well separated the three known species in Carpiodes using only a few body shape features, but also recognized “suspicious” specimens that could not be identified previously without the aid of DNA analysis. Therefore, our framework provides a powerful tool for assisting the diagnosis of new species and increasing the pace of taxonomic research. As continuations of this work, several directions may be pursued. Our system can be linked to the Internet so that taxonomists around the globe can not only retrieve specimens from the system, but can contribute images to expand the database. The learning component in the system can potentially be extended to any taxonomic problem involving a large data set and a significant percentage of unknown specimens in a semi-supervised learning framework. An important future direction of this research is to automatically build a classification tree of recognized taxa (species).
References


1999


Vita

Fei Teng was born in Tianjin, China. With years of hard work like many other Chinese students did, he was admitted to Beijing University of Posts & Telecommunications, one of the top Chinese universities. He received his Bachelor's Degree in Computer Science & Technology in 2003. He worked 1 year as an assistant engineer in China Netcom Group, Beijing Communications Corp. in the capital of China, Beijing.

He began his graduate study in Computer Science at University of New Orleans in Spring 2005 and worked as a graduate assistant under Dr. Yixin Chen.

In the spare time, he likes reading and traveling. He has great interests in history and science developments. He is also a fan of sports such as Basketball, Tennis, and Pool.