FIN 6300

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University of New Orleans

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I. INSTRUCTOR

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Telephone: 504-280-7146

E-Mail: tmukherj@uno.edu (best way to contact)

Office Hours: 3-6 Wednesdays; Fridays—10-12

II. COURSE

Objectives:

1. To study advanced principles and practices involving financial decisions—investment, financing, and dividend)—of a business enterprise;
2. To apply these principles to realistic business situations; and
3. To give students the opportunity to practice effective communications techniques, both written and oral.

Text Material:


2. Extensive Notes (including sample tests, selected articles, additional homework problems) on MOODLE;

3. Most Strongly Recommended: TI BAII PLUS

4. Wall Street Journal [for WSJ Project]
III. GRADE

GRADING WEIGHT:

- Two from Test 1, 2, and 3 = 24%
- Test 4 and Test 5 = 36%
- WSJ Project = 10%
- Oral and Written Case Report = 20%
- Group Written (only) Report = 10%

There would be 5 tests during the semester. 4th and 5th tests are mandatory. The lowest grade of the first 3 tests will be dropped. Tests 1, 2 and 3 are 60 minutes long. Tests 4 and 5 are 90 minutes long. Tests will contain problem- and short essays (and possibly a few multiple-choice) questions and non-comprehensive.

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^ Each group will turn in a written report as well as make an oral presentation in the class. Each member in the group is required to equally participate in writte-up and oral presentation of the assigned case. I reserve the right to give different scores for the members of a group if I believe that circumstances warrant such an allocation. Written report is due before the case is presented. (CASE assignment & presentation format will be provided later.)

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Each group will be assigned a different case from the one you present. The group will a) provide a WRITTEN REPORT ONLY and b) be prepared to ask questions to the presenting group. The report is due when the case is being presented by the presenting group.

GRADING SCALE

90–or above = A; 80–89% = B; 70–79% = C; 60–69% = D

BONUS: Attendance Bonus: 1% FOR BEING ON TIME [For example—if your overall score for the course is 89.0%, then with your participation bonus you will have 90.0% and receive an A in the course.] You can have only one excused absence to receive the bonus.

MAKE-UP EXAM POLICY:

Make-up exams are strongly discouraged and will be given only under circumstances beyond your control. Prior approval is a must, except in case of emergency, death in the family, and/or hospitalization (proper documentation is required). If you miss one of Test 1, Test 2, or Test 3, the missed test will be the one to drop. If you miss TEST 4 you must take your make-up exam within one week of the scheduled exam date. Test 5 cannot be missed. Missing an exam without prior approval/notification will result in a zero score for that test.
Academic Integrity

Students are expected to conduct themselves according to the principles of academic integrity as defined in the statement on Academic Dishonesty in the UNO Judicial Code. Any student or group found to have committed an act of academic dishonesty shall have their case turned over to Judicial Affairs for disciplinary action which may result in penalties as severe as indefinite suspension from the University. Academic dishonesty includes, but is not limited to: cheating, plagiarism, fabrication, or misrepresentation, and being an accessory to an act of academic dishonesty.

Students with Disabilities

It is University policy to provide, on a flexible and individualized basis, reasonable accommodations to students who have disabilities that may affect their ability to participate in course activities or to meet course requirements. Students with disabilities should contact the Office of Disability Services as well as their instructors to discuss their individual needs for accommodations.

IV. SYLLABUS [CHAPTERS/LECTURES TO BE COVERED SUBJECT TO CHANGE WITH ADVANCE NOTICE]

****OUTLINE AND DETAILED NOTES FOR EACH LECTURE IS POSTED ON MOODLE. LECTURES DO NOT ALWAYS FOLLOW THE ORGANIZATION OF CHAPTERS IN THE BOOK. SOME TIMES, LECTURES DO NOT (BY DESIGN) CONTAIN EVERY SINGLE ITEM IN THE CHAPTER, BUT TAKE OUT ONLY THE MATERIAL CONSIDERED IMPORTANT BY THE INSTRUCTOR. ALSO, SOME ITEMS CONTAINED IN A LECTURE MAY NOT BE FOUND IN THE TEXTBOOK. PLEASE DOWNLOAD EACH LECTURE AND USE THIS AS A GUIDE IN PREPARATION FOR EXAMS.***
<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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<tbody>
<tr>
<td>AUGUST 19</td>
<td>Birth of a Corporation; Goal of a Financial Manager;</td>
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<tr>
<td></td>
<td>Overview of Managerial Functions [LECTURE]</td>
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<td>AUGUST 26</td>
<td>Financial Statements &amp; Ratio Analysis [LECTURE 3]</td>
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<td>SEPTEMBER 2</td>
<td><strong>TEST 1</strong>: Time Value of Money [LECTURE 4]</td>
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<td>SEPTEMBER 9</td>
<td>Valuing Securities [LECTURE 5]</td>
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<td>SEPTEMBER 16</td>
<td><strong>TEST 2</strong>: Evaluating Risk [LECTURE 6]</td>
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<td>SEPTEMBER 23</td>
<td>Cost of Capital (LECTURE 7)</td>
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<td>SEPTEMBER 30</td>
<td><strong>TEST 3</strong>: Capital Budgeting—LECTURE 8A</td>
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<td>OCTOBER 7</td>
<td>Capital budgeting—special issues—(unequal lives, opt cap budget, and capital rationing only [LECTURE 8B]</td>
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<td>OCTOBER 14</td>
<td>Capital Budgeting: Cash Flows [LECTURE 9]</td>
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<td>OCTOBER 21</td>
<td>Practice for the test</td>
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<td>OCTOBER 28</td>
<td><strong>TEST 4</strong></td>
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<td>NOVEMBER 4</td>
<td>Handling Risk Capital Budgeting [LECTURE 10]</td>
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<td>NOVEMBER 11</td>
<td>Merger &amp; Acquisition [LECTURE 12];</td>
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<td>NOVEMBER 18</td>
<td>Alternative Financing Decisions---Convertibles, Rights, and Warrants ([LECTURE 13]; Dividend Policy (LECTURE 14]</td>
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<td>NOVEMBER 25</td>
<td><strong>TEST 5</strong></td>
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<td>DECEMBER 2</td>
<td>CASE PRESENTATION</td>
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BIO

TARUN K. MUKHERJEE

Having received the Bachelor’s degree in India, Tarun first worked for the Government of India before coming to the United States. He received a Master’s degree from the Appalachian State University and a Ph.D. from Texas Tech University. He has been with the UNO since 1981, where he is currently the Professor of Finance and holder of the Moffett Chair in Financial Economics. Tarun is coeditor of the Review of Financial Economics. This journal is adjudged by many to be one of the top journals in finance.

Tarun’s primary teaching area is corporate finance. He teaches at the undergraduate, Master’s and Ph.D. level. He has chaired several dissertations and served as a member of several dissertation committees. His teaching awards include Best Professor Award for US-EMBA (2005, 2011), JEMBA (2004, 2007), and PREMBA (2006, 2008). His teaching philosophy is “no concept should be too difficult for a professor in that subject to explain in simple terms to all students, irrespective of their background.”